[MS-NRTP]: .NET Remoting: Core Protocol

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1 Introduction

The .NET Remoting: Core Protocol Specification specifies a mechanism by which a calling program can invoke a method in a different address space over the network. Arguments are passed along as part of the invocation message and return values are sent in the response.

Sections 1.8, 2, and 3 of this specification are normative and can contain the terms MAY, SHOULD, MUST, MUST NOT, and SHOULD NOT as defined in RFC 2119. Sections 1.5 and 1.9 are also normative but cannot contain those terms. All other sections and examples in this specification are informative.

1.1 Glossary

The following terms are defined in <a>[MS-GLOS]:

authentication
base64
certificate
credential
deserialize
Hypertext Transfer Protocol (HTTP)
IPv4 address in string format
IPv6 address in string format
.NET Framework
serialize
SOAP
Unicode
URI
UTF-8
XML

The following terms are specific to this document:

AppDomain: An isolated environment where .NET applications execute. AppDomains provide isolation, unloading, and security boundaries for executing .NET managed code. For more information see [MSDN-AppDomain].

Argument: A named **Data Value** that is passed as part of a **Remote Method** invocation or returned as part of the results of a **Remote Method** invocation. For more information, see <u>Abstract Data Model (section 3.1.1)</u>.

Array: A **Remoting Type** that is an ordered collection of values. The values are identified by their position and position is determined by a set of integer indices. The number of indices required to represent the position is called the Rank of the **Array**. An **Array** is part of the **Remoting Data Model** and also specifies the **Remoting Type** of its items. For more information, see Abstract Data Model (section 3.1.1).

Assignable: A reference to the ability of a **Data Value** to be assigned to a **Remoting Type**. This ability is determined by a set of rules described in Abstract Data Model (section 3.1.1) under **Data Values**.

binary format identifier: A string that is contained in the **message frame**. The binary format identifier identifies the **serialization format** of the **message content** as specified in [MS-NRBF]. Its value is 'application/octet-stream'.

- **Call Context:** A mechanism to pass data that is not part of the method **Arguments** between client and server. It is a collection of name-value pairs that is carried with the execution of a **Remote Method**. This collection is sent along with other method **Arguments** from client to server, and is transmitted back, along with the **Return Values** and output **Arguments**, from the server to the client. For more information, see <u>Protocol Overview</u> (section 1.3).
- **Channel:** An entry point through which a server gets connection requests from a client. A **Channel** contains information about the chosen transport (for example, TCP) and supports one or more formats (for example, binary or SOAP). A server may host one or more **Channels.** For more information, see Initialization (section 3.2.3).
- **Channel URI:** The part of the **Request URI** that contains the **URI** scheme, the host name, and an optional port number for a **Channel**. For TCP, the **Channel URI** has the form tcp://hostname:port. For HTTP, the **Channel URI** has the form http://hostname[:port]. For more information, see [RFC3986].
- chunked encoding: A transport-specific encoding of message content that breaks the content up into a series of octet segments. This allows dynamically produced content to be transferred, along with the information necessary for the recipient to verify that it has received the full message. For more information on HTTP chunking, see <a href="https://http
- **Class:** A **Remoting Type** that encapsulates a set of named values and a set of methods that operate on those values. The named values are called **Members** of the **Class**. A **Class** is part of the **Remoting Data Model**. For more information, see Abstract Data Model (section 3.1.1).
- **Data Value:** An instance of a **Remoting Type**, which may be a **Class**, **Array**, **Enum**, or **Primitive**. A **Data Value** is part of the **Remoting Data Model**. For more information, see Abstract Data Model (section 3.1.1).
- **Delegate:** Part of the **Remoting Data Model**, a **Delegate** contains references to one or more **Remote Methods**. A **Delegate** has a fixed **Method Signature** and each **Remote Method** referenced by the **Delegate** has the same **Method Signature**.
- **Digest Access Authentication:** A mechanism built on top of **HTTP** that allows a client program to provide **credentials** without having to send a user name and password in plaintext when making a request. For more information, see [RFC2617].
- **Enum:** A **Primitive type** whose values are constrained to a set of values. The **Primitive type** is considered to be an underlying **Remoting Type** of the **Enum**. Each value has a name associated with it. An **Enum** is part of the **Remoting Data Model**, and an abbreviation for "enumeration." For more information, see Abstract Data Model (section 3.1.1).
- Envoy Sink Information: A Data Value associated with a Server Object or Server Type. When a Server Object reference to an associated Server Object or Server Type is transmitted to a client, the Envoy Sink Information is sent as well. The Envoy Sink Information contents are undefined, and can be used by application authors to send arbitrary data. For more information, see the example in Protocol Overview (section 1.3).
- **Exception:** A **Class** that indicates an error in the execution of a **Remote Method**. It is sent as part of the return message from a server to a client. An **Exception** contains a human-readable message that indicates what the error is, and may also have additional data to identify the error. An **Exception** is part of the **Remoting Data Model**. For more information, see Abstract Data Model (section 3.1.1).
- **Generic Argument:** A formal argument used in a **Generic Type** or a **Generic Remote Method** to represent a parameterized **Remoting Type**. **Generic Arguments** can be referenced in the

Class or the method as opaque **Remoting Types**. They are replaced by the actual types when the **Class** or the method is used. For more information, see Generic Type and Methods in [ECMA-335].

- **Generic Remote Method:** A **Remote Method** that is parameterized by one or more **Remoting Types**. The method caller must provide the actual **Remoting Types** (in addition to the **Input Arguments**). For more information, see Abstract Data Model (section 3.1.1).
- **Generic Type:** A **Class, Server Type**, or **Server Interface** that is parameterized by one or more **Remoting Types**. A **Generic Type** contains GenericArguments as a placeholder for the parameterized **Remoting Types**. A **Generic Type** cannot have any instances. For more information, see Generic Types and Methods in [ECMA-335].
- **GSSAPI:** An abbreviation for Generic Security Service Application Program Interface. **GSSAPI** is an interface for programs to access security service from different vendors in a uniform way. For more information, see [RFC2743].
- **Input Argument:** A named **Data Value** that is passed as part of a **Remote Method** invocation from the client to the server. For more information, see **Remote Method** in Abstract Data Model (section 3.1.1).
- Instantiated Generic Type: A Remoting Type that is the result of replacing the Generic Arguments of a Generic Type with the actual Remoting Types. An Instantiated Generic Class can have instances. For more information, see Generic Types and Methods in [ECMA-335].
- **Library:** Part of the **Remoting Data Model**. A **Library** is a named unit that contains a collection of **Remoting Types**. For more information, see Library in Abstract Data Model (section 3.1.1).
- **Logical Call ID:** An optional string value that is passed as part of the **Call Context**. A **Logical Call ID** identifies the logical thread of execution, and can be used in implementation-specific local threading models on the server.
- **local name:** A string value that, together with an **XML namespace**, identifies **XML** element and attribute names. For more information, see [XMLNS-2ED].
- Marshaled Server Object (MSO): A Marshaled Server Object is a Server Object that is created by a higher layer, and not in response to an incoming request (see Server-Activated Object (SAO) for more information on the latter).

The .NET Remoting Lifetime Services Protocol [MS-NRLS] provides a mechanism for controlling the lifetimes of **Marshaled Server Objects**.

Member: See Class.

message content: The serialized body of a message.

- **message frame:** A transport-specific structure for adding headers to a message. When using HTTP, **message frames** are represented as **HTTP** headers. For more information, see HTTP Transport (section 2.1.2). When using TCP, **message frames** are represented as defined in Message Frame Structure (section 2.2.3.3).
- **Message Properties:** A collection of implementation-specific, name-value pairs that are transmitted as part of a **Remote Method** invocation. **Message Properties** are used to exchange implementation-specific data between clients and servers.

- Method Signature: A list of the Remoting Types of the Arguments of a Remote Method.
- **NT LAN Manager (NTLM):** A Microsoft **authentication** protocol that is based on a challenge-response sequence for **authentication**. NT refers to the Windows operating system. For more information, see [MS-NLMP].
- **Null Object:** Part of the **Remoting Data Model**. **Null Object** is a special value that can be used in place of an instance of a **Class**, **Array**, or String. It indicates that no instance is being specified. For more information, see Abstract Data Model (section 3.1.1).
- **One-Way Method:** A **Remote Method** that has no application response sent from the implementation of the **Remote Method** back to the caller. This pattern is sometimes referred to as "fire and forget".
- Output Argument: A named Data Value that is returned as part of the results of a Remote Method invocation. For more information, see Remote Method in Abstract Data Model (section 3.1.1).
- **Primitive Type:** Part of the **Remoting Data Model**. **Primitive Types** are predefined **Remoting Types** such as Byte, Int16, Int32, Int64, and so on. For more information, see Abstract Data Model (section 3.1.1).
- **Primitive Value:** Part of the **Remoting Data Model**. A **Primitive Value** is an instance of a **Primitive Type**.
- **Proxy:** Part of the **Remoting Data Model**. A **Proxy** forwards the invocations of **Remote Methods** from the client to the **Server Object** for execution. The **Proxy** contains the **Request URI** of the **Server Object**. For more information, see Abstract Data Model (section 3.1.1).
- **Remote Field:** Part of the **Remoting Data Model**. A **Remote Field** is a remotely accessible field. For more information, see Abstract Data Model (section 3.1.1).
- **Remote Method:** Part of the **Remoting Data Model**. A **Remote Method** is a remotely callable operation. A **Remote Method** can either be One-Way or **Two-Way**. In the case of a **One-Way Method**, there is no reply from the implementation. For more information, see Abstract Data Model (section 3.1.1).
- **Remoting Data Model:** A model that is used to represent higher-layer-defined data structures and values, and to represent a **Remote Method** invocation and the **Return Value** or error information from that invocation. A protocol, such as [MS-NRLS], that is built on top of this protocol can be defined by using the **Remoting Data Model**, and can be agnostic to the **serialization format**. For more information, see Abstract Data Model (section 3.1.1).
- **Remoting Description Notation:** A description language defined in this protocol for the purpose of defining the higher-layer protocols using the **Remoting Data Model**. Remoting Description Notation can be agnostic to the **serialization format**. For more information, see .NET Remoting Description Notation (section 2.2.5).
- **Remoting Type:** Part of the **Remoting Data Model**. **Class, Array, Enum,** and **Primitive** are different kinds of **Remoting Types**. All **Remoting Types** are identified by a name that is case sensitive. For more information, see Abstract Data Model (section 3.1.1).
- **Request URI:** A **URI** that provides an address of a **Server Object**. The **Request URI** has two major components: a component that is used by the underlying transport to route the message to an appropriate transport endpoint (**Channel URI**); and another component to map the message to a **Server Object** within a server (**Server Object URI**).

- **Return Value:** A **Data Value** that is returned as part of the results of a **Remote Method** invocation. For more information, see **Remote Method** in Abstract Data Model (section 3.1.1).
- Serialization Format: The structure of the serialized message content; the serialization format can be either binary or SOAP. Binary serialization format is specified in [MS-NRBF]. SOAP serialization format is specified in SOAP Serialization Format Method Signature SOAP Header (section 2.2.4.4).
- **Server-Activated Object (SAO):** A **Server Object** that is created on demand in response to a client request. See also **Marshaled Server Object**.
- **Server Interface:** Part of the **Remoting Data Model**. A **Server Interface** is a **Remoting Type** that encapsulates a set of method declarations. The methods have no implementation. For more information, see Server Interface in Abstract Data Model (section 3.1.1).
- **Server Object:** Part of the **Remoting Data Model**. A **Server Object** is an instance of a **Server Type**. A **Server Object** is either an **SAO** or an **MSO**. For more information, see Abstract Data Model (section 3.1.1).
- **Server Object Reference:** A representation of an **SAO** or **MSO** that can be passed between a client and a server. It contains sufficient information to construct a **Proxy** to invoke **Remote Methods** on the **SAO** or **MSO**. The **Server Object Reference** is represented concretely by the ObjRef structure defined in ObjRef (section 2.2.2.1).
- **Server Object Table:** A table that contains the list of available **Server Objects** in the server. For more information, see Abstract Data Model (section 3.2.1).
- **Server Object URI:** A relative **URI** that identifies a **Server Object** in a given server. It is the path part of **Request URI**, excluding the leading '/'.
- **Server Type:** Part of the **Remoting Data Model**. A **Server Type** contains **Remote Methods**. For more information, see Abstract Data Model (section 3.1.1).
- **Single-Call SAO:** An **SAO** that is created every time a **Remote Method** on its **Server Type** is called. For longer-lived **SAOs**, see **Singleton SAO**.
- **Singleton SAO:** An **SAO** that is created the first time a method on its **Server Type** is called; subsequent calls to the **Remote Methods** on the **Server Type** reuse the existing **SAO** unless it expires. For shorter-lived **SAOs**, see **Single-Call SAO**.
- **SOAP Format Identifier:** A string that is contained in the **message frame**. It identifies the **serialization format** of the **message content** as specified in [SOAP1.1]. Its value is 'text/xml; charset="utf-8".
- **SPNEGO:** An abbreviation for Simple and Protected **GSSAPI** Negotiation Mechanism. **SPNEGO** is a mechanism that allows peers to determine which **GSSAPI** mechanisms are shared, select one mechanism, and then establish a security context with it. For more information, see [RFC2478].
- **System Library:** A special designated **Library** that can be used to reduce the wire size for commonly used data types. The name of the **Library** is agreed to by both the server and the client implementations of the protocol. For more information, see the Library paragraph in Abstract Data Model (section 3.1.1).

- System.Object: Part of the Remoting Data Model. System.Object is a Class that has no Members. A Class that does not extend another Class is considered to extend System.Object. For more information, see Abstract Data Model (section 3.1.1)
- **Two-Way Method:** A **Remote Method** that has a response sent from the implementation of the **Remote Method** back to the caller.
- **XML Namespace:** A **URI** reference as specified in [RFC3986]; **XML** element and attribute names are identified by an **XML namespace** and **local name** pair. For more information, see [XMLNS-2ED].
- MAY, SHOULD, MUST, SHOULD NOT, MUST NOT: These terms (in all caps) are used as described in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

1.2 References

References to Microsoft Open Specifications documentation do not include a publishing year because links are to the latest version of the documents, which are updated frequently. References to other documents include a publishing year when one is available.

1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact dochelp@microsoft.com. We will assist you in finding the relevant information.

[MS-DTYP] Microsoft Corporation, "Windows Data Types".

[MS-NLMP] Microsoft Corporation, "NT LAN Manager (NTLM) Authentication Protocol".

[MS-NNS] Microsoft Corporation, ".NET NegotiateStream Protocol".

[MS-NRBF] Microsoft Corporation, ".NET Remoting: Binary Format Data Structure".

[MS-NRLS] Microsoft Corporation, ".NET Remoting: Lifetime Services Extension".

[MS-OAUT] Microsoft Corporation, "OLE Automation Protocol".

[RFC793] Postel, J., "Transmission Control Protocol", STD 7, RFC 793, September 1981, http://www.ietf.org/rfc/rfc0793.txt

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[RFC2616] Fielding, R., Gettys, J., Mogul, J., et al., "Hypertext Transfer Protocol -- HTTP/1.1", RFC 2616, June 1999, http://www.ietf.org/rfc/rfc2616.txt

[RFC2617] Franks, J., Hallam-Baker, P., Hostetler, J., et al., "HTTP Authentication: Basic and Digest Access Authentication", RFC 2617, June 1999, http://www.ietf.org/rfc/rfc2617.txt

[RFC3513] Hinden, R., and Deering, S., "Internet Protocol Version 6 (IPv6) Addressing Architecture", RFC 3513, April 2003, http://www.ietf.org/rfc/rfc3513.txt

[RFC3986] Berners-Lee, T., Fielding, R., and Masinter, L., "Uniform Resource Identifier (URI): Generic Syntax", STD 66, RFC 3986, January 2005, http://www.ietf.org/rfc/rfc3986.txt

[RFC4234] Crocker, D., Ed., and Overell, P., "Augmented BNF for Syntax Specifications: ABNF", RFC 4234, October 2005, http://www.ietf.org/rfc/rfc4234.txt

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[UNICODENORMFORMS] Davis, M., "Unicode Normalization Forms", November, 1999, http://www.unicode.org/unicode/reports/tr15/tr15-18.html

[XMLNS-2ED] World Wide Web Consortium, "Namespaces in XML 1.0 (Second Edition)", August 2006, http://www.w3.org/TR/2006/REC-xml-names-20060816/

1.2.2 Informative References

[ECMA-335] ECMA International, "Common Language Infrastructure (CLI) Partitions I to VI", ECMA-335, June 2006, http://www.ecma-international.org/publications/standards/Ecma-335.htm

[MSDN-AppDomain] Microsoft Corporation, "AppDomain Class", http://msdn.microsoft.com/en-us/library/system.appdomain.aspx

[MS-GLOS] Microsoft Corporation, "Windows Protocols Master Glossary".

[RFC2478] Baize, E., and Pinkas, D., "The Simple and Protected GSS-API Negotiation Mechanism", RFC 2478, December 1998, http://www.ietf.org/rfc/rfc2478.txt

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1.3 Overview

The .NET Remoting Protocol specifies a mechanism by which a calling program on one machine can invoke a method on a different machine. Arguments are passed along as part of the invocation message, and **Return Values** are sent in the response.

This protocol defines a simple type system that is a generalization of the common features found in type systems in programming languages. The components of the type system are specified in Abstract Data Model (section 3.1.1). If data can be represented as a **Remoting Type**, it can be serialized into the .NET Remoting Binary Format, as defined in [MS-NRBF], or the SOAP format as specified in [SOAP1.1]. The rules for serialization of data that is represented using the **Remoting Data Model** into the .NET Remoting Binary Format are specified in Mapping to Binary Format (section 3.1.5.1). The rules for serialization of data represented using the Remoting Data Model into the **SOAP** format are defined in Mapping Remoting Data Model to SOAP Format (section 3.1.5.2). The .NET Remoting: Core Protocol Specification introduces a notation for the higher layer to define types and methods in the Remoting Data Model. The grammar for the notation is given in .NET

<u>Remoting Description Notation (section 2.2.5)</u>. This notation can be used by any protocol that depends on the .NET Remoting Protocol. The notation is used in this specification and in [MS-NRLS].

This section presents a brief overview of the following:

- Remote Method Invocation Model
- Passing Server Objects
- Server Objects Instantiation and Binding

1.3.1 Remote Method Invocation Model

The .NET Remoting Protocol specifies a mechanism to invoke a method where the calling program and the target method are in different address spaces. Following is an example.

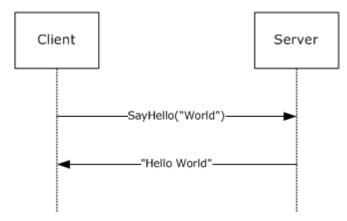


Figure 1: The .NET Remoting Protocol

This protocol defines two roles: client and server. A client initiates communication by calling a Remote Method with **Input Arguments** using a **Proxy**. The server responds by executing the method in an implementation-specific manner. The Remote Method can be either a **One-Way** or a **Two-Way Method**. If the method is One-Way then no response is sent back to the client. If the method is Two-Way then the server sends back a response that may contain a Return Value and **Output Arguments**.

The protocol defines two mechanisms for exchanging additional data with each Remote Method call: **Call Context** and **Message Properties**.

A Call Context is a collection of name-value pairs. A client may add name-value pairs to the Call Context of a Remote Method. The Call Context is then transmitted when the Remote Method is invoked. The server may then modify the Call Context before it transmits the updated Call Context back to the client.

Message Properties is another collection of name-value pairs that behaves in the same way as Call Context. Values in the Call Context are intended to be used for transmitting application-defined values, while the Message Properties are intended to be used for infrastructure-defined values. This protocol does not define any values that are carried as Message Properties or Call Context values.

Remote Method implementations may choose to return an **Exception** to the caller to indicate an error in processing. Server-side errors such as a connection error, a data validity error, or a server availability error are returned to the client as one of two Exceptions: **Remoting Exception** or **Serialization Exception**.

Remote Method **Arguments**, Return Value, Call Context, Message Properties, and Exceptions are all represented by **Data Values**.

1.3.2 Passing Server Objects

The Server Object or a Proxy can be part of a graph of nodes of a Data Value. However, unlike other Data Values, when a graph that contains a Server Object or a Proxy is passed as part of a Remote Method invocation they are transmitted as Server Object **References**.

When a client receives a **Server Object Reference** from a server, it may receive additional, application-specific data in a part of the Server Object Reference called the **Envoy Sink Information**. Data sent as the Envoy Sink Information is implementation specific, and the semantics of that information must be agreed between the client and server out of band. An example of Envoy Sink Information is a set of rules to validate Remote Method Arguments. This protocol does not define any data sent in the Envoy Sink Information. <1>

The following example shows a server sending a Server Object Reference from a server to a client.

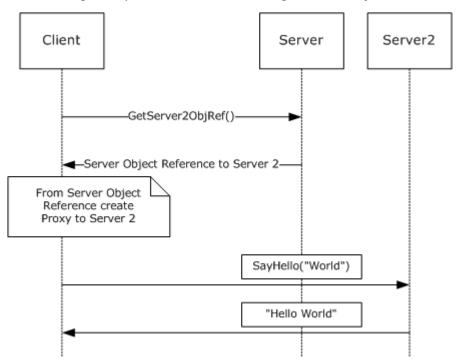


Figure 2: A server sending a Server Object Reference from a server to a client

The following example shows a client sending a Server Object Reference to a server. The server uses the Server Object Reference to call back the client.

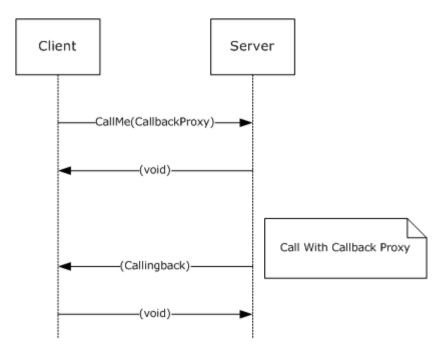


Figure 3: A Server Object Reference being sent by the client and then used by the server to call back the client

1.3.3 Server Object Instantiation and Binding

A client's Remote Method invocation is targeted to a given Server Object by the passing of the **Request URI** of the Server Object as part of the call. A server implementation uses the Request URI to bind the call to the appropriate Server Object. A server implementation may bind the Request URI to a **Server Type** or Server Object.

If the binding is to a Server Type, then the server implementation creates a new Server Object by instantiating the Server Type. The created Server Object may then be used to dispatch the method call in an implementation-specific way. Such Server Objects are called **Server-Activated Objects** (SAOs). Server implementations may be configured to save created SAOs for future calls. Such SAOs are called **Singleton SAOs**. If the SAO is not configured to be saved, every subsequent call results in the creation of a new instance of the SAO. Such SAOs are called **Single-Call SAOs**.

Some Server Objects are created by a higher-layer on the server, and not in response to a client request. These Server Objects are called **Marshaled Server Objects (MSOs)**. When a Request URI is bound to such an object, the object is used to dispatch the server call in an implementation-specific way.

1.4 Relationship to Other Protocols

This protocol defines the central mechanisms of the .NET Remoting Protocol stack, which convert a Remote Method invocation into an exchange of encoded messages. This protocol depends on other structures and protocols for the encoding and transport of its messages. Further protocols may extend this protocol to provide additional services, such as .NET Remoting Lifetime Services [MS-NRLS] which defines additional message and semantics to add activation and distributed lifetime management to .NET Remoting. User applications are layered on top of this protocol and use its services for application-specific purposes.

Before a message is sent, the Remote Method is converted to a **serialized** form for transmission on the wire. A binary encoding for .NET Remoting is specified in .NET Remoting Binary Format [MS-NRBF]. When the binary encoding is used, .NET Remoting can be bound to either TCP [RFC793] or HTTP [RFC2616]. The TCP binding is specified in TCP Transport (section 2.1.1), and the HTTP binding is specified in HTTP Transport (section 2.1.2).

.NET remoting can also use SOAP1.1, which includes both encoding semantics and transport bindings. The SOAP encoding is specified in [SOAP1.1], with .NET remoting-specific portions of the mapping specified in SOAP Serialization Format (section 2.2.4) of this specification. When SOAP is used, .NET remoting can be bound to either TCP [RFC793] or HTTP [RFC2616]. The TCP binding to SOAP is specified in SOAP on TCP (section 2.1.3.2), and the HTTP binding can be found in [SOAP1.1] section 6, with .NET remoting-specific portions of the mapping specified in SOAP on HTTP (section 2.1.3.1) of this specification.

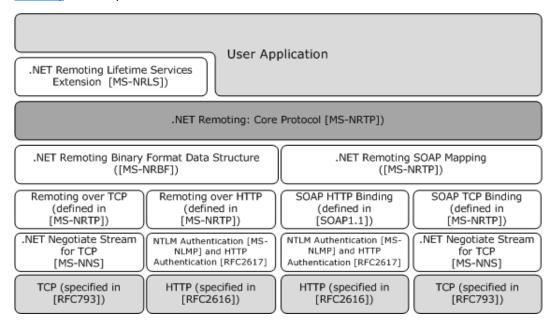


Figure 4: Relationships between .NET Remoting protocols

1.5 Prerequisites/Preconditions

If the HTTPS transport is used, a server **certificate** must be deployed and a client certificate may be deployed.

This protocol does not define any means for activating a server or a client. The server must be configured and begin listening in an implementation-specific way on a **Channel**. For more information on Channels, see <u>Initialization (section 3.2.3)</u>. The client must know the format and transport used by the server (for example, binary format over HTTP).

The client needs the Request URI of the server, and both the client and server need to agree on the following:

- 1. Definitions of the Remote Method
- 2. The Types of Data Values to be exchanged
- 3. The names of the Libraries that contain the types

4. The Library that is the System Library

1.6 Applicability Statement

This protocol is useful for transferring object method invocation information in a distributed environment. This protocol is designed for use on private networks, and is not appropriate for use on public networks. See <u>Security Considerations for Implementers (section 5.1)</u> for more details.

1.7 Versioning and Capability Negotiation

This specification covers versioning issues in the following areas:

- **Supported transports:** This protocol can use SOAP, TCP, HTTP, or HTTPS as a transport. Details are provided in <u>Transport (section 2.1)</u>.
- **Protocol versions:** Only one version of this protocol is currently defined.
- Security and authentication methods: This protocol relies on the security provided by the following:
 - .NET Negotiate Stream for TCP as defined in [MS-NNS], HTTPS, HTTP Basic Access, and Digest Access
 - NTLM authentication as defined in [RFC2617] and [MS-NLMP]

This protocol does not have security and authentication provisions of its own.

• Capability negotiation: An implementation of this protocol with SOAP over HTTP can interoperate with other implementations of SOAP over HTTP that implement [SOAP1.1]. This protocol provides a mechanism to distinguish an implementation of this protocol from other implementations of SOAP over HTTP. A client role of this protocol indicates this by using the User-Agent header as specified in Versioning and Capability Negotiation (section 2.1.3.1.1.1). The server role uses this information when sending a SOAP fault as specified in ServerFault (section 2.2.2.17).

1.8 Vendor-Extensible Fields

This protocol allows custom headers to be added to the **message frame** structure when TCP is used as a transport, as specified in <u>CustomHeader (section 2.2.3.3.3.2)</u>. Custom headers added to the TCP message frame are ignored by .NET remoting. This protocol does not include vendor-extensible fields when HTTP is used as a transport. However, this protocol does not preclude implementers from adding HTTP headers, as specified in <u>[RFC2616]</u> section 4.2. This protocol also does not preclude implementers from adding SOAP headers as specified in <u>[SOAP1.1]</u> section 4.2.

1.9 Standards Assignments

No standards assignments are made by this protocol.

2 Messages

2.1 Transport

2.1.1 TCP Transport

This section specifies the protocol to use TCP as specified in [RFC793] to transmit method invocation and return information.

If instructed by a higher-level protocol in an implementation-specific way, an implementation of this protocol MUST require the implementation of the [MS-NNS] protocol on the server to authenticate the TCP client using **SPNEGO**.

The higher-level protocol MUST provide, in an implementation-specific way, the required **credentials** for the authentication. Implementations of this protocol MUST NOT process the credentials or authentication information before transmission. Such processing typically happens entirely inside implementations of lower protocol layers. An extension of this protocol MAY use the credentials from the lower protocol layers for authorization or impersonation.

2.1.1.1 Client Details

2.1.1.1.1 Sending Request

In the client role, an implementation MUST first establish a TCP connection to the server. A client implementation MAY cache and reuse a connection to a specific server port or create a new connection.<2>

After a connection is established, a client implementation MUST transmit the **message content** that contains information to perform invocation of the target Remote Method identified by the higher layer as specified in <u>Remote Method Invocation</u>.

The implementation MUST construct a message frame structure as specified in <u>Message Frame Structure</u> with the following additional constraints:

- The implementation SHOULD use <u>single message content (section 2.2.3.3.1)</u> but MAY use <u>chunked message content (section 2.2.3.3.2)</u>.
- The implementation MUST set the **OperationType** field of the message frame to OneWayRequest(1) if the Remote Method is a One-Way Method; otherwise, the field MUST be set to Request(0).
- The implementation MUST send a request message that consists of the message frame and the message content using the previously established TCP connection. The message content MUST be sent as specified in Message Frame Structure. If any error occurs while the message is being written, the implementation MAY reestablish the connection and retransmit the message.
- If the Remote Method is One-Way then the connection MAY be reused after the message has been sent. If the Remote Method is Two-Way then the connection MUST NOT be used to send any other requests to the server until the response for the request is received.<4>

2.1.1.1.2 Receiving Reply

If the **OperationType** of the message is Request(0), an implementation MUST wait for the Two-Way Reply message in the same connection. The implementation MAY have an implementation-

specific time-out period. If the server does not reply within the time-out period, the higher layer MAY be notified of the time-out error. <5>

If the message frame of the reply message does not conform to the structure specified in <u>Message Frame Structure (section 2.2.3.3)</u> or if the **OperationType** field of the message frame is not Reply(2), then the implementation MUST notify the higher layer of the error.

An implementation MAY process implementation-defined <u>CustomHeaders</u> in an implementation-specific way. The implementation MUST ignore any CustomHeaders that it does not understand.

The data in the transport buffer MUST be consumed so that the connection can be reused.

An implementation of the protocol MAY cache the connection after reading the reply, unless the message frame has a CloseConnectionHeader.<6>

2.1.1.2 Server Details

2.1.1.2.1 Receiving Request

The server implementation of this protocol MUST listen on the TCP port as specified in section 3.2.3. When a connection is available, the implementation of the protocol MUST process the message from the connection stream as follows. An implementation MUST NOT send anything other than the reply to this request in this connection.

If the message frame of the request message does not conform to the structure specified in Message Frame Structure (section 2.2.3.3) or if the **OperationType** field of the message frame is neither Request(0) nor OneWayRequest(1) then an implementation MUST send back a fault as specified below.

An implementation MAY process implementation-defined <u>CustomHeaders</u> in an implementation-specific way. The implementation MUST ignore any CustomHeaders that it does not understand. <7>

A transport fault MUST be sent as a reply as specified in <u>Sending Reply (section 2.1.1.2.2)</u> with additional constraints on the message frame construction as follows:

- The **ContentDistribution** field MUST be written as NotChunked.
- The ContentLength field MUST be written as zero.
- The StatusCode header MUST be written with a value of 1 (Error).
- The StatusPhrase header SHOULD contain helpful text about the error.
- The CloseConnection header MUST be written to indicate that the connection must not be cached after processing this message.
- The implementation MUST NOT write any MessageContent.

2.1.1.2.2 Sending Reply

If the <code>OperationType</code> of the request message is OneWayRequest(1), then an implementation MUST NOT send any response. The rest of this section applies only to incoming request messages where the <code>OperationType</code> of the message is Request(0).

An implementation MUST construct a TCP message frame as specified in $\underline{\text{Message Frame Structure}}$ (section 2.2.3.3) with the following constraints:

- The implementation SHOULD use <u>single message content (section 2.2.3.3.1)</u> but MAY use <u>chunked message content (section 2.2.3.3.2)</u>.<8>
- The **OperationType** MUST be written as Reply(2).
- The associated Method Type of the target Remote Method obtained as specified in <u>Dispatching</u> the <u>Call (section 3.2.5.1.4)</u> MUST be obtained in an implementation-specific way. If the **Method** Type is One-Way, then the reply MUST contain an empty message content.

Finally, the implementation MUST package the message content following the message frame as specified in Message Frame Structure (section 2.2.3.3).

A server implementation MUST send the reply in the same connection that the request came in. The implementation MAY use the Close Connection header specified in the <u>CloseConnectionHeader</u> subsection under the Message Frame Structure (section 2.2.3.3) to indicate the client should not send any more messages in this connection.

2.1.2 HTTP Transport

This section specifies the protocol to use HTTP transport as specified in [RFC1945] and [RFC2616] to transmit method invocation and return information. At a high level, the message request of a Remote Method invocation MUST be sent as part of an HTTP request and the reply from the server MUST be sent as part of the HTTP response. In the case of a one-way method, the HTTP response body MUST be empty, as specified in <u>Sending Reply</u> (section 2.1.2.2.2).

Port 80 is the standard port assignment for HTTP and port 443 is the standard port assignment for HTTPS. However, an implementation MUST support other ports if specified by a higher-level protocol in an implementation-defined way.

If instructed by a higher-level protocol in an implementation-specific way, implementations of this protocol MUST require that the HTTP implementation on the server use Basic or Digest Access Authentication for HTTP to authenticate the HTTP client, as specified in [RFC2617] or NTLM authentication [MS-NLMP] for HTTP.

The higher-level protocol MUST provide in an implementation-specific way either credentials in the form of user name/password or a client-side certificate. Implementations of this protocol MUST NOT process the credentials or authentication information. Such processing typically happens entirely inside implementations of lower protocol layers.

2.1.2.1 Client Details

2.1.2.1.1 Sending Request

A Remote Method invocation request MUST be mapped to an HTTP request and MUST have the following HTTP headers:

- An implementation MAY use HTTP/1.0 or HTTP/1.1.<<u><9><10></u>
- The HTTP Method SHOULD be a POST. The HTTP Method MAY be M-POST.
- The Request-URI of the HTTP request message MUST be set to the Server Object URI of the Remote Method.
- The User-Agent SHOULD contain the string "MS .NET Remoting".<11>
- The Content-Type MUST be either a binary format identifier or a **SOAP** format identifier.

■ The message content MUST be transmitted as the HTTP request message body. The message body MAY be sent using chunked transfer coding as specified in [RFC2616] section 3.6.1. If the message body is not chunked then the Content-Length entity header MUST contain the length of the message body in decimal number of octets.<12>

2.1.2.1.2 Receiving Reply

If the target Remote Method that is identified by the higher layer as specified in Remote Method Invocation (section 3.3.4.2) is Two-Way, then the implementation MUST wait for a response. If a response is not received before an implementation-defined time-out, the implementation SHOULD cancel the request and report an error to the higher layer.<a href="mailto:started-unitarios-section-defined-unitarios-se

For Two-Way Remote Methods, if the status code of the HTTP response is one of the successful codes as specified in [RFC2616]] section 10.2 or one of the server-error codes as specified in [RFC2616]] section 10.5, the response MUST be processed further as specified in section 3.3.4.2.4. If the Status-Code is one of the client-error codes as specified in [RFC2616]] section 10.4, the response MUST NOT be processed any further.

For both Two-Way and One-Way Remote Methods an implementation MAY handle other status codes in an implementation-specific way that complies with [RFC2616]. If an error occurs in processing of the other status codes, the response MUST NOT be processed any further.(14>)

2.1.2.2 Server Details

2.1.2.2.1 Receiving Request

A Remote Method invocation request is mapped to an HTTP request. An implementation MUST accept request messages that are sent using either HTTP/1.0 or HTTP/1.1. If the HTTP method is neither POST nor M-POST or if the Content-Type is neither a binary format identifier nor a SOAP format identifier, then an implementation MUST send back a transport fault as specified in the following list.

An implementation MUST send back an HTTP response:

- The HTTP Status-Code of the response MUST be 400.
- The Reason-Phrase SHOULD be "Bad Request".
- The Body of the response MUST be empty.

2.1.2.2.2 Sending Reply

A Remote Method reply is mapped to an HTTP response and MUST have the following HTTP header fields:

- The Content-Type of the response MUST match the Content-Type of the request.
- An implementation MUST return an HTTP response with a Status-Code and message body as shown in the following table. The Reason-Phrase value SHOULD be as follows.

Request message	Status-Code	Reason-Phrase	Message body
One-Way Method	202	Accepted	Empty
Two-Way Method	200	ОК	Serialized message content

2.1.3 SOAP Transport

At a high level, a Two-Way Remote Method invocation is modeled as a SOAP request message with an associated response message. A One-Way Remote Method invocation is modeled as a SOAP request message with no response message.

A request message MUST be constructed as follows:

- The content of the request message MUST be a valid Envelope infoset per [SOAP1.1] section 4.
- The SOAP body infoset MUST contain a valid method request struct per [SOAP1.1] section 7.
- Any method parameters MUST be encoded as a valid serialization of the [SOAP1.1] section 5 encoding.

A response message MUST be constructed as follows:

- The content of the response message MUST be a valid Envelope infoset per [SOAP1.1] section 4.
- The SOAP body infoset MUST contain a valid method response struct per [SOAP1.1] section 7.
- Any method parameters MUST be encoded as a valid serialization of the [SOAP1.1] section 5 encoding.

When using .NET Remoting over the SOAP protocol, .NET Remoting can be bound to HTTP or TCP.

- For HTTP, .NET Remoting method invocation MUST be bound to an HTTP request/response pair per [SOAP1.1] section 6.
- For TCP, see section 2.1.1 (raw TCP binding).

2.1.3.1 **SOAP on HTTP**

When using HTTP, the message MUST be transmitted as specified in [SOAP1.1] section 6.

2.1.3.1.1 Client Details

2.1.3.1.1.1 Sending Request

HTTP request MUST be constructed as specified in <a>[SOAP1.1] section 6 with the following additional constraints:

- The Request-URI of the HTTP request message MUST be set to the Server Object URI of the Remote Method.
- The User-Agent SHOULD contain the string "MS .NET Remoting".<15>
- The charset of the Content-Type SHOULD be **UTF-8**.

2.1.3.1.1.2 Receiving Reply

An HTTP response MUST be processed as specified in [SOAP1.1] section 6.

An implementation MAY handle status codes—other than successful codes specified in [RFC2616] section 10.2 or one of the server-error codes as specified in [RFC2616] section 10.5—in an implementation-specific way that complies with [RFC2616]. If an error occurs in the processing of the other status codes, the response MUST NOT be processed any further. <16>

2.1.3.1.2 Server Details

2.1.3.1.2.1 Receiving Request

HTTP request MUST be processed as specified in [SOAP1.1] section 6.

2.1.3.1.2.2 Sending Reply

HTTP response MUST be constructed as specified in [SOAP1.1] section 6 with the following additional constraint:

The charset of the Content-Type SHOULD be UTF-8.

2.1.3.2 **SOAP on TCP**

This section specifies a binding of SOAP to TCP for use in .NET Remoting:

- The TCP connection MUST be a duplex connection.
- The SOAP request and the SOAP response MUST be transmitted through the same connection.
 The connection MUST be exclusive for a request-response until the response is completely processed by the client.
- The payload MUST include enough information outside the SOAP Envelope (as transport frame header) to identify the Server Object and the format identifier.
- SOAP Faults have no impact on the TCP Message Frame structure.

2.1.3.2.1 Client Details

2.1.3.2.1.1 Sending Request

A SOAP request parameter using TCP transport MUST be sent as specified in the $\underline{\text{Sending Request}}$ subsection under the $\underline{\text{TCP Transport}}$ section with the following additional constraint:

• The Content-Type header MUST be set to a SOAP format identifier.

2.1.3.2.1.2 Receiving Reply

A SOAP reply using TCP transport MUST be processed as specified in the <u>Receiving Reply</u> subsection under the <u>TCP Transport</u> section.

2.1.3.2.2 Server Details

2.1.3.2.2.1 Receiving Request

A SOAP request parameter using TCP transport MUST be processed as specified in the <u>Receiving</u> Request subsection under the <u>TCP Transport</u> section with the following additional constraint:

• If the Content-Type is not a SOAP format identifier, the message does not belong to this transport protocol. A transport fault MUST be sent back as specified in the Receiving Request subsection under the TCP Transport section.

2.1.3.2.2.2 Sending Reply

A SOAP reply parameter using TCP transport MUST be sent as specified in the <u>Sending Reply</u> subsection under the <u>TCP Transport</u> section.

2.2 Message Syntax

2.2.1 Common Patterns

This section specifies common string patterns using Augmented Backus-Naur Form (ABNF) syntax specified in [RFC4234].

2.2.1.1 IdentifierName

IdentifierName MUST follow Annex 7 of Technical Report 15 of the **Unicode** Standard 3.0 governing the set of characters permitted to start and be included in identifiers as specified in [UNICODENORMFORMS]. Identifiers MUST be in the canonical format defined by Unicode Normalization Form C.

For more information see [ECMA-335] section 8.5.1.

2.2.1.2 RemotingTypeName

A value that complies with this pattern identifies a Remoting Type. It MUST be of the following format.

Formats for type name	Formats for type names		
TypeName	=	0*1(NamespaceIdentifier '.') TypeIdentifier 0*1(TypeParameterList) 0*1(Dimension)	
Dimension	=	'[' '*' / 0*(',') ']'	
TypeIdentifier	=	0*(TypeIdentifier '+') IDENTIFIER 0*1(TypeParameterCount)	
TypeParameterCount	=	'`' 1*('0'-'9')	
TypeParameterList	=	'[' '[' QualifiedTypeName ']' 0*(',' '[' QualifiedTypeName ']') ']' <17>	
NamespaceIdentifier	=	IDENTIFIER 0*('.' IDENTIFIER)	
IDENTIFIER	=	See IdentifierName (section 2.2.1.1)	
QualifiedTypeName	=	TypeName ',' LibraryName	

2.2.1.3 LibraryName

A value complying with this pattern identifies a Library in the Remoting Data Model. It MUST be of the following format.

Formats for library names		
LibraryName	=	LibraryIdentifier *(',' LibraryProperty)
LibraryIdentifier	=	IDENTIFIER; as specified in <u>IdentifierName (section 2.2.1.1)</u>

Formats for library names		
LibraryProperty	=	VersionProperty / PublicKeyTokenProperty / CultureProperty / RetargetableProperty
VersionProperty	II	'Version' '=' VersionValue
RetargetableProperty	=	'Retargetable' '=' 'Yes' / 'No'
VersionValue	=	UInt16 '.' UInt16 '.' UInt16 '.' UInt16
UInt16	=	1*5(DIGIT); Range from 0 to 65536
PublicKeyTokenProperty	II	'PublicKeyToken' '=' TokenValue
TokenValue	II	16*16(HEXDIG) / NULLSTRING
NULLSTRING	II	'null'
CultureProperty	=	Culture of the Library in [RFC1766] format, or "neutral" for language-independent (nonsatellite) assemblies.

2.2.1.4 Method Signature

A value complying with this pattern uniquely identifies a Method in a **Class**. The value MUST be of the following format.

Formats for Method Signature		
Method	=	TypeName IDENTIFIER TypeIdentifierList ArgumentList
ArgumentList	=	'(' 0*1(Argument 0*(',' Argument)) ')' ';'
Argument	=	TypeName 0*1('ByRef'')
TypeIdentifierList	=	'[' '[' TypeIdentifier ']' 0*(',' '[' TypeIdentifier ']') ']'
IDENTIFIER	=	See <u>IdentifierName (section 2.2.1.1)</u>
TypeIdentifier	=	See RemotingTypeName (section 2.2.1.2)

2.2.2 Common Types

This section defines Classes that are used by this protocol. The Class definitions in this section use the notation defined in section <u>2.2.5</u>. The definitions correspond to the Remoting Data Model and can be mapped to the formats specified in [MS-NRBF] or [SOAP1.1]. The instructions to map the Classes to the binary format specified in [MS-NRBF] are specified in <u>3.1.5.1</u>. The instructions to map the Classes to the SOAP format [SOAP1.1] are specified in 3.1.5.2.

2.2.2.1 ObjRef

ObjRef is a Class. The Library name of the Class is "mscorlib". It represents a Server Object Reference. It is Assignable to Remoting Types of all Server Objects.

```
namespace System.Runtime.Remoting
{
```

uri: A URI that identifies the Server Object.

objRefFlags: An Int32 value that indicates whether the **ObjRef** is created from a MSO or from a SAO. If the second lowest bit (value of 2) is set, then **ObjRef** is created from an SAO. Otherwise, it is created from an MSO.

typeInfo: A <u>TypeInfo</u> instance that contains name, Base Class, and Interfaces of the Server Type.

envoyInfo: An instance of EnvoyInfo that contains the Envoy Sink Information of the Server Object.

channelInfo: A ChannelInfo instance that contains a list of ServerURIs.

fIsMarshalled: An Int32 value that specifies whether **ObjRef** is well-formed. An **ObjRef** is well-formed if all of the following are true:

- The ObjRef Class is not a Null Object.
- The uri field is not a Null Object.
- One of the following is true:
 - The second lowest bit of objRefFlags (value of 2) is set.
 - The **channelInfo** field is not a Null Object.

If the second lowest bit (value of 2) is set, then the value of the **uri** field MUST be an absolute URI. Otherwise, the value of the **uri** field MUST be a relative URI.

2.2.2.2 TypeInfo

TypeInfo is a Class. The Library name of the Class is "mscorlib". It contains information about the Server Type and is used in an **ObjRef** class.

```
namespace System.Runtime.Remoting
{
  class TypeInfo
  {
    String serverType;
    String[] serverHierarchy;
    String[] interfacesImplemented;
  }
}
```

serverType: A String value that identifies the Server Type. The format of the String value is specified as QualifiedTypeName in section TypeName.

serverHierarchy: An **Array** of String values that identifies the Base Classes of the Server Type. The format of the String is specified as QualifiedTypeName in section TypeName.

interfacesImplemented: An Array of String that identifies the **Server Interfaces** implemented by Server Type. The format of the String value is specified as QualifiedTypeName in section TypeName.

2.2.2.3 EnvoyInfo

EnvoyInfo is a Class. The Library name of the Class is "mscorlib". An instance of this Class contains Envoy Sink Information.

```
namespace System.Runtime.Remoting
{
  class EnvoyInfo
  {
    System.Object envoySinks;
  }
}
```

envoySinks: A Data Value that represents the Envoy Sink Information of a Server Object. The value of this field MUST NOT be a Server Object or a Proxy.

2.2.2.4 ChannelInfo

ChannelInfo is a Class. The Library name of the Class is "mscorlib". It contains the information about available channels in the server. It is used by ObjRef.

```
namespace System.Runtime.Remoting
{
  class ChannelInfo
  {
    System.Object[] channelData;
  }
}
```

channelData: An Array that can contain instances of ChannelDataStore specified in ChannelDataStore (section 2.2.2.5).

2.2.2.5 ChannelDataStore

ChannelDataStore is a Class. The Library name of the Class is "mscorlib". It is an item in the 'channelData' Array in the ChannelInfo Class.

```
namespace System.Runtime.Remoting
{
```

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```
class ChannelDataStore
{
   String[] __channelURIs;
   System.Collections.DictionaryEntry[] extraData;
}
```

_channelURIs: Contains a **Channel URI**. The Array MUST contain at least one item.

extraData: This field SHOULD be a Null Object. Readers MUST ignore this field.

2.2.2.6 DictionaryEntry

DictionaryEntry is a Class. The Library name of the Class is "mscorlib". It is defined as follows.

```
namespace System.Collection
{
  class DictionaryEntry
  {
    System.Object _key;
    System.Object _value;
  }
}
```

key: _An instance of any Remoting Type.

_value: An instance of any Remoting Type.

2.2.2.7 System.Exception

System.Exception is a Class. The Library name of the Class is "mscorlib". This is the Base Class for all Exception Classes.

```
namespace System
{
   class Exception
   {
      String ClassName;
      String Message;
      System.Exception InnerException;
      String HelpUrl;
      String StackTraceString;
      String RemoteStackTraceString;
      Int32 RemoteStackIndex;
      String ExceptionMethod;
      Int32 HResult;
      String Source;
      System.Object Data;
   }
}
```

ClassName: The <u>TypeName</u> of the Exception Class. If the instance is a Derived Class of this Class then this field MUST contain the TypeName of the most Derived Class.

Message: A String value that represents a human-readable text that describes the Exception. The string MAY be localized.<18>

InnerException: An instance assignable to System.Exception that is wrapped by this Exception. If this Exception does not wrap any other Exception, then the value of this field MUST be NullObject.

HelpUrl: Link to a page that contains additional information about the Exception. This field is optional and its value MAY be NullObject.

RemoteStackTraceString: String representation of the call stack when the Exception was thrown on the server side. If the Exception was thrown across multiple remoting boundaries, then this field SHOULD contain a concatenation of the stack traces of all the remoting servers. The stack traces MUST be ordered from the ultimate Remoting Server to the first Remoting Server that was called by the client.

RemoteStackIndex: An int representing the number of Remoting boundaries that the Exception has propagated beyond the first one. This value MUST be zero for a simple client server scenario.

ExceptionMethod: A string value that provides information about the method that throws the Exception.

HResult: An Int32 value that specifies the numerical error code.

Source: A string value that is set by the code that throws the Exception. This MAY be any string.

Data: An instance of any Data Value that provides additional information about the Exception. The semantics of the value are not part of this protocol.<19>

2.2.2.8 SystemException

SystemException is a Derived Class of System. Exception. The Library name of the Class is "mscorlib". There are no **Members** other than the Members inherited from the System. Exception Class. This Class has an additional constraint: The **HResult** MUST be hex value 0x80131501.

```
namespace System
{
  class SystemException : System.Exception
  {
  }
}
```

2.2.2.9 RemotingException

RemotingException is a Derived Class of SystemException. The Library name of the Class is "mscorlib". There are no Members other than the Members inherited from the System.Exception Class. This Class has an additional constraint: The **HResult** MUST be hex value 0x8013150B.

```
namespace System.Runtime.Remoting
{
```

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```
class RemotingException : System.SystemException
{
  }
}
```

2.2.2.10 SerializationException

SerializationException is a Derived Class of SystemException. The Library name of the Class is "mscorlib". There are no Members other than the Members inherited from the System.Exception Class. This Class has an additional constraint: The **HResult** MUST be hex value 0x8013150C.

```
namespace System.Runtime.Serialization
{
  class SerializationException : System.SystemException
  {
  }
}
```

2.2.2.11 System.Type

System.Type is a Class contained in the System Assembly. An instance of System.Type represents a Remoting Type. The instance can be part of a Data Value graph. The Class has no Members. It relies on Classes that are assignable to System.Type to provide the information about the Remoting Type. For further information, see <u>UnitySerializationHolder</u> (section 2.2.2.12).

```
namespace System
{
  class System.Type
  {
  }
}
```

This Class has no Members.

2.2.2.12 UnitySerializationHolder

UnitySerializationHolder is a Class. The Library name of the Class is "mscorlib". It contains metadata that provides information about a Remoting Type. It is Assignable to System.Type.

UnityType: An Int32 value that indicates whether the Remoting Type is a **Generic Type** as shown in the following table.

UnityType value	Remoting Type category
4	All types except Instantiated Generic Type
8	Instantiated Generic Type

Data: _A **String** value that contains the name of the Remoting Type. The value MUST conform to the format specified in <u>TypeName (section 2.2.1.2)</u>.

AssemblyName: A **String** value that contains the name of the Library that contains the Remoting Type. The value MUST conform to the format specified in <u>LibraryName (section 2.2.1.3)</u>.

GenericArguments: A **System.Type Array** value that contains the information about the Remoting Type of the actual parameters that were used to construct the Instantiated Generic Type. This field MUST be present if UnityType is 8. This field MUST NOT be present for other values of UnityType. This field MUST have at least one entry and MUST NOT contain any null entries.<20>

2.2.2.13 MemberInfoSerializationHolder

MemberInfoSerializationHolder is a Class. The Library name of the Class is "mscorlib". It contains information about a Member. The Class is defined as follows.

```
namespace System.Reflection
{
    class MemberInfoSerializationHolder
    {
        String Name;
        String AssemblyName;
        String ClassName;
        String Signature;
        Int32 MemberType;
        System.Type[] GenericArguments;
    }
}
```

Name: A String value that contains the name of the Member.

AssemblyName: A String value that represents the name of the Library containing the Class that contains the Member. The value MUST conform to the format specified in <u>LibraryName</u> (section 2.2.1.3).

ClassName: A String value that represents the name of the Class that contains the Member. The value MUST conform to the format specified in RemotingTypeName (section 2.2.1.2).

Signature: A String value that uniquely identifies a Member in the given Remoting Type.

MemberType: An Int32 value that indicates the type of the Member. The possible values of the field are as follows.

The possible values of the MemberType field		
Constructor	Indicates that the Member is a constructor of a .NET Class. Its value is 1.	
Event	Indicates that the Member is a .NET Event. Its value is 2.	
Field	Indicates that the Member is a field of a .NET Class. Its value is 4.	
Method	Indicates that the Member is a method of a .NET Class. Its value is 8.	
NestedType	estedType Indicates that the Member is a nested .NET Class. Its value is 128.	
Property	Indicates that the Member is a property of a .NET Class. Its value is 16.	

GenericArguments: A <u>System.Type</u> Array value that contains the information about the Remoting Type of the actual parameters that were used to construct the Instantiated Generic Method. If the Member is not generic, then this field MUST contain Null Object.<a href="mailto:

2.2.2.14 DelegateEntry

DelegateEntry is a Class. The Library name of the Class is "mscorlib". The full name of the Class is System.DelegateSerializationHolder+DelegateEntry. It is a linked list that has one node for each Remote Method. Each entry contains information about the **Delegate** itself, the Remote Method, and Target object. It is defined as follows.

assembly: A String value that contains the name of a Library that contains the Delegate. The value MUST conform to the format specified in <u>LibraryName</u> (section 2.2.1.3).

delegateEntry: A DelegateEntry value that contains a reference to another DelegateEntry. The value MUST be a Null Object if there are no additional DelegateEntries.

methodName: A String value that contains the name of a Remote Method of the Delegate.

target: A String value that contains the name of a Member of <u>DelegateSerializationHolder</u>. The DelegateSerializationHolder instance that contains this DelegateEntry MUST contain a Member with this name.

targetTypeAssembly: A String value that contains the name of the Library that contains the Remoting Type target of the Delegate. The value MUST conform to the format specified in LibraryName (section 2.2.1.3).

targetTypeName: A String value that contains the name of the Remoting Type target of the Delegate. The value MUST conform to the format specified in RemotingTypeName (section 2.2.1.2).

type: A String value that contains the name of the Delegate. The value MUST conform to the format specified in RemotingTypeName (section 2.2.1.2).

2.2.2.15 DelegateSerializationHolder

DelegateSerializationHolder is a Class. The Library name of the Class is "mscorlib". Its full name is 'System.DelegateSerializationHolder'. It contains information about a Delegate.

The DelegateSerializationHolder has a Member called Delegate, whose type is a <u>DelegateEntry</u> (<u>section 2.2.2.14</u>) value. The DelegateSerializationHolder is structured as a linked list, with each instance of DelegateEntry providing a reference to information about a Remote Method and its target in the Delegate.

For each Remote Method target of the Delegate, there is a Member to contain the Target Proxy for the Method. The Member is typed to the Server Type of the Remote Method. As specified in section 2.2.2.14, the value of the target field of the DelegateEntry for the Remote Method MUST match the name of this Member. An implementation MAY use any naming scheme to name the target Member. <22>

For each Remote Method, there is an optional Member that is typed to System.Reflection.MemberInfoSerializationHolder that contains information about the Method. To represent a Method, the Members of MemberInfoSerializationHolder MUST be set as follows:

- The field **Signature** MUST contain the **Method Signature** of the Delegate. It MUST conform to the format specified in <u>Method Signature</u> (section 2.2.1.4).
- The value of the field **MemberType** MUST be 8.

If the Method Member is present, then the method name specified by the Name field of MemberInfoSerializationHolder MUST match the **methodName** in the DelegateEntry.

The Method Member MUST be of the following format.

Format for Member names			
MethodMemberName	=	'Method' Index	
Index	=	1*('0'-'9')	

For a given DelegateSerializationHolder, the value of the Index MUST start from 0 and MUST increase by 1 for each Method Member. The Index value MUST match the index of the DelegateEntry for the Remote Method in the linked list. <23>

2.2.2.16 CallContextRemotingData

CallContextRemotingData is a Class. The Library name of the Class is "mscorlib". It is used to send **Logical Call ID** as part of the Call Context.

```
namespace System.Runtime.Remoting.Messaging
{
  class CallContextRemotingData
  {
    String ___logicalCallID;
  }
}
```

_logicalCallID: A string value that represents the Logical Call ID. The value MAY be any Unicode string.

2.2.2.17 ServerFault

ServerFault is a Class. The Library name of the Class is "mscorlib". It contains fault detail information that is used as part of SOAP fault.

- **exceptionType:** A String that contains the name of the Remoting Type of the Exception. The format of the string is as specified in Section <u>2.2.1.2</u>.
- message: A String that contains a human-readable text message that describes the fault.
- **stackTrace**: A String that contains the **StackTrace** field of the Exception.
- **exception:** A Data Value that is assignable to <u>System.Exception</u>. The exception object is serialized as specified in <u>[SOAP1.1]</u> section 5. The structure of the System.Exception Class is specified in section 2.2.2.7.

If the client is an implementation of the .NET Remoting Protocol, then the Exception field MUST have a valid value and the other elements MUST contain a serialized Null Object. Otherwise, the Exception field MUST contain a Null Object and other elements MUST contain a valid value.

The client is considered to be an implementation of the .NET Remoting Protocol if one of the following is true:

- The transport is TCP.
- The transport is HTTP and the User-Agent header contains "MS .Net Remoting" (case sensitive).

2.2.3 TCP Message Syntax

The Remote Method payload is transmitted in message content between clients and server. The protocol does not consume or modify the message content (except in the case of **chunked**

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encoding where the message content is encoded into one or more chunks). The protocol inserts a message frame before the message content and consumes it on the receiving end. The message frame contains the following information:

- 1. **OperationType** that specifies whether the message is a Two-Way Request, Two-Way Response or One-Way message.
- 2. Request URI that identifies the Server Object.
- 3. Content Type that identifies the serialization format.
- 4. **ContentDistribution** that specifies whether or not the message content is transmitted as chunked encoding.
- 5. **Close Connection** header that indicates whether the connection must be closed after reading the message.
- 6. Custom Headers from higher layers.

2.2.3.1 Common Enumerations

2.2.3.1.1 OperationType

The type of the values of this enumeration is UInt16. The enumeration is used in the **OperationType** field of the TCP message frame. The possible values of the enumeration and their meanings are given as follows.

Constant/value	Description
Request 0	Identifies a request message of a Two-Way Method.
OneWayRequest	Identifies a request message of a One-Way Method.
Reply 2	Identifies a reply message of a Two-Way Method.

2.2.3.1.2 ContentDistribution

The type of the values of this enumeration is UInt16. The enumeration is used in the **ContentDistribution** field of the TCP message frame. The possible values of the enumeration and their meanings are given as follows.

Constant/value	Description
NotChunked 0	Message content is not written as chunked encoding.
Chunked 1	Message content is written as chunked encoding.

2.2.3.1.3 HeaderToken

The type of the values of this enumeration is UInt16. The enumeration is used to identify the message <u>frame headers</u>. The possible values of the enumeration and their meanings are given as follows.

Constant/value	Description
EndHeaders 0	Identifies the <u>EndHeader</u> .
Custom 1	Identifies a <u>CustomHeader</u> .
StatusCode 2	Identifies a <u>StatusCodeHeader</u> .
StatusPhrase 3	Identifies a <u>StatusPhraseHeader</u> .
RequestUri 4	Identifies the <u>RequestURIHeader</u> .
CloseConnection 5	Identifies a <u>CloseConnectionHeader</u> .
ContentType 6	Identifies a <u>ContentTypeHeader</u> .

2.2.3.1.4 HeaderDataFormat

The type of the values of this enumeration is Byte. A value of the enumeration is contained in a message <u>frame header</u> and identifies the type of the data contained in the header. The possible HeaderDataFormat constants and their meanings are as follows.

Constant/value	Description									
Void 0	Indicates that there is no data in the containing header.									
CountedString 1	Indicates that the following data is a <u>CountedString</u> .									
Byte 2	Indicates that the following data is a BYTE .									
Uint16 3	Indicates that the following data is a <u>UINT16</u> .									
Int32 4	Indicates that the following data is an INT32 .									

2.2.3.1.5 StringEncoding

The type of the values of this enumeration is Byte. The enumeration is used in the <u>CountedString</u> type. The values for the **StringEncoding** constant are as follows.

Constant/value	Description
Unicode 0	Byte that identifies the string as a Unicode-encoded string.
UTF8	Byte that identifies the string as a UTF-8-encoded string.

2.2.3.1.6 TCPStatusCode

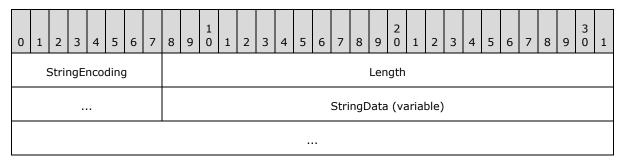
The type of the values of this enumeration is UInt16. The enumeration is used in the StatusCodeHeader. The possible values and their meanings are as follows.

Constant/value	Description
Success 0	No Error.
Error 1	Error when processing the message frame.

2.2.3.2 Common Types

2.2.3.2.1 CountedString

The strings in the header section are defined as CountedString. The CountedString has a one-byte format identifier, followed by the length of the encoded string in bytes and the encoded bytes.



StringEncoding (1 byte): A <u>StringEncoding</u> value that identifies whether the string encoding is UTF-8 or Unicode.

Length (4 bytes): An int32 value that specifies the length of **StringData** in bytes.

StringData (variable): The string data whose length is specified in the **Length** field and encoding specified in the **StringEncoding** field.

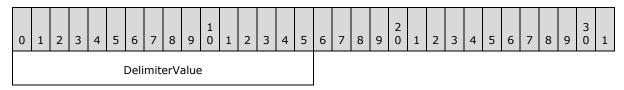
2.2.3.2.2 TcpUriString

This is the Request URI for the TCP transport. The format of the URI MUST conform to the form specified in [RFC3986] section 3 with the following constraints:

- The URI string MUST have the scheme as "tcp".
- The server host address MUST be in the host subcomponent of the URI. It MAY be a Domain Name System (DNS) name as specified in [RFC1034] section 3, an IPv4 address as specified in [RFC3513] section 2.2.<<24>
- There are no fixed ports for this protocol. The URI MUST contain the port subcomponent.
- The Server Object URI path MUST be the path subcomponent.

2.2.3.2.3 ChunkDelimiter

When a message frame contains multiple chunks of message content, an instance of the ChunkDelimiter type is used at the end of each chunk.



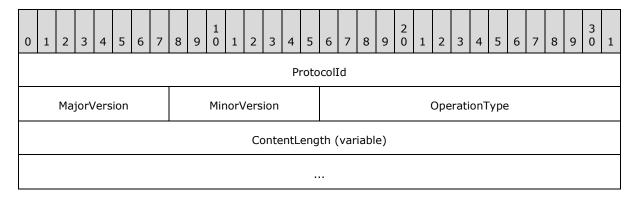
DelimiterValue (2 bytes): This field contains a UInt16 value that indicates a delimiter. Its value MUST be hex 0x0D0A ('\r' '\n').

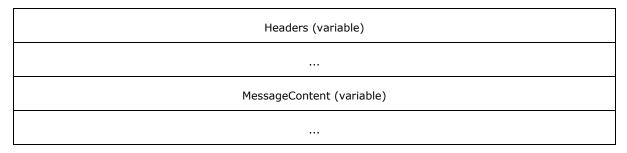
2.2.3.3 Message Frame Structure

The message body consists of a message frame structure followed by the message content. The protocol allows writing all of the message content in a single chunk after the message frame or as multiple chunks that appear contiguously after the message frame. Each chunk is prefixed by the length of the chunk and the last chunk as a length of 0 bytes. <25>

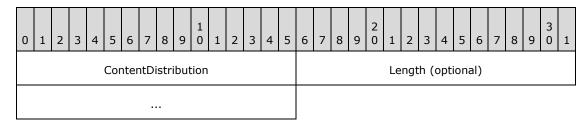
2.2.3.3.1 Single Message Content

The message content follows the message frame. The message frame's **ContentLength** field indicates this with a value of 0 followed by an int32 value that indicates the length of the message content.





- **ProtocolId (4 bytes):** This field contains an Int32 value that identifies the protocol. Its value MUST be hex 0x54454E2E.
- **MajorVersion (1 byte):** This field contains a byte value that identifies the major version of the protocol. Its value MUST be 1.
- **MinorVersion (1 byte):** This field contains a byte value that identifies the minor version of the protocol. Its value MUST be 0.
- **OperationType (2 bytes):** The field is of type <u>OperationType</u>. This field identifies whether the message is for a One-Way Method or Two-Way Method and, if the message is for a Two-Way Method, the field identifies whether the message is a request message or reply message.
- **ContentLength (variable):** A field that follows the operation field. This field is of variable length. It contains a UInt16 and can optionally contain an Int32.



- **ContentDistribution (2 bytes):** A value that specifies whether the content is chunked or not. Its value MUST be 0.
- **Length (4 bytes):** An Int32 value that specifies the length of the data in the message content. This field MUST be present if the **ContentDistribution** field is 0 (Not Chunked); otherwise, this field MUST NOT be present.
- **Headers (variable):** A combination of frame header packets as defined in section Frame Headers (section 2.2.3.3.3).
- **MessageContent (variable):** A stream of bytes that contains the content. The number of bytes in the stream MUST equal the value of the **Length** field of the **ContentLength** field of the message frame.

2.2.3.3.2 Chunked Message Content

In this mode, the message content is segmented into multiple parts. The mode is indicated with a value of 1 in the **ContentDistribution** field of the message frame. A chunked message content is shown as follows.

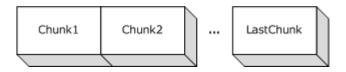


Figure 5: Layout of chunked message content

The message is of the following format.

0	1	2	3	4	5	6	7	8	9	1 0	1	2	3	4	5	6	7	8	9	2 0	1	2	3	4	5	6	7	8	9	3 0	1
	ProtocolId																														
	MajorVersion MinorVersion														OperationType																
	ContentDistribution Headers (variable)																														
														Ch	unk	(1Si	ize														
											Mes	ssag	geC	onte	ent(Chui	nk1	(va	rial	ole)											
							Trai	ler1	L											N	1ore	eCh	unk	s (v	aria	able)				
														Last	.Ch	unk:	Size	9													
LastTrailer																															

ProtocolId (4 bytes): This field contains an Int32 value that identifies the protocol. Its value MUST be hex 0x54454E2E.

MajorVersion (1 byte): This field contains a byte value that identifies the major version of the protocol. Its value MUST be 1.

MinorVersion (1 byte): This field contains a byte value that identifies the minor version of the protocol. Its value MUST be 0.

OperationType (2 bytes): The field is of type <u>OperationType</u>. This field identifies whether the message is for a One-Way Method or Two-Way Method and, if the message is for a Two-Way Method, identifies whether the message is a request message or reply message.

ContentDistribution (2 bytes): A value that specifies whether the content is chunked or not. Its value MUST be 1.

Headers (variable): A combination of frame header packets as specified in section <u>Frame Headers (section 2.2.3.3.3)</u>.

Chunk1Size (4 bytes): This field is of type Int32 and specifies the length of the message content chunk.

MessageContentChunk1 (variable): A segment of the message content data whose length is specified by the last field (**Chunk1Size**). The number of bytes in the MessageContentChunk1 segment MUST equal the value of the **Chunk1Size** field.

Trailer1 (2 bytes): Indicates the end of a chunk. The type of this field is ChunkDelimiter.

MoreChunks (variable): Additional segments of the message content. Each segment consists of a ChunkSize, MessageContentChunk, and Trailer, as previously specified for the first segment. The number of bytes in MessageContentChunk MUST equal the value of the ChunkSize field.

LastChunkSize (4 bytes): This field is of type Int32 and specifies the length of the message content chunk. The size of the last chunk MUST be zero.

LastTrailer (2 bytes): Indicates the end of a chunk. The type of this field is ChunkDelimiter.

2.2.3.3.3 Frame Headers

Message frames SHOULD have one or more frame headers. The last frame header MUST be an EndHeader that marks the end of headers. The following diagram describes the layout of headers in a message frame.



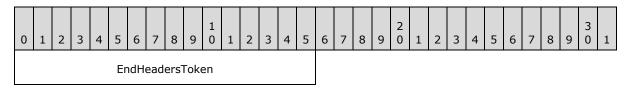
Figure 6: Layout of headers in a message frame

For more information on the Members of the message frame structure, refer to section <u>Message</u> <u>Frame Structure</u> (section 2.2.3.3).

The following sections describe the headers that are available for the Headers and EndHeader sections of this packet.

2.2.3.3.3.1 EndHeader

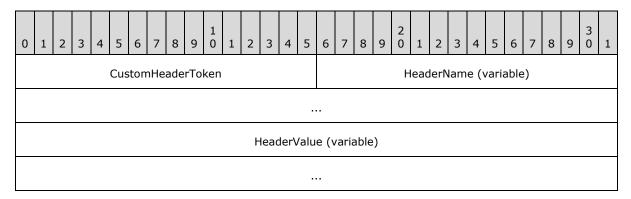
The EndHeader marks the end of the headers.



EndHeadersToken (2 bytes): The field contains a <u>HeaderToken</u> value that identifies the EndHeader type. Its value MUST be 0.

2.2.3.3.3.2 CustomHeader

The CustomHeader is used for passing implementation-specific information.



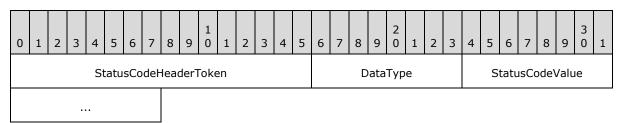
CustomHeaderToken (2 bytes): A <u>HeaderToken</u> enumeration that identifies the custom header. Its value MUST be 1.

HeaderName (variable): A <u>CountedString</u> value that represents the name of the custom header.

HeaderValue (variable): A CountedString value that represents the value of the custom header.

2.2.3.3.3.3 StatusCodeHeader

The StatusCodeHeader is included in reply messages of Two-Way Method invocation. StatusCodeHeader contains the error code for any error that occurred when the server interpreted the request message frame.



StatusCodeHeaderToken (2 bytes): A <u>HeaderToken</u> enumeration that indicates the header type. Its value MUST be 2.

DataType (1 byte): A <u>HeaderDataFormat</u> enumeration indicating the type of the data to be UInt16. Its value MUST be 3.

StatusCodeValue (2 bytes): A **TCPStatusCode** enumeration that indicates the status code.

2.2.3.3.3.4 StatusPhraseHeader

The StatusPhraseHeader header is optionally included along with <u>StatusCodeHeader</u> with an error code. It contains a human-readable message about the error.

0	1	2	3	4	5	6	7	8	9	1 0	1	2	3	4	5	6	7	8	9	2 0	1	2	3	4	5	6	7	8	9	3	1
StatusPhraseHeaderToken													D	ata	Тур	e				St		sPhr vari			ue						

StatusPhraseHeaderToken (2 bytes): A <u>HeaderToken</u> enumeration that indicates the Header type. Its value MUST be 3.

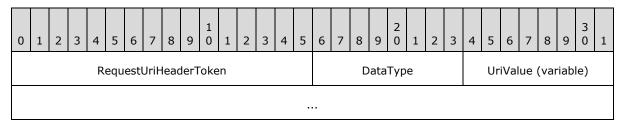
DataType (1 byte): A <u>HeaderDataFormat</u> enumeration that indicates the type of the data to be CountedString. Its value MUST be 1.

StatusPhraseValue (variable): A CountedString value that represents the human-readable message.

This header MAY accompany StatusCodeHeader to indicate the error in human-readable form. A missing header implies a value of empty string.

2.2.3.3.3.5 RequestUriHeader

The RequestUriHeader header contains the **RequestURI**.



RequestUriHeaderToken (2 bytes): A <u>HeaderToken</u> enumeration that indicates the Header type. Its value MUST be 4.

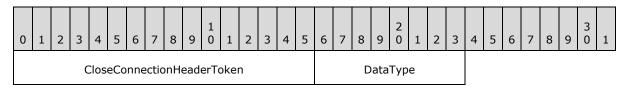
DataType (1 byte): A <u>HeaderDataFormat</u> enumeration that indicates the type of the data to be CountedString. Its value MUST be 1.

UriValue (variable): A <u>TcpUriString</u> value that represents the RequestURI.

The requested URI can be a relative or an absolute URI.

2.2.3.3.3.6 CloseConnectionHeader

The CloseConnectionHeader packet header indicates that the receiver is not supposed to cache the connection after processing message containing this header.

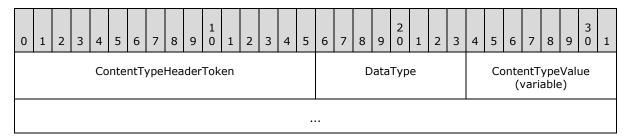


CloseConnectionHeaderToken (2 bytes): A <u>HeaderToken</u> enumeration that indicates the Header type. Its value MUST be 5.

DataType (1 byte): A <u>HeaderDataFormat</u> enumeration that indicates the type of the data. Its value MUST be 0 indicating that there is no Data for this record.

2.2.3.3.3.7 ContentTypeHeader

The ContentTypeHeader header indicates the serialization format of the message content.



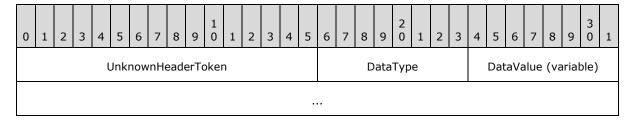
ContentTypeHeaderToken (2 bytes): A <u>HeaderToken</u> enumeration that indicates the Header type. Its value MUST be 6.

DataType (1 byte): A <u>HeaderDataFormat</u> enumeration that indicates the type of the data to be <u>CountedString</u>. Its value MUST be 1.

ContentTypeValue (variable): A CountedString value that represents the content-type of the message content.

2.2.3.3.3.8 UnknownHeader

An UnknownHeader header has an unknown HeaderToken. It is meant for handling the addition of headers in future. An implementation MUST NOT write this header. However this header MUST be ignored on read.



UnknownHeaderToken (2 bytes): An UInt16 value. Its value MUST be greater than 6.

DataType (1 byte): A <u>HeaderDataFormat</u> enumeration that indicates the type of the data.

DataValue (variable): The type of this field is as specified in the table in HeaderDataFormat.

2.2.4 SOAP Serialization Format

2.2.4.1 SOAP Action String

A SOAP Action string is a URI that is used as the SOAP Action field in the HTTP header as specified in <a>[SOAP1.1] section 6. The SOAP action is derived from the Remote Method. An implementation MUST define its own mechanism to create a SOAP action for the given Remote Method. The client

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and server MUST agree on the mechanism so that a given Remote Method ends up with the same SOAP action on both sides. <26>

2.2.4.2 Remoting Type Name Encoding

SOAP **XML** messages use qualified names to identify Remoting Type names, which consist of an **XML namespace** and a **local name**. An implementation MUST define its own mechanism to derive the XML namespace and local name for the given Remoting Type. The client and the server MUST agree on the mechanism so that a given Remoting Type ends up with the same qualified name on both sides. <27>

2.2.4.3 Method Name Encoding

As specified in [SOAP1.1] section 7, the **Remoting Method** MUST be mapped to a SOAP struct. The name of the struct MUST be a qualified name and MUST be distinct for request and response. An implementation MUST define its own mechanism to derive the XML namespace and local name for the request and response. The client and the server MUST agree on the mechanism so that a given method ends up with the same qualified names on both sides. <28>

2.2.4.4 Method Signature SOAP Header

The Method Signature in SOAP is serialized as an element whose schema is as follows.

MethodSignature is an element whose local name is "__MethodSignature" and whose XML namespace is "http://schemas.microsoft.com/clr/soap/messageProperties". The value of the header contains a list of qualified names separated by a SPACE (x20). Each qualified name identifies the Remoting Type of a parameter encoded as specified in the Remoting Type Name Encoding section.

2.2.4.5 Call Context SOAP Header

The Call Context MUST be encoded as a SOAP header element. The element's local name MUST be " CallContext" and its XML namespace MUST be

"http://schemas.microsoft.com/clr/soap/messageProperties". It MUST be encoded as a struct, as specified in [SOAP1.1] section 5. The name of the struct MUST be "LogicalCallContext" from "http://schemas.microsoft.com/clr/ns/System.Runtime.Remoting.Messaging" namespace. Each name-value pair of the Call Context MUST be mapped to a Member name and a Member value of the struct. The Member values MUST be encoded as specified in [SOAP1.1] section 5.

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[MS-NRTP] — v20140502 .NET Remoting: Core Protocol

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2.2.5 .NET Remoting Description Notation

This section specifies a description notation to describe the metadata of the Remoting Data Model. The notation is meant for higher-level services such as [MS-NRLS] that are layered on top of this protocol to define their protocol in a remote procedure call (RPC) style.

The notation provides a way to describe the following components of the Remoting Data Model that is defined in Abstract Data Model (section 3.1.1).

Primitive Types are mapped to the following keywords in the notation:

Data model type	Notation keyword								
BOOLEAN	bool								
BYTE	Byte								
INT8	SByte								
INT16	Int16								
INT32	Int32								
INT64	Int64								
UINT16	UInt16								
UINT32	UInt32								
UINT64	UInt64								
Decimal	Decimal								
Char	Char								
String	String								
Double	Double								
Single	Single								
TimeSpan	TimeSpan								
DateTime	DateTime								

A Class is defined with the keyword *class* followed by the name of the Class. The **Generic Arguments** of a Class are defined inside a pair of angle brackets '<', '>' following the name of the Class and are separated by commas. The Base Class of a Class is defined following the Generic Arguments and is separated from the Generic Argument with a colon ':'. The body of the Class is defined inside a pair of braces '{', '}'. A Class definition consists of a list of Member definitions. Each Member definition consists of a Remoting Type name followed by the name of the Member terminated with a semicolon ';'.

An Array is constructed by appending opening and closing brackets '[]' to the item Remoting Type name. The Rank of an Array is indicated by putting a comma ',' inside the brackets '[]'. The number of commas inside a pair of brackets '[]' is one less than the value of the Rank. For example, an Array with a Rank of 2 is '[,]'. The notation does not have a way to represent the lower bounds or upper bounds of an Array.

An **Enum** is defined with the keyword *enum* followed by the name of the Enum. The underlying Remoting Type of the Enum is defined following the Enum name separated by a colon ':'. The Members of the Enum are defined inside a pair of braces '{', '}' following the name of the Enum. Each Member definition consists of a Member name followed by an '=' and the value of the Member. Member definitions are separated by a ','.

A Server Interface is defined with the keyword *interface* followed by the name of the Server Interface. The Generic Arguments of the Server Interface are defined inside a pair of angle brackets '<', '>' following the name of the Server Interface and are separated by commas. The Base Interfaces are defined following the Generic Arguments and are separated from the Generic Arguments with a colon ':' The Base Interfaces are separated by a comma ','. The body of the Server Interface is defined inside opening and closing braces '{', '}'. A Server Interface definition consists of a list of Method and Member definitions.

Each **Remote Field** is defined as a Member consisting of a Remoting Type name followed by the name of the Remote Field terminated with a semicolon ';'.

Each Remote Method definition consists of a Remoting Type name followed by the name of the Remote Method. The Generic Arguments of the Remote Method are defined inside a pair of angle brackets '<', '>' following the name of the Remote Method and are separated by commas. The Arguments of the Remote Method are defined inside a pair of parentheses '(', ')' following the Generic Arguments and are separated by commas. Each Argument definition consists of the name of the Remoting Type of an Argument followed by the Argument name. An Argument can be prefixed with a 'out' or 'ref' keyword to indicate an out or ref Argument. If neither 'out' nor 'ref' is specified, then it indicates the Argument to be an 'in' Argument.

A Delegate is defined with the keyword *delegate* followed by the declaration of the Remote Method.

The grammar for the subset used by this specification and by [MS-NRLS] is specified in the following table with the ABNF syntax specified in [RFC4234].

Grammar							
CompilationUnit	=	0*(Namespace)					
Namespace	=	'namespace' NamespaceIdentifier '{' 0*(Class / Interface / Enum / Delegate) '}'					
Class	=	'class' IDENTIFIER TypeVariableList Base ClassBody					
ClassBase	=	0*1(':' TypeIdentifier)					
ClassBody = '{' 0*(Member) '}'							
Member	=	TypeName IDENTIFIER ';'					
Interface	=	'interface' IDENTIFIER TypeVariableList InterfaceBase InterfaceBody					
InterfaceBase	=	0*1(':' TypeIdentifier 0*(',' TypeIdentifier))					
InterfaceBody	=	'{' 0*(Method / Member) '}'					
Method	=	TypeName IDENTIFIER TypeVariableList ArgumentList					
ArgumentList	=	'(' 0*1(Argument 0*(',' Argument)) ')' ';'					
Argument	=	(0*1('ref' / 'out')) TypeName IDENTIFIER					

Grammar									
Enum	II	'enum' IDENTIFIER ':' EnumBase EnumBody							
EnumBase	=	NumericType							
EnumBody	II	'{' 0*1(EnumMember 0*(',' EnumMember)) '}'							
EnumMember	II	IDENTIFIER '=' 1*(DIGIT)							
Delegate	II	'delegate' Method							
TypeVariableList	=	0*1('<' IDENTIFIER 0*(',' IDENTIFIER) '>')							
TypeName	II	(TypeIdentifier / PrimitiveType)							
Dimension	II	0*('[' 0* (',') ']')							
TypeIdentifier	=	NamespaceIdentifier TypeArgumentList							
TypeArgumentList	=	0*1(',' TypeName 0*(',' TypeName) '.') <29>							
PrimitiveType	=	NumericType / 'String'/ 'Decimal' / 'TimeSpan' / 'DateTime' / 'Double' / 'Single' / 'Char'/ 'Bool'							
NumericType	=	'SByte' / 'Int16' / 'Int32' / 'Int64' / 'Byte' / 'UInt16' / 'UInt32' / 'UInt64'							
NamespaceIdentifier	=	0*(IDENTIFIER '.') IDENTIFIER							
IDENTIFIER	=	See section <u>IdentifierName</u> (Identifier Name)							

3 Protocol Details

3.1 Common Details

3.1.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

The Remoting Data Model represents higher-layer-defined data structures and values as directed edge-labeled graphs of nodes. Also, the Remoting Data Model can represent a Remote Method invocation and the Return Value or error information from the invocation. Providing a mapping to any specific programming language is beyond the scope of this document. Section 2.2.5 contains an informal notation to describe the Remoting Data Model.

<u>Mapping to Binary Format (section 3.1.5.1)</u> provides a mapping to binary format structures from the Remoting Data Model. <u>Mapping RDM to SOAP Format (section 3.1.5.2)</u> provides a mapping between the Remoting Data Model and the SOAP Data Model.

Remoting Type

A Remoting Type defines the structure of data. Class, Array, Enum, Primitive Type, Server Interface, and Server Type are different kinds of Remoting Types. Remoting Types are contained in a Library. All Remoting Types are identified by a name that is unique when qualified with the name of the containing Library. Remoting Type names are case sensitive. A Remoting Type name consists of a namespace and a name. The format of the name of a Remoting Type is defined in RemotingTypeName (section 2.2.1.2).

Library

A Library is a named unit that contains a collection of Remoting Types. The names of the Remoting Types in a Library are unique within the Library. The Library name includes a version, culture string, and a hash value that together uniquely identify the Library. The format of the name of a Library is defined in LibraryName (section 2.2.1.3).<30>

System Library

A designated library that can be used to reduce the wire size for commonly used data types. The name of the Library<a>(31>) is agreed to by both the server and the client implementations of the protocol. The protocol provides mechanisms to convey the Library information in an implicit way. For more information about the specific mechanisms, see section <a>(2.2.4.2) in this document; also see BinaryTypeEnum and Class Record definitions in <a>[MS-NRBF].

Data Value

A Data Value is an instance of a Remoting Type that may be a Class, Array, Enum, Primitive or Server Type. Data Values are logically structured as directed edge-labeled graphs of nodes where nodes are also Data Values. Instances of Primitive Types and Enums have no outbound edges. Instances of Server Types, Arrays, and Classes have outbound edges. A single-reference graph node has a single inbound edge. A multireference graph node has multiple inbound edges.

A Data Value is defined to be **Assignable** to a Server Type if one of the following is true:

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- The Data Value is of the specified Server Type.
- The Data Value is Null Object and the specified Remoting Type is a Class, Array, String, Server Interface, or Server Type.
- The Remoting Type of the Data Value is specified to be Assignable to the specified Remoting Type.
- If a Remoting Type is Assignable to a Class, then it is Assignable to its Base Class.

Primitive Types

Primitive Types are predefined Remoting Types. The Remoting Data Model supports the following Primitive Types specified in [MS-DTYP]:

- BOOLEAN
- BYTE
- INT8
- INT16
- INT32
- INT64
- UINT16
- UINT32
- UINT64

The Remoting Data Model supports the Type Decimal specified in [MS-OAUT].

It also supports the following Primitive Types:

- Char: Represents a Unicode character value.
- **String:** Represents a string of Unicode characters.
- **Double:** Represents a 64-bit double-precision floating-point value. A double value ranges from negative 1.79769313486232e308 to positive 1.79769313486232e308.
- **Single:** Represents a 32-bit single-precision floating-point value. A Single value ranges from 3.402823e38 to positive 3.402823e38.
- **TimeSpan:** Represents time duration as an integer value that specifies the number of 100 nanoseconds. The values range from -10675199 days, 2 hours, 48 minutes and 05.4775808 seconds to 10675199 days, 2 hours, 48 minutes and 05.4775807 seconds.
- DateTime: Represents an instant of time as an INT64 value that specifies the number of 100 nanoseconds that had elapsed since 12:00:00, January 1, 0001. The value can represent time instants in a granularity of 100 nanoseconds until 23:59:59.9999999, December 31, 9999. A DateTime value can also indicate information about the time zone as follows.

Value	Meaning of field 'ticks'
Unspecified	Time zone information is not specified.
UTC	The time specified is in the Coordinated Universal Time (UTC) time zone.
Local	The time specified is in the local time zone. <32>

Null Object

Null Object is a special value that can be used in place of an instance of a Server Type, Class, Array, or String. Null Object indicates that no instance is being specified.

Class

A Class is a Remoting Type that contains a set of named values. The named values are called Members of the Class. Every Member of a Class is defined to be of a specific Remoting Type called the Member Type. The value of a Member is a Data Value that is Assignable to the Member Type. See Data Value for rules about when a Data Value is Assignable to a Remoting Type.

The Remoting Data Model supports a Class extending another Class. The extended Class is called the Base Class. The extending Class is called the Derived Class. A Derived Class may be extended by another Class. For example Class B extends Class A and Class C extends Class B. In this case both Class A and B are Base Classes of Class C and both Class B and C are Derived Classes of A. A Derived Class inherits the Members of its Base Classes. If a Class does not extend any Class then it is considered to implicitly extend a Class called **System.Object**. System.Object is a Class that has no Members.

Array

An Array is a Remoting Type that is an ordered collection of items. The items are identified by their position. Position is determined by a set of integer indices. The number of indices that are required to represent the position is called the Rank of the Array. An Array with Rank equal to 1 is said to be single-dimensional; an Array with Rank greater than 1 is said to be multidimensional. The Array supports specifying the lower and the upper bounds of the indices. Unless a lower bound is specified, an index value's lower bound is 0. Unless an upper bound is specified an index has no upper bounds.

An Array also specifies the Remoting Type of its items, called the Item Type. In an instance of an Array, the value of an item is a Data Value that is Assignable to the Item Type. See Data Value for rules about when a Data Value is Assignable to a Remoting Type.

Enum

Enum (short for Enumeration) is a Primitive Type whose values are constrained to a set of values. The Primitive Type is considered to be the underlying Remoting Type of the Enum. Each value of the Enum has a name associated with it that is unique within the values of the Enum.

Server Interface

A Server Interface is a Remoting Type that contains a collection of Remote Methods and Remote Field declarations. A Remote Method defined in a Server Interface does not have an implementation. A Server Interface does not have any instances.

Server Type

A Server Type is a Remoting Type that implements the Remote Methods in one or more Interfaces. The Remoting Data Model allows Server Types that extend Server Types. An Extending Server Type inherits the Methods of the extending Server Type.

Server Object

A Server Object is an instance of a Server Type.

Proxy

A Proxy is an instance of a Server Type that forwards references to Remote Methods to the Server Object for execution.

Remote Field

A Remote Field is a remotely accessible field declared in a Server Interface or a Server Type. A definition of a Remote Field includes the following:

- Name: A String value representing the name of the Remote Field.
- **Type:** The Remoting Type of the value contained by the field Remote Field.

Remote Method

A Remote Method is a remotely callable method declared in a Server Interface or a Server Type. A definition of a Remote Method includes the following:

- Name: A String value representing the name of the Remote Method.
- Arguments: An ordered collection of Arguments where each Argument has a name, direction, and a Remoting Type. The direction is defined as follows:
 - in: The Argument appears in the Remote Method invocation request.
 - **out:** The Argument appears in the Remote Method invocation reply.
 - **ref:** The Argument appears in both the Remote Method invocation request and the Remote Method invocation reply.
- **Return Type:** The Remoting Type of the value returned by the Remote Method.
- Method Type: An enumeration indicating whether the Remote Method is One-Way or Two-Way.
 A One-Way Method invocation does not expect any replies.

A Method is uniquely identified in a Server Interface or a Server Type with the name of the Method and the ordered collection of Remoting Types of the Arguments, called the Method Signature.

The Remote Method invocation request consists of the following:

- **Input Arguments:** An ordered collection of named Data Values, one for each Argument whose direction is 'in' or 'ref'. A Data Value corresponding to an Argument MUST be Assignable to the Remoting Type of the Argument. See Data Value for rules about when a Data Value is Assignable to a Remoting Type.
- CallContext: A collection of name-value pairs. The collection supports any valid String as the name and any Data Value as its value. The names MUST be unique in the collection.

 Method Signature: An ordered collection of Remoting Types. Each Remoting Type in the collection corresponds to an Argument of the Method.

The information returned by a Remote Method consists of the following:

- Return Value: A Data Value that is Assignable to the Return Type of the Method.
- **Output Arguments:** An ordered collection of named Data Values, one for each Argument whose direction is 'out' or 'ref'. A Data Value corresponding to an Argument MUST be Assignable to the Remoting Type of the Argument. See Data Value for rules about when a Data Value is Assignable to a Remoting Type.
- Exception: A Data Value that is Assignable to the <u>System.Exception</u> Class. The structure of System.Exception is specified in section <u>2.2.2.7</u>.
- **CallContext:** A collection of name-value pairs. The collection supports any valid String as the name and any Data Value as its value. The names MUST be unique in the collection.

An Exception is returned to indicate a failure in the execution of a Remote Method. When a Remote Method's execution fails there are no Return Values or Output Arguments.

Generic Remote Method

A Generic Remote Method is a Remote Method that is parameterized by one or more Remoting Types. The method caller must provide the actual Remoting Types (in addition to the Input Arguments). It adds the following to the definition of a Method:

Generic Method Arguments: An ordered collection of Generic Arguments

The Remote Method invocation request consists of the following in addition to what is defined in

 Generic Argument Values: An Array of <u>System.Type</u> classes that contains the values for the parameterized Remoting Types.<a>33>

Delegate

A Delegate is a part of the Remoting Data Model. It contains references to one or more Remote Methods. A Delegate has a fixed Method Signature, and each Remote Method referenced by the Delegate has the same Method Signature.

3.1.2 Timers

There are no timers that are common to the server and the client.

3.1.3 Initialization

There is no initialization that is common to the server and the client.

3.1.4 Higher-Layer Triggered Events

There are no higher-layer triggered events that are common to the server and the client.

3.1.5 Message Processing Events and Sequencing Rules

3.1.5.1 Mapping to Binary Format

3.1.5.1.1 Mapping Remote Method Request

Information required to perform a Remote Method invocation consists of a Server Type or Server Interface name, a Remote Method name, Input Arguments, Generic Arguments values, Method Signature, and a Call Context.

The implementation MAY construct an Array of System. Object classes called Message Properties in order to transmit implementation-specific information to the server. The Array, if constructed, MUST contain items whose Remoting Types are instances of the DictionaryEntry Class as specified in DictionaryEntry (section 2.2.2.6). Each DictionaryEntry item MUST contain the name and the value of the implementation-specific information. <34>

The request is serialized into the serialization stream by using the records specified in <a>[MS-NRBF], as follows:

A SerializationHeaderRecord record as specified in [MS-NRBF] MUST be serialized. The Remote Method invocation request is serialized using a BinaryMethodCall record and a MethodCallArray record. The Server Type or the Server Interface name MUST be serialized in the **TypeName** field of the BinaryMethodCall record. The Remote Method name is serialized in the **MethodName** field of the BinaryMethodCall record. The MethodCallArray record is conditional and the rules for the presence of the MethodCallArray record are given in the following table. The table specifies the rules for serializing the request and the values for the **MessageEnum** field of the BinaryMethodCall record.

Item name	Condition	MessageEnum field bits	Item's serialized location
Input Arguments	No Arguments	NoArgs	-NA-
Input Arguments	All Arguments are primitive	ArgsInLine	Args field of the BinaryMethodCall record
Input Arguments	At least one nonprimitive Argument and no GenericArgumentsValues, MethodSignature, CallContext, or Message Properties	ArgsIsArray	A separate ArraySingleObject record that follows the BinaryMethodCall record
Input Arguments	Otherwise	ArgsInArray	An item in the MethodCallArray record
GenericArgumentsValues	Absent	0	-NA-
GenericArgumentsValues	Present	Generic Method	An item in the MethodCallArray record
Method Signature	Absent	0	-NA-
Method Signature	Present	MethodSignatureInArray	An item in the

Item name	Condition	MessageEnum field bits	Item's serialized location
			MethodCallArray record
Call Context	LogicalCallID Only	ContextInLine	CallContext field of the BinaryMethodCall record
Call Context	Otherwise	ContextInArray	An item in the MethodCallArray record
Message Properties	Absent	0	-NA-
Message Properties	Present	PropertiesInArray	An item in the MethodCallArray record

If none of the items in the preceding table ended up in a MethodCallArray record then the record MUST be not present.

Following this, the Data Values contained in the Input Arguments, Generic Arguments values, Method Signature, Call Context, and Message Properties MUST be serialized. Each node in the Data Value graph MUST be iterated and each node MUST be serialized exactly once as specified in the following sections.

3.1.5.1.2 Mapping Remote Method Invocation Reply

The Remote Method invocation reply consists of Return Value, OutputArguments, Exception, and Call Context.

The implementation MAY construct an Array of System. Object classes called Message Properties in order to transmit implementation-specific information to the client. The Array, if constructed, MUST contain items whose Remoting Types are instances of the DictionaryEntry Class as defined in DictionaryEntry (section 2.2.2.6). Each DictionaryEntry item MUST contain the name and the value of the implementation-specific information. <35>

The reply is serialized into the serialization stream by using the records defined in [MS-NRBF], as follows:

A SerializationHeader record as defined in [MS-NRBF] MUST be serialized. Following the SerializationHeader record, the Remote Method invocation reply MUST be serialized using a BinaryMethodReturn record and a MethodReturnCallArray record. The MethodReturnCallArray record is conditional and the rules for the presence of the MethodReturnCallArray record are given in the following table. The table specifies the rules for serializing reply and the values for the **MessageEnum** field of the BinaryMethodReturn record.

Item name	Condition	MessageEnum bit	Item's serialized location
Return value	None	ReturnValueVoid	-NA-
Return	Null	NoReturnValue	-NA-

Item name	Condition	MessageEnum bit	Item's serialized location
value			
Return value	Primitive Value	ReturnValueInline	ReturnValue field of the BinaryMethodReturn record
Return value	non-Primitive Value	ReturnValueInArray	An item in the MethodReturnCallArray record
Output Argument	None	NoArgs	-NA-
Output Argument	All Primitive	ArgsInLine	Args field of the BinaryMethodReturn record
Output Argument	At least one non-primitive Argument and no Exception, Call Context, or Message Properties	ArgsIsArray	A separate ArraySingleObject record that follows the BinaryMethodReturn record
Output Argumen t	Otherwise	ArgsInArray	An item in the MethodReturnCallArray record
Exception	Absent	0	-NA-
Exception	Present	ExceptionInArray	An item in the MethodReturnCallArray record
Call Context	LogicalCallID Only	ContextInLine	The Call Context field of the BinaryMethodReturn record
Call Context	Otherwise	ContextInArray	An item in the MethodReturnCallArray record
Message Properties	Absent	0	-NA-
Message Properties	Present	PropertiesInArray	An item in the MethodReturnCallArray record

If none of the items in the preceding table ended up in the MethodReturnCallArray record, then the record MUST be not present.

Following this, the Data Value contained in the Return Value, OutputArguments, Exception, Call Context, and Message Properties MUST be serialized. Each node in the Data Value graph MUST be iterated and each node MUST be serialized exactly once as specified in the following sections.

3.1.5.1.3 Mapping Remote Field Get

An implementation MUST implement getting the value of a Remote Field as a Remote Method invocation of the method FieldGetter as specified in [MS-NRLS] section 3.5.4.1, with the following constraints:

• The fieldName Argument MUST be set to the name of the Remote Field.

• The typeName Argument MUST be set to the name of the Server Interface containing the Remote Field.

The output value of the val Argument MUST be used as the Field value.

3.1.5.1.4 Mapping Remote Field Set

An implementation MUST implement setting the value of a Remote Field as a Remote Method invocation of the method FieldSetter as specified in [MS-NRLS] section 3.5.4.2, with the following constraints:

- The fieldName Argument MUST be set to the name of the Remote Field.
- The typeName Argument MUST be set to the name of the Server Interface containing the Remote Field.
- The val Argument MUST be set to the new value of the field.

3.1.5.1.5 Mapping Library Information

The following records defined in [MS-NRBF] reference a Library:

- BinaryArray
- ClassWithMembersAndTypes

Each of the preceding records references a BinaryLibrary record by **LibraryId** field. Before serializing any of the preceding records, the referenced BinaryLibrary record MUST be written.

3.1.5.1.6 Mapping Class Instances

A Class instance MUST be serialized by using the Class records specified in [MS-NRBF].

The first instance in the serialization stream of a Class in a Library other than the System Library SHOULD be serialized by using the <u>ClassWithMembersAndTypes</u> record. The ClassWithMembersAndTypes record allows a receiver to de-serialize the Class instance without requiring the receiver to have any out-of-band information about the Class. If the Member Type information is available to the receiver through an implementation-specific out-of-band mechanism, then a sender MAY perform serialization by using the <u>ClassWithMembers</u> record in order to reduce the size of data transmitted.

If the receiver is unable to process a ClassWithMembers record because it does not have the Member Type information for the record, the receiver MUST treat the case as a serialization error and abort processing. Sections 3.2.5.1.3 and 3.3.4.2.4 specify the error handling mechanism for the server and the client implementation, respectively.

Subsequent instances of the Class SHOULD be serialized by using the <u>ClassWithId</u> record but MAY be serialized like the first instance of the Class. <36>

The first instance in the serialization stream of a Class in the System Library SHOULD be serialized by using the SystemClassWithMembersAndTypes record. The SystemClassWithMembersAndTypes record allows a receiver to de-serialize the Class instance without requiring the receiver to have any out-of-band information about the Class. If the Member Type information is available to the receiver through an implementation-specific out-of-band mechanism, then a sender MAY perform serialization by using the SystemClassWithMembers record in order to reduce the size of data transmitted.

If the receiver is unable to process a SystemClassWithMembers record because it does not have the Member Type information for the record, the receiver MUST treat the case as a serialization error and abort processing. Sections $\underline{3.2.5.1.3}$ and $\underline{3.3.4.2.4}$ specify the error handling mechanism for the server and the client implementation respectively.

The first instance in the serialization stream of a Class in the System Library MAY instead be serialized using a ClassWithMembersAndTypes or ClassWithMembers record. In such cases the Library name MUST be serialized as specified in section 3.1.5.1.5 and the Library Identifier part of the Library name as specified in section 2.2.1.3 MUST be the name of the System Library.

Subsequent instances of the Class SHOULD be serialized using the ClassWithId record but MAY be serialized like the first instance of the Class.<37>

The names of the Class Members whose values are serialized are set in the **MemberNames** field of the ClassInfo structure of the Class records. For ClassWithMembersAndTypes and SystemClassWithMembersAndTypes records, the **MemberTypeInfo** field MUST be set by using the Member Types of the Class as specified in the MemberTypeInfo structure.

The values of all the Members MUST be serialized following this record. The record types that MUST be used for various types of Member values are as follows.

Data value type	Binary record
Primitive Type	MemberPrimitiveUnTyped, if MemberType was Primitive Type MemberPrimitiveTyped, if MemberType was System.Object
String	BinaryObjectString or MemberReferenceRecord
Class	MemberReference or Class records
Array	Member ReferenceRecord
Enum	MemberReference or Class records

3.1.5.1.7 Mapping Array Instances

An Array with more than one dimension or with a dimension whose first index is not 0 MUST be serialized by using the <u>BinaryArray</u> record.

A single-dimensional Array of string whose lower bound is 0 SHOULD be serialized by using the ArraySingleString record. The Array MAY be serialized by using the BinaryArray record.

A single-dimensional Array of primitive whose lower bound is 0 SHOULD be serialized by using the <u>ArraySinglePrimitive</u> record. It MAY be serialized by using the BinaryArray record.

A single-dimensional Array of System. Object whose lower bound is 0 MAY be serialized as an ArraySingleObject record. The Array MAY be serialized by using the BinaryArray record. 38>

The values of the Array items MUST be serialized following the BinaryArray, ArraySingleString, ArraySinglePrimitive or ArraySingleObject record. The record types that MUST be used for various types of Array item values are given in the table in the Mapping Class Instances section.

3.1.5.1.8 Mapping Primitive Values

Primitive Values in Classes and Arrays MUST be serialized as part of MemberPrimitiveUnTyped or MemberPrimitiveTyped. If the value is part of Arguments inlined in the BinaryMethodCall or BinaryMethodReturn record then they MUST be serialized as ValueWithCode.

3.1.5.1.9 Mapping Enum Values

An Enum MUST be serialized as a Class with a single Member called "value__". The Member Type MUST be the underlying Primitive Type of the Enum.

3.1.5.1.10 Mapping Delegate

A Delegate MUST be serialized as the <u>DelegateSerializationHolder</u> Class, as specified in section <u>2.2.2.15</u>. Each Remote Method of the Delegate is mapped to a Method and each Proxy is mapped to a Target in the DelegateSerializationHolder instance.

3.1.5.1.11 Mapping String Values

String values in Classes and Arrays MUST be serialized as part of BinaryObjectString, as specified in [MS-NRBF]. If the value is part of Arguments inlined in the BinaryMethodCall or BinaryMethodCall<

3.1.5.1.12 Mapping Null Object

A Null Object in Classes and Arrays MUST be serialized using <u>ObjectNull, ObjectNullMultiple</u> or <u>ObjectNullMultiple256</u> records, as specified in <u>[MS-NRBF]</u>. A Null Object in Arguments inlined in the <u>BinaryMethodCall</u> or <u>BinaryMethodReturn</u> record, as specified in [MS-NRBF], MUST be serialized as ValueWithCode with the value of the **PrimitiveTypeEnum** field set to 17 (Null).

3.1.5.2 Mapping Remoting Data Model to SOAP Format

3.1.5.2.1 Mapping Remote Method Invocation

The message content of a Remote Method call MUST be encoded as a SOAP Envelope as specified in [SOAP1.1] section 4:

- The encodingStyle attribute SHOULD be written as part of the SOAP Envelope element with a value of http://schemas.xmlsoap.org/soap/encoding/.
- The Call Context of a Remote Method call MUST be encoded as specified in <u>Call Context SOAP</u> <u>Header (section 2.2.4.5)</u>
- The implementation MAY add implementation-defined SOAP header elements (children of the soap:Header element) to the SOAP request in order to transmit implementation-specific information to the server. Any implementation-defined SOAP header elements SHOULD use the namepace "http://schemas.microsoft.com/clr/soap/messageProperties".<39>
- If a Method Signature is present then it MUST be mapped as a SOAP header element as specified in <u>Method Signature SOAP Header (section 2.2.4.4)</u> with additional constraint that the order of the qualified names MUST match the parameter order.
- The qualified name of the method struct MUST be derived as specified in <u>Method Name Encoding</u> (section 2.2.4.3).

- The Input Arguments MUST be mapped as specified in [SOAP1.1] section 7.1.
- Each Data Value in the Arguments MUST be encoded by using the SOAP encoding style. The specification for SOAP encoding is specified in [SOAP1.1] section 5.

3.1.5.2.2 Mapping Remote Method Invocation Reply

The message content of a Remote Method invocation reply MUST be encoded as a SOAP Envelope as specified in [SOAP1.1] section 4:

- The encodingStyle attribute SHOULD be written as part of the SOAP Envelope element with a value of http://schemas.xmlsoap.org/soap/encoding/.
- The Call Context of a Remote Method invocation reply MUST be encoded as specified in <u>Call Context SOAP Header (section 2.2.4.5)</u>.
- The implementation MAY add implementation-defined SOAP header elements (children of the soap:Header element) to the SOAP response in order to transmit implementation-specific information to the client. Any implementation-defined SOAP header elements SHOULD use the namespace "http://schemas.microsoft.com/clr/soap/messageProperties".40>
- If the method was executed without any Exception, then the Return Value and the Output Arguments MUST be mapped as specified in Section 7.1 of [SOAP1.1].<41>
- Each Data Value in the Return Value and Arguments MUST be encoded by using SOAP encoding. The specification for SOAP encoding can be found in [SOAP1.1] section 5.

If the method resulted in an Exception, then the Exception MUST be encoded as a SOAP fault as specified in the <u>Mapping Exception</u> section.

3.1.5.2.3 Mapping Remote Field Get

An implementation MUST implement getting the value of a Remote Field as a Remote Method invocation of the method FieldGetter as specified in [MS-NRLS] section 3.5.4.1, with the following constraints:

- The fieldName Argument MUST be set to the name of the Remote Field.
- The typeName Argument MUST be set to the name of the Server Interface that contains the Remote Field.

The output value of the val Argument MUST be used as the Remote Field value.

3.1.5.2.4 Mapping Remote Field Set

An implementation MUST implement setting the value of a Remote Field as a Remote Method invocation of the FieldSetter method as specified in [MS-NRLS] section 3.5.4.2, with the following constraints:

- The fieldName Argument MUST be set to the name of the Remote Field.
- The typeName Argument MUST be set to the name of the Server Interface that contains the Remote Field.
- The val Argument MUST be set to the new value of the Remote Field.

3.1.5.2.5 Mapping Class Instances

Classes MUST be encoded as SOAP structs as specified in [SOAP1.1] section 5.4.1, where the Member names are the accessor names. The Class name MUST be encoded as specified in Remoting Type Name Encoding (section 2.2.4.2).

3.1.5.2.6 Mapping Array Instances

Arrays MUST be encoded as SOAP Arrays as specified in [SOAP1.1] section 5.4.2. The Remoting Type name of the Array MUST be encoded as specified in the Remoting Type Name Encoding section. An Array with a lower bound greater than 0 is encoded as Partial Arrays as specified in [SOAP1.1] section 5.4.2.

An Array of bytes MUST be encoded as specified in [SOAP1.1] section 5.2.3.

3.1.5.2.7 Mapping Primitive Values

Primitive Type Values MUST be encoded as SOAP simple values as specified in <a>[SOAP1.1] section 5.2.

3.1.5.2.8 Mapping Enum Values

Enum Values MUST be encoded using the name associated with the value as SOAP enumerations as specified in [SOAP1.1] section 5.2.2.

3.1.5.2.9 Mapping Delegate

A Delegate MUST be serialized as the <u>DelegateSerializationHolder</u> Class, as specified in section <u>2.2.2.15</u>. Each Remote Method of the Delegate is mapped to a Method and each Proxy is mapped to a Target in the DelegateSerializationHolder instance.

3.1.5.2.10 Mapping Null Object

Null Object MUST be encoded as specified in [SOAP1.1] section 5.

3.1.5.2.11 Mapping Exception

Exceptions returned by the server MUST be turned into SOAP faults as specified in [SOAP1.1] section 4.4:

faultcode: MUST be set as specified in [SOAP1.1] section 4.4.

faultstring: SHOULD be set to a human-readable message as specified in [SOAP1.1] section 4.4.

faultactor: SHOULD be a URI that identifies the source as specified in [SOAP1.1] section 4.4.<42>

detail: As specified in [SOAP1.1] section 4.4, this element is intended for carrying application-specific error information. In .NET Remoting, the detail MUST contain a serialized instance of ServerFault as specified in section 2.2.2.17. The instance MUST be encoded as specified in [SOAP1.1] section 5.

3.1.5.3 Resolving Object Reference

When **de-serializing** the message content, if an **ObjRef** is encountered and has the **fIsMarshalled** field set to true, then it MUST be converted either into a Proxy or a Server Object. To create a Proxy, an implementation requires a Request URI and a Server Type. The Server Type MUST be obtained from the **typeInfo** field of the **ObjRef**. The Request URI MUST be obtained as follows:

- As specified in <u>ObjRef (section 2.2.2.1)</u>, if the value of the **objRefFlags** field has the second lowest bit (value of 2), then the **uri** field of the **ObjRef** has an absolute URI that MUST be used as the Request URI.
- If the value of the **objRefFlags** field has the second lowest bit (value of 2) not set, then the **uri** field contains a relative URI. ObjRef.ChannelInfo.ChannelData contains information about the available channel, including any intraprocess and interprocess channel. An implementation MUST ignore **ChannelData** information if the implementation does not understand the structure. An implementation MAY choose any one of the channels. The implementation MUST combine the relative URI specified in the **uri** field with the Channel URI of the chosen channel to get the Request URI.43>

If the host subcomponent of the Request URI refers to the implementation's machine and if the Server Object URI part of the Request URI is present in the **Server Object Table**, then the **ObjRef** is resolved to the actual Server Object in the ServerObjectTable. In this case, the de-serialized object graph contains the actual Server Object. Otherwise, a new Proxy MUST be created from the Request URI and the Server Type, as specified in <u>Creating Proxy from Request URI and Server Type (section 3.3.4.1.1).</u>

3.1.6 Timer Events

There are no timer events that are common to the server and the client.

3.1.7 Other Local Events

There are no other local events that are common to the server and the client.

3.2 Server Details

3.2.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

Server Type Table

This table contains entries of Server Object URI, the Server Types of SAO, and a Boolean value that specifies whether the SAO is a Singleton.

Server Object Table

This table contains Server Object URIs and the corresponding Server Objects. The Server Objects can be Singleton SAOs or MSOs.

Channel Table

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This table contains an entry for each Channel instance. Each entry has the following items.

- **Channel:** The instance of the Channel set up on the server.
- Channel URI: URI for the Server Channel.
- **Format Identifier:** A string value that identifies the serialization format. Valid values are a binary format identifier and a SOAP format identifier.
- Transport: A string value that indicates which transport protocol to use. Valid values are "TCP",
 "SOAP-TCP", "HTTP", and "SOAP-HTTP".

3.2.2 Timers

There are no timers used by this protocol.

3.2.3 Initialization

The server **Channel Table** is initialized by the higher layer. Each Channel has a Channel URI. Higher-level protocols MUST indicate in an implementation-specific way the following information about the Transport:

- Whether the Channel uses TCP, HTTP, SOAP-TCP (SOAP protocol over the underlying TCP transport) or SOAP-HTTP (SOAP protocol over the underlying HTTP transport) Transport.
- If the transport is HTTP (with or without SOAP), then the authentication mechanism as none,
 Basic, Digest, or NTLM, required credentials, and client certification to use in the case of HTTPS.
- If the transport is TCP then the authentication mechanism as none or .NET NegotiateStream Protocol and the required credentials as specified in [MS-NNS].

For each Channel, the server MUST listen at the port indicated by the Channel's Channel URI.

3.2.4 Higher-Layer Triggered Events

3.2.4.1 Register SAO ServerType

When the higher-layer registers a Server Type with a Server Object URI and a Boolean value specifying whether the SAO is singleton, an implementation MUST do the following:

- If the URI is already present in the **Server Type Table** or the Server Object Table, the implementation MUST notify the higher layer about the error.
- If there were no errors then the implementation MUST add the Server Type, Server Object URI, and the **boolean value** to the **Server Type Table**.

3.2.4.2 Marshal Server Object

When the higher layer registers a Server Object with a Server Object URI, the implementation MUST do the following:

- If the URI is already present in the **Server Type Table** or the Server Object Table, the implementation MUST notify the higher layer about the error.
- If there were no errors, then the implementation MUST add the Server Object URI and Server Object to the Server Object Table.

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3.2.4.3 Unmarshal Server Object

When the higher layer unregisters a Server Object using its Server Object URI, an implementation MUST do the following:

- If the Server Object URI is not found in the Server Object Table, then the higher layer MUST be notified about the error and not do the following:
- Remove the entry with the Server Object URI from the Server Object Table.
- The requests that are being processed, if any, MUST be allowed to complete.

3.2.5 Message Processing Events and Sequencing Rules

3.2.5.1 Receiving a Message

On receiving a message, an implementation of the protocol MUST perform the following actions:

- 1. Process the message frame.
- 2. Bind to an appropriate Server Object.
- 3. De-serialize the Request message content.
- 4. Validate and Dispatch call.
- 5. Serialize the Reply message content.
- 6. Send the Reply.

3.2.5.1.1 Process the Message Frame

An implementation MUST determine the Channel associated with the received message in an implementation-specific way. How the <u>message frame</u> is processed depends on the transport information of the associated Channel, as specified in the following table.

Transport	Section specifying the process	Server Object URI	Format identifier
ТСР	Receiving Request under TCP Transport	The path subcomponent of the URI extracted from the RequestURIHeader of the message frame	The Content Type field of the <u>ContentTypeHeader</u>
SOAP- TCP	Receiving Request under SOAP on TCP	The path subcomponent of the URI extracted from the RequestURIHeader of the message frame	The Content Type field of the ContentTypeHeader
нттр	Receiving Request under <u>HTTP</u> Transport	Request URI in the Request-Line of the HTTP message	Content-Type entity-header field
SOAP- HTTP	Receiving Request under SOAP on HTTP	Request URI in the Request-Line of the HTTP message	Content-Type entity-header field

3.2.5.1.2 Binding to Server Object

An implementation MUST look up the Server Object URI got from the message in the Server Object Table. If Server Object Table does not have the Server Object, then the **Server Type Table** MUST be looked up for the specified Server Object URI. If the Server Object URI is not found in both the tables, then a **RemotingException** MUST be constructed as specified in <u>Constructing a Remoting Exception (section 3.2.5.1.7.2)</u> and the Exception MUST be sent back to the client.

If the Server Object URI is found in the Server Object Table, then the corresponding Server Object in the table MUST be used to dispatch the call. Otherwise, if the Server Object URI is found in the **Server Type Table**, then a new Server Object MUST be created. If the Boolean value for the Server Object URI in the Server Object Table indicated that the SAO is a singleton then the Server Object MUST be added to the Server Object Table.

3.2.5.1.3 De-Serializing the Message Content

An implementation MUST use the **Content Type** retrieved from the message to identify the serialization format of the message content as specified in the following table.

Content Type	Serialization format specification	Mapping section
Binary format identifier	Binary format as specified in [MS-NRBF]	Section <u>3.1.5.1</u>
SOAP format identifier	SOAP format as specified in [SOAP1.1]	Section <u>3.1.5.2</u>

The message content MUST be de-serialized to produce the Server Type or Server Interface name, Remote Method name, Input Arguments, Call Context, and the Method Signature. If the message content does not conform to the structure specified in the appropriate serialization format specification (as specified in the preceding table) or if mapping (as specified in the preceding table) the data from the serialization stream to the Remoting Data Model resulted in an error, then a SerializationException instance MUST be constructed as specified in Constructing SerializationException (section 3.2.5.1.7.1) and the Exception MUST be returned to the client. The Exception message SHOULD convey the nature of the structural error. If the Data Values in the message content contain ObjRefs they MUST be resolved as specified in Resolving Object Reference (section 3.1.5.3).

3.2.5.1.4 Dispatching the Call

This section defines the mechanism to invoke the Remote Method implementation targeted for the Server Object that was bound to the Server Object URI as specified in <u>Binding to Server Object</u> (section 3.2.5.1.2) using the values de-serialized from the message content.

The Server Type or Server Interface de-serialized from the message content has to fulfill one of the following conditions:

- The Remoting Type of the Server Object is the Server Type.
- The Remoting Type of the Server Object is a derived Class of the Server Type.
- The Remoting Type of the Server Object implements the Server Interface.

If none of the above conditions is true, then a RemotingException MUST be constructed as specified in <u>Constructing a Remoting Exception (section 3.2.5.1.7.2)</u> and the Exception MUST be sent back to the client.

An implementation of this protocol MAY use this information in an implementation-specific way to bind to the Remote Method implementation. An implementation MAY also perform integrity checks of this information in an implementation-specific way. If any error is encountered in this process, then a RemotingException MUST be constructed as specified in the Constructing a Remoting Exception (section 3.2.5.1.7.2) and the Exception MUST be sent back to the client. <44>

An implementation of the protocol MUST invoke the Remote Method targeted for the Server Object with the Input Arguments and CallContext got by de-serialization as specified in De-Serializing the Message Content (section 3.2.5.1.3).<45>

3.2.5.1.5 Serializing the Reply

The completion of a Remote Method can yield a Return Value, Output Arguments, or Exception. The values of the Call Context that were part of the request message MUST be returned back as part of the reply unless the Remote Method implementation removed the values explicitly. These values MUST be serialized into the message content. The serialization format MUST be the same as that of the request. If there is any error during serialization then a SerializationException instance MUST be constructed as specified in Constructing SerializationException (section 3.2.5.1.7.1) and the Exception MUST be returned to the client.

3.2.5.1.5.1 Serializing to Binary Serialization Format

An implementation MUST construct a BinaryMethodReturn record and insert any additional required records as defined in Mapping Remote Method Invocation Reply (section 3.1.5.1.2) using the Return Value, Output Arguments, Call Context, and Exception values.

3.2.5.1.5.2 Serializing to SOAP Serialization Format

An implementation MUST serialize the Return Value, Output Arguments, Call Context, and Exception values as specified in Mapping Remote Method Invocation Reply (section 3.1.5.2.2).

3.2.5.1.5.3 Marshaling Server Objects and Proxy Instances

A Data Value that is being serialized can contain a Server Object or a Proxy. These MUST be serialized as **ObjRef** instances.

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In case of a Server Object, the Server Object MUST be marshaled as specified in the <u>Marshal Server Object</u> section if it is not already <u>marshaled</u>. An implementation MUST provide a valid Server Object URI but MAY use any algorithm to create it. <46>

For a Data Value containing a Server Object or MSO Proxy instance, an **ObjRef** MUST be constructed as specified in the following table.

Field	Value
uri	Server Object URI of the Server Object
typeInfo	Names of the Server Type, its Base Classes, and interfaces as specified in the TypeInfo section
objRefFlags	0
fIsMarshalled	True
ChannelInfo	Must be initialized as specified in the ChannelData containing a Channel URI for each initialized channel.

For a Data Value containing an SAO Proxy, an **ObjRef** MUST be constructed as specified in the following table.

Field	Value
uri	Request-URI for the Proxy
typeInfo	Names of the Server Type, its Base Classes, and interfaces as specified in the TypeInfo section
objRefFlags	2
fIsMarshalled	True
ChannelInfo	Null Object

3.2.5.1.6 Sending Reply

The serialized message content of the reply MUST be sent back as the reply. The mechanism to send the reply message is dependent on the transport that is used. The actual mechanism is specified in the <u>Transport (section 2.1)</u> section. The reply message MUST have the same Content-Type as the request. How the reply message is transmitted depends on the transport information of the associated Channel, as specified in the following table.

Transport	Section specifying the process
ТСР	Sending Reply under TCP Transport (section 2.1.1)
SOAP-TCP	Sending Reply under the SOAP on TCP (section 2.1.3.2)
HTTP	Sending Reply under HTTP Transport (section 2.1.2)
SOAP-HTTP	Sending Reply under the SOAP on HTTP (section 2.1.3.1)

3.2.5.1.7 Constructing Exception Messages

3.2.5.1.7.1 Constructing SerializationException

An instance of SerializationException as specified in section 2.2.2.10 MUST be constructed with the **InnerException** field as a Null Object. The value of the field Data MUST be a Null Object. <47>

3.2.5.1.7.2 Constructing a Remoting Exception

A RemotingException (as specified in section 2.2.2.9) MUST be constructed with the **InnerException** field as a Null Object. The value of the field Data MUST be a Null Object. <48>

3.2.6 Timer Events

There are no timer events in this protocol.

3.2.7 Other Local Events

There are no other local events.

3.3 Client Details

3.3.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

Proxy Table

The **Proxy Table** is used to associate a Proxy with appropriate Server Object, transport, serialization format, and the address of the Server Object. It contains an entry for each Proxy instance. Each entry has the following items.

Proxy: The instance of the Proxy that is being looked up.

Request URI: URI for the Server Object.

ServerTypeName: QualifiedTypeName, as specified in <u>RemotingTypeName (section 2.2.1.2)</u>, that identifies the Server Type.

Format Identifier: A string value that identifies the serialization format. Valid values are a binary format identifier and a SOAP format identifier.

Transport: A string value that indicates which transport protocol to use. Valid values are "TCP", "SOAP-TCP", "HTTP", and "SOAP-HTTP".

3.3.2 Timers

There are no timers used by this protocol.

3.3.3 Initialization

3.3.4 Higher-Layer Triggered Events

3.3.4.1 Get SAO Proxy

When the higher layer requests a Proxy for a Request URI and a Server Type name, an implementation MUST create a Proxy as specified in the following section.

3.3.4.1.1 Creating Proxy from Request URI and Server Type

If the Request URI is not well-formed, the higher layer MUST be notified of the error. The format of the Request URI for TCP is specified in the <u>TcpUriString</u> section. The format of the Request URI for HTTP is specified in [RFC2616] section 3.2.2.

The implementation MUST create a new Proxy instance and add the Proxy, Request URI, Server Type name, Transport Protocol information, and the serialization format to the **Proxy Table**. The mechanism for determining the serialization format and the **Transport Protocol** for the given Request URI is implementation-specific and beyond the scope of this protocol.

3.3.4.2 Remote Method Invocation

When the higher layer invokes a Remote Method using a Proxy that passes Arguments and Call Context, the implementation MUST do the following:

- 1. Serialize the Request.
- 2. Send the message to the server.
- 3. Wait for the response from the server.
- 4. Read the response from the connection stream.
- 5. De-serialize the response message content.
- 6. Pass the de-serialized values to the higher layer that invoked the Remote Method.

3.3.4.2.1 Serializing the Request

An implementation MUST look up the **Proxy Table** to get the Request URI, **ServerTypeName**, Transport, and Content-Type. If the **Proxy Table** does not contain the Proxy, then the higher layer MUST be notified of the error. An instance of Method Signature MAY be constructed from the Remoting Types of the Arguments of the Remote Method that is being invoked.<a href="mailto:

The Server Type name, Remote Method name, Method Signature, Input Arguments and **CallContext** MUST be serialized into message content. The serialization format of the message content is specified by the Content-Type value, specified as follows.

Format identifier	Serializaton format
Binary format identifier	Binary format as specified in [MS-NRBF]
SOAP format identifier	SOAP format as specified in [SOAP1.1]

3.3.4.2.1.1 Serializing to Binary Serialization Format

An implementation MUST construct a BinaryMethodCall record and insert any additional required records as defined in Mapping Remote Method Request (section 3.1.5.1.1) using the Server Type name, Remote Method name, Method Signature, Input Arguments, and Call Context.

3.3.4.2.1.2 Serializing to SOAP Serialization Format

An implementation MUST serialize the Server Type name, Remote Method name, Method Signature, Input Arguments, and Call Context as specified in Mapping Remote Method Invocation (section 3.1.5.2.1).

When using the SOAP protocol, the value of the SOAP Action MUST be encoded as specified in <u>Soap Action String (section 2.2.4.1)</u> and set in the message frame as specified in the following list:

- If SOAP is bound to HTTP, then the value of SOAP Action MUST be set as the "SOAPAction" HTTP header.
- If SOAP is bound to TCP then, the value of SOAP Action MUST be encoded as a CustomHeader with "SOAPAction" as the name as specified in Custom Header (section 2.2.3.3.3.2).

If the serialized Data Values contain a Server Object or a Proxy, they MUST be serialized as specified in <u>Marshaling Server Objects and Proxy instances (section 3.2.5.1.5.3)</u>.

3.3.4.2.2 Sending the Request

How the Request URI and Content-Type are transmitted depends on the transport information associated with the Proxy, which was obtained in an earlier step, "Serializing the Request" (section 3.3.4.2.1). The Request MUST be transmitted as specified in the following table.

Transport	Request URI	Content Type	Specification for sending message
ТСР	MUST be set in the <u>RequestURIHeader</u> of the message frame.	MUST be set in the ContentTypeHeader of the message frame.	Sending Request under TCP Transport
SOAP- TCP	MUST be set in the <u>RequestURIHeader</u> of the message frame.	MUST be set in the ContentTypeHeader of the message frame.	Sending Request under SOAP on TCP
НТТР	The Server Object URIpart of the Request URI MUST be set as the Request-URI in the Request-Line of the HTTP Request.	MUST be set in the Content-Type entity-header field.	Sending Request under <u>HTTP</u> <u>Transport</u>
SOAP- HTTP	The Server Object URI part of the Request URI MUST be set as the Request-URI in the Request-Line of the HTTP Request.	MUST be set in the Content-Type entity-header field section.	Sending Request under <u>SOAP on</u> HTTP

3.3.4.2.3 Reading the Reply

For a One-Way Method, there is no reply message and hence an implementation MUST NOT wait for a reply. For a Two-Way Method, the implementation MUST wait for the reply. How the Reply message is processed depends on the transport information associated with the Proxy, which was

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obtained in an earlier step, "Serializing the Request" (section <u>3.3.4.2.1</u>). The Reply message MUST be processed as specified in the sections listed in the following table.

Transport	Section specifying the process			
ТСР	Receiving Reply under TCP Transport section			
SOAP-TCP	Receiving Reply under the SOAP on TCP section			
НТТР	Receiving Reply under HTTP Transport section			
SOAP-HTTP	Receiving Reply under the SOAP on HTTP section			

3.3.4.2.4 De-Serializing the Response

The Return Value and Output Arguments or an Exception along with values MUST be obtained by de-serializing the message content. The serialization format MUST be the same as that specified in the <u>Sending the Request</u> section. The serialization format and the mapping to the Remoting Data Model for a Content Type are specified in the following table.

Content Type	Serialization format specification		
Binary format identifier	Binary format as specified in [MS-NRBF]	Section <u>3.1.5.1</u>	
SOAP format identifier	SOAP format as specified in [SOAP1.1]	Section <u>3.1.5.2</u>	

If the message content does not conform to the structure specified in the appropriate serialization format specification (as specified in the preceding table) or if mapping (as specified in the preceding table) the data from the serialization stream to the Remoting Data Model resulted in an error, then the higher layer MUST be notified of the error. In case of an error the implementation MAY stop reading the message further. If the Data Values in the message content contain **ObjRefs** they MUST be resolved as specified in <u>Resolving Object Reference (section 3.1.5.3)</u>

3.3.4.2.5 Completing the Invocation

The de-serialized values MUST be returned to the calling method as ReturnValue, Output Arguments, Call Context, or Exception. If the Remoting Type of any of the de-serialized values does not match the Remoting Types expected by the remote method, then the higher-layer abstraction MUST be notified of the type mismatch error.

3.3.5 Message Processing Events and Sequencing Rules

There are no events processed by the client role.

3.3.6 Timer Events

There are no timer events in the client role.

3.3.7 Other Local Events

There are no other local events in the client role.

4 Protocol Examples

4.1 Two-Way Method Invocation Using TCP-Binary

This code sample illustrates the message exchanged for a Remote Method invocation.

In this sample the client invokes the SendAddress method defined in the Server Interface RemotingTest.MyServer defined as follows.

```
namespace RemotingTest
{
  interface MyServer
  {
    System.String SendAddress(Address address);
  }
  class Address
  {
    System.String Street;
    System.String City;
    System.String State;
    System.String Zip;
  }
}
```

The client invokes the method with the following values for the parameters:

```
Address.Street = "One Microsoft Way",
Address.City = "Redmond",
Address.State = "WA"
Address.Zip = "98054".
```

The server is configured to support TCP and the messages are expected to be encoded in [MS-NRBF]. The remoting server is a Single-Call SAO at "tcp://RemotingMachine:8080/MyServer.Rem".

The Remote Method returns a value of "Address received" in the response message to the client.

A sequence diagram for the preceding message exchange pattern is provided in the following figure.

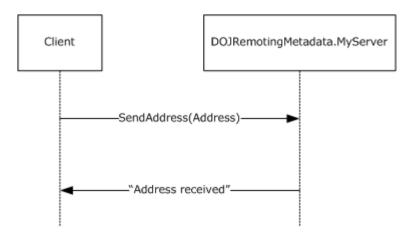


Figure 7: A sequence diagram for the preceding message exchange pattern

A dump of the request message is as follows.

```
0000 2E 4E 45 54 01 00 00 00 00 74 01 00 00 00 04 00.NET....tcp://mahe
0010 01 01 22 00 00 00 74 63 70 3A 2F 2F 6D 61 68 65.....tcp://mahe
0020 73 68 64 65 76 32 3A 38 30 38 30 2F 4D 79 53 65shdev2:8080/MySe
0030 72 76 65 72 2E 72 65 6D 06 00 01 01 18 00 00 00rver.rem.....
0040 61 70 70 6C 69 63 61 74 69 6F 6E 2F 6F 63 74 65application/octe
0050 74 2D 73 74 72 65 61 6D 00 00 ...
```

The interpretation of the preceding message frame is as follows.

```
ProtocolIdentifier: 0x54454E2E
MajorVersion: 1 (0x1)
MinorVersion: 0 (0x0)
OperationType: Request (0x00)
ContentLength
ContentDistribution : ContentLength (0x00)
ContentLength: 314 (0x13A)
Header 1:
      RequestUriHeader
            HeaderToken: RequestUri (0x04)
            DataType: CountedString (0x01)
            StringEncoding: UTF8 (0x01)
            UriValue : tcp://RemotingTestMachine:8080/MyServer.rem
Header 2:
      ContentTypeHeader:
            HeaderToken: ContentType (0x06)
            DataType: CountedString (0x01)
            ContentTypeValue: application/octet-stream
Header 3:
      EndHeader:
            HeaderToken: EndOfHeaders(0x00)
```

• The **OperationType** field indicates this is a Request message of a Two-Way Method.

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- The **ContentDistribution** field indicates that the message content is not chunked.
- The **ContentLength** field indicates the length of the message content in bytes.
- The RequestUriHeader header contains the Request URI of the server.
- The ContentTypeHeader header indicates the format identifier to be binary serialization format.
- The EndHeader header indicates the end of the headers and the message frame.

```
000000 01 00 00 00 FF FF FF FF 01 00 00 00 00 00 00 ....ÿÿÿÿ......
0010 00 15 14 00 00 00 12 0B 53 65 6E 64 41 64 64 72 .......SendAddr
0020 65 73 73 12 6F 44 4F 4A 52 65 6D 6F 74 69 6E 67 ess.oDOJRemoting
0030 4D 65 74 61 64 61 74 61 2E 4D 79 53 65 72 76 65 Metadata.MyServe
0040 72 2C 20 44 4F 4A 52 65 6D 6F 74 69 6E 67 4D 65 r, DOJRemotingMe
0050 74 61 64 61 74 61 2C 20 56 65 72 73 69 6F 6E 3D tadata, Version=
0060 31 2E 30 2E 32 36 32 32 2E 33 31 33 32 36 2C 20 1.0.2622.31326,
0070 43 75 6C 74 75 72 65 3D 6E 65 75 74 72 61 6C 2C Culture=neutral,
0080 20 50 75 62 6C 69 63 4B 65 79 54 6F 6B 65 6E 3D PublicKeyToken=
0090 6E 75 6C 6C 10 01 00 00 00 01 00 00 09 02 00 null......
00A0 00 00 0C 03 00 00 00 51 44 4F 4A 52 65 6D 6F 74 ......QDOJRemot
00B0 69 6E 67 4D 65 74 61 64 61 74 61 2C 20 56 65 72 ingMetadata, Ver
00C0 73 69 6F 6E 3D 31 2E 30 2E 32 36 32 32 2E 33 31 sion=1.0.2622.31
00D0 33 32 36 2C 20 43 75 6C 74 75 72 65 3D 6E 65 75 326, Culture=neu
00E0 74 72 61 6C 2C 20 50 75 62 6C 69 63 4B 65 79 54 tral, PublicKeyT
00F0 6F 6B 65 6E 3D 6E 75 6C 6C 05 02 00 00 1B 44 oken=null......D
0100 4F 4A 52 65 6D 6F 74 69 6E 67 4D 65 74 61 64 61 OJRemotingMetada
0110 74 61 2E 41 64 64 72 65 73 73 04 00 00 00 6 53 ta.Address.....S
0120 74 72 65 65 74 04 43 69 74 79 05 53 74 61 74 65 treet.City.State
0130 03 5A 69 70 01 01 01 01 03 00 00 06 04 00 00 .Zip......
0140 00 11 4F 6E 65 20 4D 69 63 72 6F 73 6F 66 74 20 ..One Microsoft
0150 57 61 79 06 05 00 00 00 07 52 65 64 6D 6F 6E 64 Way.....Redmond
0160 06 06 00 00 00 02 57 41 06 07 00 00 05 39 38 ......WA......98
0170 30 35 34 0B
                                                   054.
```

The interpretation of the preceding message content is as follows.

```
Binary Serialization Format
SerializationHeaderRecord:
   BinaryHeaderEnum: SerializedStreamHeader (0x00)
   TopId: 0 (0x0)
   HeaderId: -1 (0xFFFFFFFF)
   MajorVersion: 1 (0x1)
   MinorVersion: 0 (0x0)
BinarvMethodCall:
   BinaryHeaderEnum: BinaryMethodCall (0x15)
   MessageEnum: 0000014
       NoArgs:
                      (......)
       ArgsInline:
                      ArgsIsArray:
                     (.....1..)
       ArgsInArray:
                     NoContext:
                      ContextInline:
                     (.....)
       ContextInArray:
                      (.....)
       MethodSignatureInArray: (.....)
```

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```
PropertyInArray:
                               (.....)
                               (.....)
          NoReturnValue:
          ReturnValueVoid:
                               (.....)
          ReturnValueInline:
                               (.....)
          ReturnValueInArray:
                               (.....)
          ExceptionInArray:
                               (.....)
                               Reserved:
     MethodName:
          PrimitiveTypeEnum: String (0x12)
          Data: SendAddress
     TypeName:
          PrimitiveTypeEnum: String (0x12)
          Data: RemotingTest.MyServer, RemotingTest, Version=1.0.2616.21414,
Culture=neutral, PublicKeyToken=null
 MethodCallArray: (ArraySingleObject)
          BinaryHeaderEnum: ArraySingleObject (0x10)
          ObjectId: 1 (0x01)
          Length: 1 (0x1)
 MemberReference:
          BinaryHeaderEnum: MemberReference (0x09)
          IdRef: 2 (0x02)
 BinaryLibrary:
          BinaryHeaderEnum: BinaryLibrary (0x0C)
          AssemId: 3 (0x03)
          LibraryString: LibraryString:RemotingTest,
Version=1.0.2621.26113, Culture=neutral, PublicKeyToken=null
 ClassWithMembersAndTypes:
          BinaryHeaderEnum: ClassWithMembersAndTypes (0x05)
          ObjectId: 2 (0x02)
          Name: RemotingTest.MyData
          NumMembers: 4 (0x04)
               MemberNames:
                    Data: Street
               MemberNames:
                    Data: City
               MemberNames:
                    Data: State
               MemberNames:
                    Data: Zip
          BinaryTypeEnumA:
               String (0x01)
               String (0x01)
               String (0x01)
               String (0x01)
          AssemId: 3 (0x03)
 BinaryObjectString:
          BinaryHeaderEnum: BinaryObjectString (0x06)
          ObjectId: 04 (0x04)
          Length: 17 (0x11)
          Value: One Microsoft Way
 BinaryObjectString:
          BinaryHeaderEnum: BinaryObjectString (0x06)
          ObjectId: 05 (0x04)
          Length: 7 (0x07)
          Value: Redmond
 BinaryObjectString:
          BinaryHeaderEnum: BinaryObjectString (0x06)
          ObjectId: 06 (0x04)
```

```
Length: 2 (0x02)
Value: WA
BinaryObjectString:
BinaryHeaderEnum: BinaryObjectString (0x06)
ObjectId: 07 (0x04)
Length: 5 (0x05)
Value: 98054
MessageEnd:
BinaryHeaderEnum: MessageEnd (0x11)
```

- The BinaryMethodCall record contains the Server Type name and the Remote Method name. The ArgsIsArray flag in the MessageEnum field indicates that the Arguments are passed as part of a MethodCallArray record. The NoContext flag in the MessageEnum field indicates that there is no CallContext that is sent as part of this message.
- The MethodCallArray record contains one entry for the only Argument.
- The MemberReference record provides a reference to the actual value.
- The BinaryLibrary record associates the Id value of 3 to the Library name RemotingTest, Version=1.0.2621.26113, Culture=neutral, PublicKeyToken=null.
- ClassWithMembersAndTypes record contains the Input Argument passed. It lists the four Member names and their Remoting Types (all strings in this case).
- Four BinaryObjectString records contain the string values of the four Members (Street, City, State and Zip).

The message frame and the message content for the response message from the server are as follows.

```
00002E 4E 45 54 01 00 02 00 00 00 27 00 00 00 00 00.NET.....'.....
```

The interpretation of the preceding message frame is as follows.

- The **OperationType** field indicates that this is a Response message of a Two-Way Method.
- The **ContentDistribution** field indicates that the message content is not chunked.

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- The **ContentLength** field indicates the length of the message content in bytes.
- The EndHeader header indicates the end of the headers and the message frame.

The interpretation of the preceding message content is as follows.

```
Binary Serialization Format
 SerializationHeaderRecord:
       BinaryHeaderEnum: SerializedStreamHeader (0x00)
     TopId: 0 (0x0)
     HeaderId: 0 (0x0)
     MajorVersion: 1 (0x1)
     MinorVersion: 0 (0x0)
 BinaryMethodReturn:
     BinaryHeaderEnum: BinaryMethodReturn (0x16)
     MessageEnum: 00000811
          NoArgs:

      NOArgs:
      ( )

      ArgsInline:
      ( )

      ArgsIsArray:
      ( )

      ArgsInArray:
      ( )

      NoContext:
      ( )

      ContextInline:
      ( )

      ContextInArray:
      ( )

           MethodSignatureInArray: (.....)
           NoReturnValue:
                                 (.....)
           ReturnValue:
           PrimitiveTypeEnum: String (0x12)
           Data: Address received
     ArgsCount: 0 (0x0)
 MessageEnd:
           BinaryHeaderEnum: MessageEnd (0x0B)
```

The BinaryMethodReturn record indicates that the message content is a response to a message. The NoArgs and the NoContext flags in the **MessageEnum** field indicate that there is no Output Arguments or Call Context associated with this call. The ReturnValueInline flag in the **MessageEnum** field indicates that the Return Value is part of the Method Return record. The actual value is encoded as StringValueWithCode.

4.2 Two-Way Method Invocation Using SOAP Over HTTP

The next example shows the message trace using the SOAP transport with HTTP. In this sample, the client invokes the SendAddress method defined in the Server Interface RemotingTest.MyServer defined as follows.

```
namespace RemotingTest
{
  interface MyServer
  {
   Address SendAddress(Array a, int I);
  }
  class Address
  {
   String Street;
   String City;
   String State;
   String Zip;
  }
}
```

The client invokes the method with the following values for the parameters:

```
a = new int[-1..8][4..5],
I = 42
```

The remoting server is a Single-Call SAO at "http://RemotingMachine:8080/MyServer.soap".

The HTTP headers of the request message are shown as follows.

```
POST /MyServer.soap HTTP/1.1
User-Agent: Mozilla/4.0+(compatible; MSIE 6.0; Windows 6.0.6000.0; MS .NET
Remoting; MS .NET CLR 2.0.50727.1318 )
Content-Type: text/xml; charset="utf-8"
SOAPAction: "http://schemas.microsoft.com/clr/nsassem/
RemotingTest.MyServer/RemotingTest#DoIt"
Host: localhost:8080
Content-Length: 1582
Expect: 100-continue
Connection: Keep-Alive
```

The request is an HTTP/1.1 request. The HTTP headers are set as specified in the <u>Sending Request</u> subsection of <u>SOAP on HTTP</u>. The "MyServer.soap" is the Server Object URI. The "http://schemas.microsoft.com/clr/nsassem/RemotingTest.MyServer/RemotingTest#DoIt" is the SOAPAction created from the name of the Remote Method and the Server Type name as specified in <u>SOAP Action String (section 2.2.4.1)</u>.

The body of the message is as follows.

```
<SOAP-ENV:Envelope
xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"</pre>
```

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```
xmlns:xsd="http://www.w3.org/2001/XMLSchema"
 xmlns:SOAP-ENC="http://schemas.xmlsoap.org/soap/encoding/"
 xmlns:SOAP-ENV="http://schemas.xmlsoap.org/soap/envelope/"
  xmlns:clr="http://schemas.microsoft.com/soap/encoding/clr/1.0"
  SOAP-ENV:encodingStyle="http://schemas.xmlsoap.org/soap/encoding/">
  <SOAP-ENV:Header>
   <h3: MethodSignature xsi:type="SOAP-ENC:methodSignature"
     SOAP-ENC:root="1"
     xmlns:h3="http://schemas.microsoft.com/clr/soap/messageProperties"
     xmlns:a1="http://schemas.microsoft.com/clr/ns/System">
       al:Array xsd:int
   </h3: MethodSignature>
   <h3: CallContext href="#ref-4" SOAP-ENC:root="1"
      xmlns:h3="http://schemas.microsoft.com/clr/soap/messageProperties" />
    <a2:LogicalCallContext id="ref-4"
      xmlns:a2="http://schemas.microsoft.com/clr/ns/System.Runtime.Remoting.Messaging">
      <Hdr1 id="ref-5">HeaderValue</hdr1>
   </a2:LogicalCallContext>
  </SOAP-ENV:Header>
  <SOAP-ENV:Body>
   <i6:DoIt id="ref-1"
     xmlns:i6="http://schemas.microsoft.com/clr/nsassem/RemotingTest.MyServer/RemotingTest">
      <a href="#ref-7"/>
      <i>42</i>
    </i6:DoIt>
   <SOAP-ENC:Array id="ref-7"
      SOAP-ENC:arrayType="xsd:int[10,2]" SOAP-ENC:offset="[-1,4]">
      <it.em>0</it.em>
      <item>0</item>
      <item>0</item>
   </SOAP-ENC:Arrav>
 </SOAP-ENV:Body>
</SOAP-ENV:Envelope>
```

The message is a SOAP envelope. There are two SOAP headers: MethodSignature and CallContext. The MethodSignature header contains the string "a1:Array xsd:int", which is a list of qualified names as specified in Mapping Remoting Data Model to SOAP Format (section 3.1.5.2), subsection Mapping Remote Method Invocation (section 3.1.5.2.1). The CallContext header contains a single name-value pair encoded as specified in Call Context SOAP Header (section 2.2.4.5). The name is "Hdr1" and

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the value is "HeaderValue". The SOAP body consists of a single element called "DoIt" from the "http://schemas.microsoft.com/clr/nsassem/RemotingTest.MyServer/RemotingTest" namespace. The local name and the XML namespace of this element are encoded as specified in the Windows behavior of Method Name Encoding (section 2.2.4.3). The Arguments "a" and "I" are represented by the two child elements of DoIt. Argument "I" is a simple value (42). Argument "a" is a two-dimensional Array with the dimension of the first Array spanning from -1 to 8 (10 items) and the second Array spanning from 4 to 5 (2 items). The 20 items of the Array are encoded inside the Array element.

The server returns an instance of the Address Class as Return Value as follows:

```
Address.Street = "One Microsoft Way",
Address.City = "Redmond",
Address.State = "WA"
Address.Zip = "98054".
```

The HTTP headers of the reply message are shown as follows. The reply message is encoded as specified in the <u>Sending Reply</u> subsection under SOAP on HTTP (section 2.1.3.1). The StatusCode is 200. The Content-Type is text/xml;charset="utf-8" which is the SOAP Format Identifier.

```
Version=1.1, Status-Code=200, Reason-Phrase=OK.
Content-Length: 1497
Content-Type: text/xml; charset="utf-8"
Server: MS .NET Remoting, MS .NET CLR 2.0.50727.1318
```

The HTTP body of the reply message is given as follows. The body is a SOAP Envelope. The reply echoes the CallContext and MethodSignature headers. The SOAP body of the reply message contains a single element called DoItResponse. It is encoded as specified in the Windows behavior of Method Name Encoding (section 2.2.4.3). The element contains one child element called "return" that contains a reference to the Return Value. The Return Value is serialized under the "Address" element.

```
<SOAP-ENV:Envelope
 xmlns:xsi="http://www.w3.org/2001/XMLSchema-instance"
 xmlns:xsd="http://www.w3.org/2001/XMLSchema"
 xmlns:SOAP-ENC="http://schemas.xmlsoap.org/soap/encoding/"
 xmlns:SOAP-ENV="http://schemas.xmlsoap.org/soap/envelope/"
  xmlns:clr="http://schemas.microsoft.com/soap/encoding/clr/1.0"
 SOAP-ENV:encodingStyle="http://schemas.xmlsoap.org/soap/encoding/">
  <SOAP-ENV: Header>
   <h3: MethodSignature xsi:type="SOAP-ENC:methodSignature"
      SOAP-ENC:root="1"
      xmlns:h3="http://schemas.microsoft.com/clr/soap/messageProperties"
     xmlns:a1="http://schemas.microsoft.com/clr/ns/System">
     al:Array xsd:int
   </h3: MethodSignature>
         CallContext href="#ref-4"
      xmlns:h3="http://schemas.microsoft.com/clr/soap/messageProperties"
      SOAP-ENC:root="1"/>
   <a2:LogicalCallContext id="ref-4"
```

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```
xmlns:a2="http://schemas.microsoft.com/clr/ns/System.Runtime.Remoting.
Messaging">
     <Hdr1 id="ref-5">HeaderValue/Hdr1>
    </a2:LogicalCallContext>
  </SOAP-ENV:Header>
  <SOAP-ENV:Body>
    <i6:DoItResponse id="ref-1"
     xmlns:i6="http://schemas.microsoft.com/clr/nsassem/RemotingTest.MyServer/
RemotingTest">
     <return href="#ref-8"/>
    </i6:DoItResponse>
    <a3:Address id="ref-8"
                                xmlns:a3="http://schemas.microsoft.com/clr/
nsassem/RemotingTest/RemotingTest%2C%20Version%3D1.0.0.0%2C%20Culture%
3Dneutral%2C%20PublicKeyToken%3Dnull">
      <Street id="ref-9">One Microsoft Way</Street>
      <City id="ref-10">Redmond</City>
     <State id="ref-11">WA</State>
     <Zip id="ref-12">98052</Zip>
    </a3:Address>.
  </SOAP-ENV:Body>
</SOAP-ENV:Envelope>
```

4.3 Faults in SOAP Over HTTP

If the Remoting Method implementation of "DoIt" throws an Exception, then it is returned to the client as a SOAP fault. The HTTP headers of the reply message are shown in the following code example. The reply message is encoded as specified in Sending Reply (section 2.1.3.1.2.2) under SOAP on HTTP (section 2.1.3.1). The Status-Code is 500. The Content-Type is text/xml;charset="utf-8" which is the SOAP Format Identifier.

```
Version=1.1, Status-Code=500, Reason-Phrase=Internal Server Error.Content-Length: 2312 Content-Type: text/xml; charset="utf-8" Server: MS .NET Remoting, MS .NET CLR 2.0.50727.1318
```

The HTTP body of the reply message is given in the following sample. The body is a SOAP Envelope. The reply echoes the CallContext and MethodSignature headers. The SOAP body of the reply message contains the SOAP Fault element. It is encoded as specified in Mapping Exception (section 3.1.5.2.11). The faultcode value of SOAP-ENV:Server conveys the fault is on the server side. The faultstring contains the Exception message thrown by the application. The detail value consists of the serialized instance of the Exception. The other elements—exceptionType, message, and stackTrace—have null values. The structure of the serialized Exception message can be found in SystemException (section 2.2.2.9).

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```
xmlns:h4="http://schemas.microsoft.com/clr/soap/
messageProperties"
      SOAP-ENC:root="1"/>
    <a1:LogicalCallContext id="ref-3"
      xmlns:a1="http://schemas.microsoft.com/clr/ns/System.Runtime.
Remoting.Messaging">
      <Hdr1 id="ref-5">HeaderValue</Hdr1>
    </al:LogicalCallContext>
  </SOAP-ENV:Header>
  <SOAP-ENV:Body>
    <SOAP-ENV:Fault id="ref-1">
      <faultcode id="ref-6">SOAP-ENV:Server</faultcode>
      <faultstring id="ref-7">
        **** System.Exception - Invalid Arguments
      </faultstring>
      <detail xsi:type="a2:ServerFault"</pre>
xmlns:a2="http://schemas.microsoft.com/clr/ns/System.Runtime.
Serialization.Formatters">
        <exceptionType xsi:null="1"/>
        <message xsi:null="1"/>
        <stackTrace xsi:null="1"/>
        <exception href="#ref-8"/>
      </detail>
    </SOAP-ENV:Fault>
    <a3:Exception id="ref-8"
      xmlns:a3="http://schemas.microsoft.com/clr/ns/System">
      <ClassName id="ref-9">System.Exception</ClassName>
      <Message id="ref-10">Invalid Arguments
      <InnerException xsi:null="1"/>
      <HelpURL xsi:null="1"/>
      <StackTraceString id="ref-11">
        at RemotingTest.MyServer.DoIt(Array a, Int32 I) in
C:\temp\RemotingTest\RemotingSinkTest\RemotingTest\Program.cs:line 245
        at System.Runtime.Remoting.Messaging.StackBuilderSink.
PrivateProcessMessage(IntPtr md, Object[] args, Object server,
Int32 methodPtr, Boolean fExecuteInContext, Object[] outArgs)
        at System.Runtime.Remoting.Messaging.StackBuilderSink.
SyncProcessMessage(IMessage msg, Int32 methodPtr, Boolean
fExecuteInContext)
      </StackTraceString>
      <RemoteStackTraceString xsi:null="1"/>
      <RemoteStackIndex>0</RemoteStackIndex>
      <ExceptionMethod id="ref-12">8.DoIt.RemotingTest,
Version=1.0.0.0, Culture=neutral, PublicKeyToken=null.RemotingTest.
MyServer.RemotingTest.Foo DoIt(System.Array, Int32)</ExceptionMethod>
      <HResult>-2146233079/HResult>
      <Source id="ref-13">RemotingTest
    </a3:Exception>
  </SOAP-ENV:Bodv>
</SOAP-ENV:Envelope>
```

4.4 One-Way Method Invocation Using SOAP Over TCP

The SOAP envelope is constructed in the same way as SOAP over HTTP. However, a TCP message frame is used as specified in the <u>Sending Request</u> subsection under the <u>SOAP on TCP</u> section.

The TCP message frame for a One-Way Method with SOAP payload is as follows.

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```
00002E 4E 45 54 01 00 01 00 00 00 52 02 00 00 04 00 .NET.....R.....

0010 01 01 22 00 00 00 74 63 70 3A 2F 2F 6D 61 68 65 .."...tcp://mahe

0020 73 68 64 65 76 32 3A 38 30 38 30 2F 4D 79 53 65 shdev2:8080/MySe

0030 72 76 65 72 2E 72 65 6D 06 00 01 01 19 00 00 00 rver.rem......

0040 74 65 78 74 2F 78 6D 6C 3B 20 63 68 61 72 73 65 text/xml; charse

0050 74 3D 22 75 74 66 2D 38 22 01 00 01 0A 00 00 00 t="utf-8"......

0060 53 4F 41 50 41 63 74 69 6F 6E 01 64 00 00 02 22 SOAPAction.d..."

0070 68 74 74 70 3A 2F 2F 73 63 68 65 6D 61 73 2E 6D http://schemas.m

0080 69 63 72 6F 73 6F 66 74 2E 63 6F 6D 2F 63 6C 72 icrosoft.com/clr

0090 2F 6E 73 61 73 73 65 6D 2F 44 4F 4A 52 65 6D 6F /nsassem/DOJRemo

00A0 74 69 6E 67 4D 65 74 61 64 61 74 61 23 53 61 79 48 65 6C gMetadata#SayHel

00D0 6C 6F 22 00 00
```

The interpretation of the preceding trace is as follows.

```
ProtocolIdentifier: 0x54454E2E
MajorVersion: 1 (0x1)
MinorVersion: 0 (0x0)
OperationType: OneWay (0x01)
ContentLength
      ContentDistribution: Not Chunked (0x01)
      ContentLength: 594 (0x252)
Header 1
      RequestUriHeader
            HeaderToken: RequestUri (0x04)
            DataType : CountedString (0x01)
            UriValue: tcp://maheshdev2:8080/MyServer.rem
Header 2:
      ContentTypeHeader
            HeaderToken: ContentType (0x06)
            DataType : CountedString (0x01)
            ContentTypeValue : text/xml; charset="utf-8"
Header 3:
      Custom Header
      StringEncoding: UTF8 (0x01)
            Name: SOAPAction
            StringEncoding: UTF8 (0x01)
            Value: "http://schemas.microsoft.com/clr/nsassem/
                     DOJRemotingMetadata.MyServer/
                     DOJRemotingMetadata#SayHello"
Header 3:
      EndHeader:
            HeaderToken: EndOfHeaders (0x00)
```

The key field to note for a One-Way Method request is the **OperationType** field. It contains a value of 1 indicating the request as One-Way. Because the payload is SOAP, the content-type is text/xml and the message frame has a custom header for SOAPAction.

4.5 One-Way Method Invocation Using HTTP-Binary

The binary message content of HTTP with binary is constructed the same way as TCP with binary as shown in the Iwo-Way Method Invocation Using TCP-Binary section. However, the message frame is made of HTTP headers as specified in the Sending Request subsection under the HTTP Transport section.

The HTTP headers for a One-Way Method with Binary payload is shown as follows.

```
POST /MyServer.soap HTTP/1.1
User-Agent: Mozilla/4.0+(compatible; MSIE 6.0; Windows 6.0.6000.0;
MS .NET Remoting; MS .NET CLR 2.0.50727.1318 )
Content-Type: application/octet-stream
Host: localhost:8080
Content-Length: 607
Expect: 100-continue
Connection: Keep-Alive
```

The HTTP request header "Content-Type" contains "application/octet-stream" indicating that the payload is binary. For HTTP there is no field in the request headers to indicate that the request is One-Way.

The server returns an HTTP response with a Status-Code of 202, as follows.

```
\label{lem:version} \begin{tabular}{ll} Version=1.1, Status-Code=202, Reason-Phrase=Accepted. \\ Content-Length: 0 \\ Server: MS .NET Remoting, MS .NET CLR 2.0.50727.1318 \\ \end{tabular}
```

5 Security

5.1 Security Considerations for Implementers

As specified in [MS-NRBF], the .NET Remoting Binary Format has constructs that could lead to security attacks. Such attacks are also possible in the SOAP encoding, where the Array sizes can be specified in the wire. To mitigate these attacks implementers should consider building implementations that use or encourage the use of HTTPS and that enforce use of HTTP authentication or TCP with authentication and encryption (as specified in [MS-NNS]). Alternatively, an implementation may allow the higher layer to configure an upper limit for the size.

The .NET Remoting Protocol allows Server Objects to be marshaled transparently when a graph of Data Values containing a Server Object is sent across. This inadvertent exposing of new service endpoints may result in security issues. To mitigate such attacks, implementers SHOULD consider building implementations that require explicit opt-in by the application to enable the transparent marshaling.

De-serialization results in the creation of an instance of a Remoting Type whose information is provided in the message content. It may be unsafe to create an instance of certain Remoting Types. An implementation should protect against attacks in which the message content includes unsafe Remoting Types. Such attacks can be mitigated by authentication. Alternatively, an implementation can allow the higher layer to configure a list of Remoting Types in an implementation-specific way and disallow de-serialization of any Remoting Type that is not in the list.

5.2 Index of Security Parameters

Security parameter	Section
TCP authentication	TCP Transport (section 2.1.1)
HTTP authentication	HTTP Transport (section 2.1.2)
HTTPS	HTTP Transport (section 2.1.2)

6 Appendix A: Product Behavior

This document specifies version-specific details in the Microsoft .NET Framework. The following versions of .NET Framework are available in the following released Windows product or as supplemental software, see .NET Framework.

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include released service packs:

- Microsoft .NET Framework 1.0
- Microsoft .NET Framework 2.0
- Microsoft .NET Framework 3.0
- Microsoft .NET Framework 3.5
- Microsoft .NET Framework 4.0
- Microsoft .NET Framework 4.5

Exceptions, if any, are noted below. If a service pack or Quick Fix Engineering (QFE) number appears with the product version, behavior changed in that service pack or QFE. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that the product does not follow the prescription.

<1> Section 1.3.2: Windows allows applications to plug in extensions called Envoy Sinks that are run when a Remote Method is invoked. The actual interface of the extension is beyond the scope of this protocol. Windows uses the Envoy Sink Information to send a list of Envoy Sinks from the server to the client to carry out any client-side behavior such as validating parameters. If there is no application-provided data, this field is a NullObject in Windows.

<2> Section 2.1.1.1.1: Windows maintains a pool of connections for a given ServerObject (identified by its address). Each pool has a receive time-out and a connection time-out. Connections are preserved until the connection time-out expires. A new connection is created if there are no cached connections or if the connection is configured to do SPNEGO authentication.

<3> Section 2.1.1.1.1: Windows never writes chunked messages. However, it can consume chunked messages.

<4> Section 2.1.1.1.1: Windows with .NET Framework 1.0 or .NET Framework 1.1 retransmits a message once upon failure. Windows with .NET Framework 2.0, .NET Framework 3.0, .NET Framework 3.5, .NET Framework 4.0, or .NET Framework 4.5 allows users to configure the number of retransmissions. The default count is 1.

<5> Section 2.1.1.1.2: A Receive time-out can be configured by the higher layer.

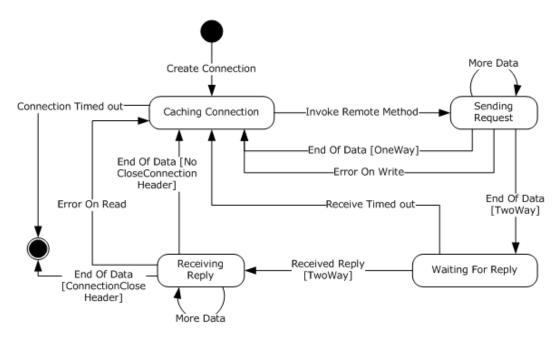


Figure 8: State transitions during the lifetime of a client connection

<7> Section 2.1.1.2.1: If the serialization format is SOAP, then the SOAPAction in the CustomHeader of a request message is processed as specified in the first Windows behavior note in section 3.2.5.1.4.

<8> Section 2.1.1.2.2: Windows never writes chunked messages. However, it can consume chunked messages.

<9> Section 2.1.2.1.1: In Windows the client always sends request in HTTP/1.1. The request has the following request headers set:

- Host request header is set to the host and the port number of the server.
- Expect request header is set to 100-continue.
- Connection request header is set to Keep-Alive.

<10> Section 2.1.2.1.1: IPv6 address is supported only on .NET Framework 2.0, .NET Framework 3.0, .NET Framework 4.0, and .NET Framework 4.5.

<11> Section 2.1.2.1.1: Windows sets the User-Agent to "Mozilla/4.0+(compatible; MSIE 6.0; Windows <Windows-Ver>; MS .NET Remoting; MS .NET CLR <.NET-Ver>)" where "Windows-Ver" refers to the version of Windows and ".NET-Ver" refers to the version of .NET Framework.

<12> Section 2.1.2.1.1: Windows never sends messages by using chunked transfer coding.

<13> Section 2.1.2.1.2: The response time-out can be configured by the higher layer.

<14> Section 2.1.2.1.2: Windows supports HTTP redirection (status code specified in [RFC2616] section 10.3). The higher layer can configure to disable HTTP redirection. If disabled, the higher layer is notified of the error. The informational codes (the status code specified in section [RFC2616] 10.1) are handled as specified in [RFC2616] and are not propagated to the higher layer.

- <15> Section 2.1.3.1.1.1: Windows sets the User-Agent to "Mozilla/4.0+(compatible; MSIE 6.0; Windows <Windows-Ver>; MS .NET Remoting; MS .NET CLR <.NET-Ver>)" where "Windows-Ver" refers to the version of Windows and ".NET-Ver" refers to the version of .NET Framework.
- <16> Section 2.1.3.1.1.2: Windows supports HTTP redirection (status code specified in [RFC2616] section 10.3). The higher layer can configure to disable HTTP redirection. If disabled, the higher layer is notified of the error. The informational codes (status code specified in [RFC2616] section 10.1) are handled as specified in [RFC2616] and are not propagated to the higher layer.
- <17> Section 2.2.1.2: TypeParameterList is always empty in .NET Framework 1.0 and .NET Framework 1.1.
- <18> Section 2.2.2.7: This field is localized in Windows.
- <19> Section 2.2.2.7: The Data field is present only in .NET Framework 2.0, .NET Framework 3.0, .NET Framework 3.5, .NET Framework 4.0, and .NET Framework 4.5.
- <20> Section 2.2.2.12: A UnityType value of 8 is valid only in .NET Framework 2.0, .NET Framework 3.0, .NET Framework 3.5, .NET Framework 4.0, and .NET Framework 4.5.
- <21> Section 2.2.2.13: The **GenericArguments** field is present only in .NET Framework 2.0, .NET Framework 3.0, .NET Framework 4.0, and .NET Framework 4.5.
- <22> Section 2.2.2.15: Windows names the target Member as specified by the following format.

Format for target Member name				
TargetMemberName	=	'Target' Index		
Index	=	1*('0'-'9')		

For a given <u>DelegateSerializationHolder</u>, the Index value starts from 0 and increases by 1 for each target Member. The Index value matches the index of the <u>DelegateEntry</u> for the Remote Method in the linked list.

- <23> Section 2.2.2.15: The Method Member is present only in .NET Framework 2.0, .NET Framework 3.0, .NET Framework 4.0, and .NET Framework 4.5.
- <24> Section 2.2.3.2.2: IPv6 addresses are supported only on .NET Framework 2.0, .NET Framework 3.0, .NET Framework 4.0, and .NET Framework 4.5.
- <25> Section 2.2.3.3: Windows never writes chunked messages. However, it can consume chunked messages.
- <26> Section 2.2.4.1: Windows allows the application to associate a SOAP action with a Remote Method. In the absence of such an association, Windows derives SOAPAction from the Remote Method name by concatenating the XML namespace of the Server Type and the Remote Method name separated by a number sign ('#').

SoapAction = XML namespace of Server Type '#' Remote Method Name where XML namespace of a Server Type is constructed as specified in section Remoting Type Name Encoding.

<27> Section 2.2.4.2: Windows allows the application to associate an XML namespace and a local name with a Remoting Type. In the absence of such an association, Windows derives the qualified name from the Remoting Type name and the Library name.

If the Library name of the Remoting Type is "mscorlib", then the XML namespace is defined as follows.

XML namespace definition		
SystemNamespace	=	CLRNSPREFIX '/' ClrNamespace(<u>TypeName</u>)
CLRNSPREFIX	=	'http://schemas.microsoft.com/clr/ns'
ClrNamespace(<u>TypeName</u>)	=	ClrNamespace nonterminal token in <u>TypeName</u> in the <u>Common</u> <u>Patterns</u> section

If the Library name of the Remoting Type is not "mscorlib", then the XML namespace is defined by using the ABNF syntax specified in [RFC4234] as follows.

XML namespace definition		
NonSystemNamespace	=	CLRNSASSEMPREFIX '/' ClrNamespace(<u>TypeName</u>) '/' UriEncoded(<u>LibraryName</u>)
CLRNSASSEMPREFIX	=	'http://schemas.microsoft.com/clr/nsassem'
ClrNamespace(<u>TypeName</u>)	=	ClrNamespace nonterminal token in <u>TypeName</u> in the <u>Common Patterns</u> section
UriEncoded(<u>LibraryName</u>)	=	LibraryName as defined in the <u>Common Patterns</u> section with characters ' ' (SPACE) replaced with '%20', '=' replaced with '%3D' and ',' replaced with '%2C'

If the application did not explicitly define it, the local name is defined by the LocalName nonterminal token in TypeName in the Common Patterns section.

<28> Section 2.2.4.3: Windows allows the application to associate an XML namespace and a local name for the request and response. In the absence of such an association, Windows derives the XML namespace from the Server Type name and the Library name by using the ABNF syntax defined in [RFC4234], specified as follows.

How Windows derives an XML namespace from the Server Type name and the Library name		
MethodNamespace	II	CLRNSASSEMPREFIX '/' ClrNamespace(<u>TypeName</u>) '/' LibraryIdentifier(LibraryName) '/' LocalName() '/' LocalName(<u>TypeName</u>)
CLRNSASSEMPREFIX	=	'http://schemas.microsoft.com/clr/nsassem'
ClrNamespace(<u>TypeName</u>)	=	ClrNamespace nonterminal token in <u>TypeName</u> in the <u>Common Patterns</u> section
LibraryIdentifier (<u>LibraryName</u>)	=	The LibraryIdentifier nonterminal token in LibraryName as defined in the <u>Common Patterns</u> section
LocalName(TypeName)	=	The LocalName nonterminal token in <u>TypeName</u> in the <u>Common</u> <u>Patterns</u> section

The LocalName of the request is the same as the name of the Remote Method.

The LocalName of the response is the name of the request appended with the string "Response".

- <29> Section 2.2.5: TypeArgumentList is always empty in .NET Framework version 1.0 and .NET Framework version 1.1.
- <30> Section 3.1.1: In Windows a Library is called an assembly.
- <31> Section 3.1.1: The name of the System Library in Windows is "mscorlib".
- <32> Section 3.1.1: In .NET Framework 1.0 and .NET Framework 1.1, the DateTime value supports only Unspecified. UTC and Local are supported only in .NET Framework 2.0, .NET Framework 3.0, .NET Framework 3.5, .NET Framework 4.0, and .NET Framework 4.5.
- <a>33> Section 3.1.1: In Windows, the Generic Remote Method is supported only on .NET Framework 2.0, .NET Framework 3.5, .NET Framework 4.0, and .NET Framework 4.5.
- <34> Section 3.1.5.1.1: Windows allows extensions of its implementation to participate in the serialization of a message. It allows the extensions to provide a collection of DictionaryEntry items that are serialized as Message Properties.
- <35> Section 3.1.5.1.2: Windows allows extensions of its implementation to participate in the serialization of a message. It allows the extensions to provide a collection of DictionaryEntry items that are serialized as Message Properties.
- <36> Section 3.1.5.1.6: Windows always writes using the <u>ClassWithMembersAndTypes</u> for Classes that are not in the System Library. Windows can read all the versions. Windows uses the <u>ClassWithId</u> record to write subsequent instances of a Class unless the Members that are serialized vary from one instance to another; in that case, Windows uses the Class records with Member information.
- <37> Section 3.1.5.1.6: Windows always writes using the SystemClassWithMembersAndTypes for Classes that are in the System Library and ClassWithMembersAndTypes for Classes that are not in the System Library. Windows can read all the versions. Windows uses the ClassWithId record to write subsequent instances of a Class unless the Members that are serialized vary from one instance to another; in that case, Windows uses the Class records with Member information.

<38> Section 3.1.5.1.7: Windows always writes:

- ArraySingleString for single-dimensional string Arrays with a lower bound of 0.
- ArraySinglePrimitive for single-dimensional primitive Arrays with a lower bound of 0.
- ArraySingleObject for single-dimensional System.Object Arrays with a lower bound of 0.
- <39> Section 3.1.5.2.1: Windows allows extensions of its implementation to participate in the serialization of a message. Windows allows the extensions to provide a collection of name-value pairs. The name is written as the local name of the header element. The namespace of the header element is "http://schemas.microsoft.com/clr/soap/messageProperties". The value is encoded as specified in Mapping Remoting Data Model to SOAP Format (section 3.1.5.2).
- <40> Section 3.1.5.2.2: Windows allows extensions of its implementation to participate in the serialization of a message. It allows the extensions to provide a collection of name-value pairs. The name is written as the local name of the header element. The namespace of the header element is "http://schemas.microsoft.com/clr/soap/messageProperties". The value is encoded as specified in the Data Values Encoding section.
- <41> Section 3.1.5.2.2: Windows gets the name of the response struct (child of the SOAP Body element in the response message) by appending the name of the request struct (child of the SOAP

Body element in the request message) with the string "Response". The name of the Return Value accessor in Windows is "return".

<42> Section 3.1.5.2.11: Windows server implementation never writes the **faultactor** field. However it can consume a SOAP fault message with the **faultactor** field present.

<a>43> Section 3.1.5.3: Windows chooses a Channel URI based on the registered schemes specified in Creating Proxy from Request URI and Server Type (section 3.3.4.1.1). It picks the first Channel URI whose scheme is registered in the client Channel Table.

<44> Section 3.2.5.1.4: The Windows mechanism for binding to a Remote Method is as follows:

If there is no method in the Server Type with the Remote Method name that was de-serialized, then a RemotingException is constructed as specified in the <u>Constructing a Remoting Exception (section 3.2.5.1.7.2)</u> section and the Exception is sent back to the client.

If there is more than one Remote Method in the Server Type with the given name, Windows uses the Method Signature de-serialized to disambiguate. For each of the matching Remote Methods, the Remoting Types in the Method Signature are checked against the Remoting Type of the Remote Method's Argument. If all the Remoting Types match, then the Remote Method is chosen. If no Remote Method matches the Method Signature or if there are multiple matches and no Method Signature, then a RemotingException is constructed as specified in the Constructing a RemotingException (section 3.2.5.1.7.2) section and the Exception MUST be sent back to the client.

When using the SOAP protocol, if the Message Frame has a SOAP-Action header then the SOAP-Action is compared with the SOAP-Action inferred for the Remote Method as specified in the <u>SOAP Action String (section 2.2.4.1)</u> section. If they do not match, then a RemotingException is constructed as specified in the <u>Constructing a Remoting Exception (section 3.2.5.1.7.2)</u> section and the Exception is sent back to the client.

<45> Section 3.2.5.1.4: The Server Object is a CLR object whose type is Server Type and the Remote Method maps to a method in the Class. The Array of Data Values is passed as parameters to the method. The CallContext is passed as thread-specific CallContext values in the CLR.

<46> Section 3.2.5.1.5.3: Windows generates unique Server Object URIs as shown in the following table.

Format of the dynamically generated Server Object URI				
ServerObjectURI	=	GuidPart '/ 'BytesPart '_' SequenceId '.rem'		
GuidPart	=	8*8(HEXDIGIT) 3*3 ('_' 4*4(HEXDIGHT)) '_' 12*12(HEXDIGIT)		
BytesPart	=	24 * 24 (DIGIT / ('A'-'Z') / ('a'-'z') / '+' / '_')		
SequenceId	=	1*10(DIGIT)		

The GuidPart is a string representation of a GUID with '-' replaced by '_'. Windows uses the same GUID for all the Server Object URIs hosted in an **AppDomain**. The BytePart consists of 18 randomly generated bytes that are **Base64** encoded. The bytes are generated for every marshaled Server Object. SequenceId is an integer ranging from -2,147,483,648 to 2,147,483,647. It starts from 1 and is incremented for every marshaled Server Object. Incrementing SequenceId value from 2,147,483,647 wraps it to be -2,147,483,648.

<47> Section 3.2.5.1.7.1: Windows populates the **Message** field with a localized text message describing the error. The StackTraceString contains text describing the call stack from where the Exception is thrown. The RemoteStackTraceString is NullObject. RemoteStackIndex is 0.

ExceptionMethod contains text that lists the Method name, Library name and Remoting Type name. Source contains text representing the name of the Application.

<48> Section 3.2.5.1.7.2: Windows populates the **Message** field with a localized text message describing the error. The StackTraceString contains text describing the call stack from where the Exception is thrown. The RemoteStackTraceString is NullObject. RemoteStackIndex is 0. ExceptionMethod contains text that lists the Method Name, Library name, and Remoting Type name. Source contains text representing the name of the Application.

<49> Section 3.3.4.2.1: Windows constructs a MethodSignature when there is more than one method with the same name in a Server Type. In this case the MethodSignature is used to disambiguate the methods.

7 Change Tracking

No table of changes is available. The document is either new or has had no changes since its last release.

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