

[MS-RPCL]: Remote Procedure Call Location Services Extensions

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Revision Summary

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Contents

1	Introduction	8
1.1	Glossary	8
1.2	References.....	8
1.2.1	Normative References.....	8
1.2.2	Informative References	9
1.3	Protocol Overview	10
1.3.1	Roles	10
1.3.2	Modes.....	10
1.3.3	Name Service Entries in Active Directory	11
1.4	Relationship to Other Protocols.....	12
1.5	Prerequisites/Preconditions	13
1.6	Applicability Statement.....	13
1.7	Versioning and Capability Negotiation.....	13
1.8	Vendor-Extensible Fields.....	14
1.9	Standards Assignments	14
2	Messages.....	16
2.1	Transport.....	16
2.2	Common Data Types	16
2.2.1	Constants.....	16
2.2.2	Extensions to the Name Service Entry Name Syntax	17
2.2.3	LocToLoc RPC Interface Types.....	17
2.2.3.1	STRING_T.....	18
2.2.3.2	NSI_UUID_P_T.....	18
2.2.3.3	NSI_UUID_VECTOR_T	18
2.2.3.4	NSI_UUID_VECTOR_P_T.....	18
2.2.3.5	NSI_NS_HANDLE_T	19
2.2.3.6	NSI_STRING_BINDING_T	19
2.2.3.7	NSI_BINDING_T	19
2.2.3.8	NSI_BINDING_VECTOR_T.....	19
2.2.3.9	NSI_BINDING_VECTOR_P_T	20
2.2.4	Mailslot Structures.....	20
2.2.4.1	Common Details	20
2.2.4.1.1	Mailslot Sender	20
2.2.4.1.2	RPC_SYNTAX_IDENTIFIER.....	20
2.2.4.2	Broadcast Lookup	21
2.2.4.2.1	QueryPacket	21
2.2.4.2.2	QueryReply	22
2.2.4.2.2.1	MAILSLOT_ENTRY_TYPE	22
2.2.4.2.2.2	ReplyBuffer.....	22
2.2.4.2.2.3	fixed_part_of_reply	23
2.2.4.3	Master Locator Discovery.....	24
2.2.4.3.1	QUERYLOCATOR	24
2.2.4.3.2	QUERYLOCATORREPLY	24
2.2.5	Active Directory Schema Specifications	25
2.2.5.1	Common Details	25
2.2.5.1.1	Name Service Entry RDN.....	25
2.2.5.1.2	Reference Attributes	25
2.2.5.1.3	RPC Syntax Identifier Attribute	25
2.2.5.2	rpcContainer Class.....	26

2.2.5.3	rpcServer Class	26
2.2.5.4	rpcProfile Class.....	27
2.2.5.5	rpcGroup Class.....	27
2.2.5.6	rpcServerElement Class.....	27
2.2.5.7	rpcProfileElement Class	28
2.3	Directory Service Schema Elements	28
3	Protocol Details.....	30
3.1	LocToLoc Common Details	30
3.1.1	Abstract Data Model	30
3.1.1.1	RPC Services Container	30
3.1.2	Timers	30
3.1.3	Initialization	30
3.1.3.1	Mode Initialization	30
3.1.3.2	Master and Nonmaster Locator Initialization.....	31
3.1.4	Message Processing Events and Sequencing Rules.....	31
3.1.4.1	I_nsi_lookup_begin (Opnum 0)	32
3.1.4.2	I_nsi_lookup_next (Opnum 2).....	33
3.1.4.3	I_nsi_lookup_done (Opnum 1)	34
3.1.4.4	I_nsi_ping_locator (Opnum 4).....	35
3.1.4.5	I_nsi_entry_object_inq_begin (Opnum 6).....	35
3.1.4.6	I_nsi_entry_object_inq_next (Opnum 3)	36
3.1.4.7	I_nsi_entry_object_inq_done (Opnum 5).....	37
3.1.5	Timer Events	38
3.1.6	Other Local Events	38
3.2	LocToLoc Server Locator Details	38
3.2.1	Nondirectory Mode	38
3.2.1.1	Abstract Data Model.....	38
3.2.1.2	Timers	39
3.2.1.3	Initialization.....	39
3.2.1.4	Higher-Layer Triggered Events	39
3.2.1.4.1	Updating a Server Entry.....	39
3.2.1.4.2	Setting the Maximum Expiration Age	40
3.2.1.5	Message Processing Events and Sequencing Rules	40
3.2.1.5.1	Broadcast Lookup Response	41
3.2.1.6	Timer Events.....	42
3.2.1.7	Other Local Events.....	42
3.2.2	Directory-Only Mode.....	42
3.2.2.1	Abstract Data Model.....	42
3.2.2.2	Timers	42
3.2.2.3	Initialization.....	42
3.2.2.4	Higher-Layer Triggered Events	42
3.2.2.4.1	Updating a Server Entry.....	43
3.2.2.4.2	Updating a Group Entry	44
3.2.2.4.3	Updating a Profile Entry	45
3.2.2.4.4	Creating a New Entry.....	47
3.2.2.5	Message Processing Events and Sequencing Rules	47
3.2.2.6	Timer Events.....	48
3.2.2.7	Other Local Events.....	48
3.2.3	Directory Mode	48
3.2.3.1	Abstract Data Model.....	48
3.2.3.2	Timers	48
3.2.3.3	Initialization.....	48

3.2.3.4	Higher-Layer Triggered Events	48
3.2.3.4.1	Updating a Server Entry	48
3.2.3.4.2	Updating a Group Entry	48
3.2.3.4.2.1	Updating the Entry Cache Information.....	49
3.2.3.4.3	Updating a Profile Entry	49
3.2.3.4.3.1	Updating the Entry Cache Information.....	49
3.2.3.5	Message Processing Events and Sequencing Rules	50
3.2.3.6	Timer Events.....	50
3.2.3.7	Other Local Events.....	50
3.3	LocToLoc Client Locator Details.....	50
3.3.1	Nondirectory Mode	51
3.3.1.1	Abstract Data Model.....	51
3.3.1.2	Timers	51
3.3.1.3	Initialization.....	51
3.3.1.4	Higher-Layer Triggered Events	51
3.3.1.4.1	Binding Lookup	52
3.3.1.4.2	Object UUID Lookup	52
3.3.1.4.3	Master Locator Discovery	53
3.3.1.5	Message Processing Events and Sequencing Rules	53
3.3.1.6	Timer Events.....	53
3.3.1.7	Other Local Events.....	53
3.3.2	Directory-Only Mode	53
3.3.2.1	Abstract Data Model.....	53
3.3.2.2	Timers	53
3.3.2.3	Initialization.....	54
3.3.2.4	Higher-Layer Triggered Events	54
3.3.2.4.1	Query with Entry Name.....	54
3.3.2.4.2	Query Without Entry Name.....	54
3.3.2.5	Message Processing Events and Sequencing Rules	55
3.3.2.6	Timer Events.....	55
3.3.2.7	Other Local Events.....	55
3.3.3	Directory Mode	55
3.3.3.1	Abstract Data Model.....	55
3.3.3.2	Timers	55
3.3.3.3	Initialization.....	55
3.3.3.4	Higher-Layer Triggered Events	55
3.3.3.4.1	Query with Entry Name.....	56
3.3.3.4.2	Query Without Entry Name.....	56
3.3.3.5	Message Processing Events and Sequencing Rules	56
3.3.3.6	Timer Events.....	56
3.3.3.7	Other Local Events.....	56
3.4	LocToLoc Master Locator Details	56
3.4.1	Nondirectory Mode	56
3.4.1.1	Abstract Data Model.....	56
3.4.1.2	Timers	57
3.4.1.3	Initialization.....	57
3.4.1.4	Higher-Layer Triggered Events	57
3.4.1.5	Message Processing Events and Sequencing Rules	57
3.4.1.5.1	Lookup Request	57
3.4.1.5.1.1	Broadcast Lookup	59
3.4.1.5.2	Master Locator Response.....	60
3.4.1.6	Timer Events.....	60
3.4.1.7	Other Local Events.....	60

3.4.2	Directory Mode	60
3.4.3	Directory-Only Mode	60
4	Protocol Examples	61
4.1	Nondirectory Mode Operation	61
4.2	Directory-Only Mode Operation	61
4.3	Server in Nondirectory Mode and Client in Directory Mode	62
5	Security	64
5.1	Security Considerations for Implementers	64
5.2	Index of Security Parameters	64
6	Appendix A: Full IDL	65
7	Appendix B: Product Behavior	67
8	Appendix C: API Mappings	69
9	Change Tracking	71
10	Index	75

1 Introduction

The Remote Procedure Call Location Services Extensions is a set of extensions/restrictions to the distributed computing environment (DCE) remote procedure call (RPC) Location Services specified in [\[C706\]](#). These extensions add new capabilities to the DCE RPC Location Services Protocol.

This document specifies a set of extensions and restrictions to the DCE RPC Location Services specification as specified in [\[C706\]](#).

1.1 Glossary

The following terms are defined in [\[MS-GLOS\]](#):

Active Directory
binding
client locator
domain
domain controller (DC)
endpoint
fully qualified domain name (FQDN)
Interface Definition Language (IDL)
locator
mailslot
master locator
Microsoft Interface Definition Language (MIDL)
name service entry
object UUID
opnum
profile element
relative distinguished name (RDN)
remote procedure call (RPC)
remote procedure call (RPC) name service
RPC protocol sequence
RPC transfer syntax
RPC transport
server locator
UUID or GUID
well-known endpoint

The following terms are specific to this document:

MAY, SHOULD, MUST, SHOULD NOT, MUST NOT: These terms (in all caps) are used as described in [\[RFC2119\]](#). All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

1.2 References

1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact dochelp@microsoft.com. We will assist you in finding the relevant information. Please check the archive site,

<http://msdn2.microsoft.com/en-us/library/E4BD6494-06AD-4aed-9823-445E921C9624>, as an additional source.

[C705] The Open Group, "DCE 1.1: Directory Services", C705, August 1997, <http://www.opengroup.org/public/pubs/catalog/c705.htm>

[C706] The Open Group, "DCE 1.1: Remote Procedure Call", C706, August 1997, <http://www.opengroup.org/public/pubs/catalog/c706.htm>

[MS-ADA1] Microsoft Corporation, "[Active Directory Schema Attributes A-L](#)", June 2007.

[MS-ADA3] Microsoft Corporation, "[Active Directory Schema Attributes N-Z](#)", July 2006.

[MS-ADSC] Microsoft Corporation, "[Active Directory Schema Classes](#)", July 2006.

[MS-ADTS] Microsoft Corporation, "[Active Directory Technical Specification](#)", July 2006.

[MS-DTYP] Microsoft Corporation, "[Windows Data Types](#)", January 2007.

[MS-MAIL] Microsoft Corporation, "[Remote Mailslot Protocol Specification](#)", July 2006.

[MS-NRPC] Microsoft Corporation, "[Netlogon Remote Protocol Specification](#)", March 2007.

[MS-RPCE] Microsoft Corporation, "[Remote Procedure Call Protocol Extensions](#)", July 2006.

[MS-SPNG] Microsoft Corporation, "[Simple and Protected GSS-API Negotiation Mechanism \(SPNEGO\) Extension](#)", July 2006.

[NETBEUI] IBM Corporation, "LAN Technical Reference: 802.2 and NetBIOS APIs", 1986, http://publibz.boulder.ibm.com/cgi-bin/bookmgr_OS390/BOOKS/BK8P7001/CCONTENTS

If you have any trouble finding [NETBEUI], please check [here](#).

[RFC1001] Network Working Group, "Protocol Standard for a NetBIOS Service on a TCP/UDP Transport: Concepts and Methods", STD 19, RFC 1001, March 1987, <http://www.ietf.org/rfc/rfc1001.txt>

[RFC1002] Network Working Group, "Protocol Standard for a NetBIOS Service on a TCP/UDP Transport: Detailed Specifications", STD 19, RFC 1002, March 1987, <http://www.ietf.org/rfc/rfc1002.txt>

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, <http://www.ietf.org/rfc/rfc2119.txt>

[RFC4120] Neuman, C., Yu, T., Hartman, S., and Raeburn, K., "The Kerberos Network Authentication Service (V5)", RFC 4120, July 2005, <http://www.ietf.org/rfc/rfc4120.txt>

[RFC4178] Zhu, L., Leach, P., Jaganathan, K., and Ingersoll, W., "The Simple and Protected Generic Security Service Application Program Interface (GSS-API) Negotiation Mechanism", RFC 4178, October 2005, <http://www.ietf.org/rfc/rfc4178.txt>

[UNICODE] The Unicode Consortium, "Unicode Home Page", 2006, <http://www.unicode.org/>

1.2.2 Informative References

[MS-GLOS] Microsoft Corporation, "[Windows Protocols Master Glossary](#)", March 2007.

1.3 Protocol Overview

This specification extends the DCE RPC Location Services specification defined in the section "Name Service Interface" in Part 2 of [\[C706\]](#). These extensions add new capabilities to the DCE RPC Location Services Protocol and, in some cases, place additional restrictions upon it. This specification adheres to the abstract data model as specified in [\[C706\]](#) Part 2, but an implementation of this specification will not interoperate with an implementation of [\[C706\]](#) Part 2.

This document refers to the Microsoft Windows® implementation of the DCE RPC Location services protocol as "LocToLoc".

This document includes the following:

- An extension to provide RPC Location Services functionality in an environment where a centrally accessible directory service like **Active Directory** directory service is not available. For more details, see Nondirectory mode in section [1.3.2](#).
- An extension defining the implementation of the RPC Location Services specification in an Active Directory environment. For more details, see Directory-only mode in section [1.3.2](#).
- An extension enabling interoperable RPC Location Service functionality between locators running outside an Active Directory environment, and locators running inside an Active Directory environment. For more details, see Directory mode in section [1.3.2](#).
- An extension of the syntax for **name service entries** to include a **domain** name. For more details, see section [2.2.2](#).
- A restriction requiring profile, group, and server attributes to be defined on separate name service entries. These attributes are as specified in section "Name Service Attributes" in [\[C706\]](#). For more details, see section [1.3.3](#).
- A restriction requiring clients to be members of an Active Directory domain to support persistently storing exported name service entries. For more details, see section [1.3.2](#).
- A restriction requiring clients to be members of an Active Directory domain to support profile and group attributes. For more details, see sections [3.2.1](#), [3.3.3](#).

1.3.1 Roles

A **locator** conceptually operates in the following three roles. Over the course of a given **protocol sequence**, a given locator may simultaneously occupy more than one of these roles:

1. **Server locator**: A locator running on a computer on which a given name service entry is originally exported.
2. **Client locator**: A locator running on a computer on which a given name service entry is looked up.
3. **Master locator**: A locator that facilitates communication between client locators and server locators.

1.3.2 Modes

A locator runs in exactly one of the following modes.

Nondirectory mode: In this mode, a locator supports lookup and export of server entries without support for persistently stored name service entries, and therefore, it does not rely on an Active Directory store. Functionalities related to profile and group entries are not supported.

Directory mode: In this mode, a locator supports persistently storing all name service entries including group and profile entries by relying on an Active Directory store. A locator in this mode runs on a computer joined to an Active Directory domain. Locators in this mode interoperate with locators in Nondirectory mode in the following ways:

- Client locators in this mode support lookups of server entries from server locators running in Nondirectory mode.
- Server locators in this mode support lookups of server entries from client locators running in Nondirectory mode.

Functionalities related to lookup, export of server entries, profile, and group entries are supported in Directory mode.

Directory-only mode: In this mode, a locator supports the persistent storage of all name service entries including group and profile entries by relying on an Active Directory store. A locator in this mode runs on a computer joined to an Active Directory domain. Locators in this mode do not interoperate with locators running in Nondirectory mode.

In this mode, a locator is not permitted to do the following:

1. Respond or listen to **mailslot** requests.
2. Initiate any mailslot requests.
3. Forward a lookup request that originated locally to the master locator.

1.3.3 Name Service Entries in Active Directory

In Active Directory domain environments, this specification persistently stores **RPC name service** entries in the Active Directory store. The following schema elements are used to implement persistent storing, as specified in section [2.2.5](#).

Schema class	Description
rpcServer	Represents a server entry. This instance contains the object UUIDs exported to the server entry. Interfaces exported by the server are represented as child elements of this instance of type rpcServerElement.
rpcGroup	Represents a group entry. This instance contains group members of the Group Entry.
rpcProfile	Represents a profile entry. Profile elements in this profile entry are represented as child elements of this instance of type rpcProfileElement.
rpcServerElement	Represents a single interface exported to the server entry represented by the parent container.
rpcProfileElement	Represents a profile element exported to the profile entry represented by the parent container. An entry with an interface identifier specification of null GUID represents the default profile element of the profile entry.

The following diagram shows the layout of the RPC name service entries in the Active Directory store.

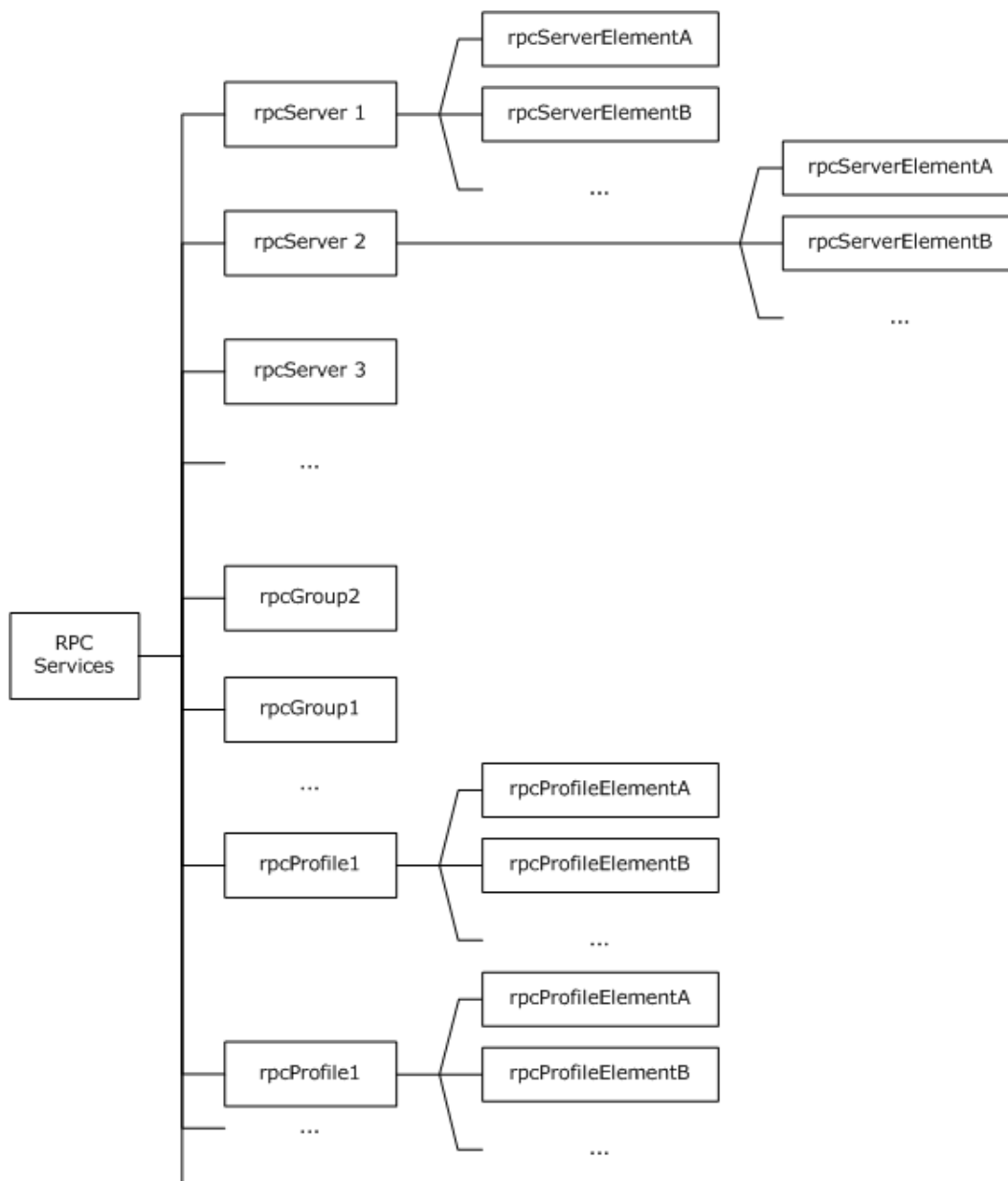


Figure 1: Active Directory layout

1.4 Relationship to Other Protocols

The Remote Procedure Call Location Services Extensions depend on the following protocols:

1. Locators depend on the **domain controller (DC)** and domain discovery mechanism [\[MS-ADTS\]](#) to obtain information about domains and to select their mode of operation, as specified in section [3.1.3.1](#).
2. Client locators depend on [Remote Procedure Call Protocol Extensions \[MS-RPCE\]](#) for forwarding requests to the master locator, as specified in section [3.3.1.4](#).

3. Master locators depend on the [Remote Mailslot Protocol \[MS-MAIL\]](#) to broadcast requests for the queries they receive, as specified in section [3.4.1.5.1](#). Server locators depend on the Remote Mailslot Protocol for responding to broadcast requests, as specified in section [3.2.1.5](#).
4. Client locators depend on the Remote Mailslot Protocol to dynamically discover the master locator, as specified in sections [3.3.1.4.3](#) and [3.4.1.5.2](#).
5. Server locators depend on Lightweight Directory Access Protocol (LDAP) [MS-ADTS] for persistently storing name service entries in the Active Directory store, as specified in section [3.2.2.4](#).
6. Client locators depend on LDAP [MS-ADTS] to look up persistently stored entries in Active Directory for the name service entries, as specified in section [3.3.2.4](#).

No other protocols have a dependency on this protocol.

The following diagram illustrates the layering of the protocols.

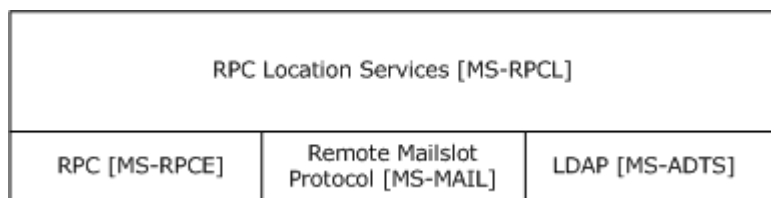


Figure 2: Relationship to other protocols

1.5 Prerequisites/Preconditions

Elements of these protocol extensions incorporate **RPC** interfaces and, as a result, inherit the prerequisites identified in [\[MS-RPCE\]](#) that are common to RPC interfaces.

These protocol extensions assume that an implementation has previously determined the following:

- Whether it is operating on a computer joined to an Active Directory domain.
- Whether it is running on the DC for a domain. A locator running on a DC runs as a master locator.

When operating as a member of an Active Directory domain, a locator must be able to access the Active Directory during initialization to support Active Directory-supported functionalities as specified in section [1.3.2](#).

For more information, see section [3.1.3.1](#).

1.6 Applicability Statement

The Remote Procedure Call Location Services Extensions do not restrict the applicability of [\[C706\]](#); rather, they extend its applicability to environments where computers have no centrally accessible directory service.

1.7 Versioning and Capability Negotiation

Supported Transports

The client locator communicates with the master locator using the RPC over Server Message Block (SMB) Protocol sequence (ncacn_np). For more information, see section [2.1](#).

The client locator uses the Remote Mailslot Protocol [\[MS-MAIL\]](#) to discover master locators. For more information, see section [3.3.1.4.3](#).

The master locator uses the Remote Mailslot Protocol [\[MS-MAIL\]](#) to broadcast requests to server locators and receive their responses. For more information, see section [3.4.1.5](#).

When operating on a domain-joined computer, the locator uses the LDAP protocol to issue queries and updates to Active Directory in its domain. For more information, see sections [3.2.2.4](#) and [3.3.2.4](#).

Capability Negotiation<1>

Protocol version: This protocol's RPC interface has a single version number of 1.0. The RPC versioning and capability negotiation in this situation is as specified in [\[C706\]](#) and in [\[MS-RPCE\]](#) section 1.7.

Security and Authentication Methods

RPC interfaces: The RPC interfaces defined by these extensions use the default security settings for RPC over SMB and do not register any additional security providers ([\[MS-RPCE\]](#) section 3.3.3.3). Default security is used for the RPC interfaces of these extensions. More information on security used by the RPC is specified in [\[MS-RPCE\]](#).

LDAP: When **binding** through LDAP, the Generic Security Services-Simple and Protected Generic Security Service Application Program Interface Negotiation Mechanism (GSS-SPNEGO) profile for Simple Authentication and Security Layer (SASL) is selected. The GSS-SPNEGO profile uses an implementation specified in [\[RFC4178\]](#) and will result in an actual security mechanism being selected. Typically, this mechanism is Kerberos [\[RFC4120\]](#), but others are possible. If the GSS-Kerberos profile is selected, then Kerberos is used. If Kerberos is used, then the name passed in for authentication is "LDAP/hostname-of-ldap-server". For more information on LDAP, see [\[MS-ADTS\]](#).

1.8 Vendor-Extensible Fields

This protocol does not define any vendor-extensible fields.

1.9 Standards Assignments

Parameter	Value	Reference
LocToLoc RPC Interface UUID	UUID: e33c0cc4-0482-101a-bc0c-02608c6ba218	As specified in section 2.1
LocToLoc RPC Interface End Point	Pipe Name: \pipe\Locator	As specified in section 2.1
Master Locator Discovery Request Mailslot	\Mailslot\Resp_s	As specified in section 2.1
Master Locator Discovery Response Mailslot	\Mailslot\Resp_c	As specified in section 2.1
Broadcast Lookup Request Mailslot	\Mailslot\RpcLoc_s	As specified in section 2.1

Parameter	Value	Reference
Broadcast Lookup Response Mailslot	\Mailslot\RpcLoc_c	As specified in section 2.1

2 Messages

2.1 Transport

Lookup forwarding: The client locators forward lookup requests to master locators over the LocToLoc RPC interface. The RPC interface uses the RPC over SMB protocol sequence, as specified in [\[MS-RPCE\]](#).

This protocol uses the following **well-known endpoint**:

- `\pipe\Locator`

This **endpoint** is a pipe name for RPC over SMB, as specified in [\[MS-RPCE\]](#).

This protocol MUST use the UUID specified in section [1.9](#). The RPC version number is 1.0.

Broadcast lookup: Master locators broadcast requests for server entries by writing to a mailslot (as specified in [\[MS_MAIL\]](#) section 3.1.4.1) with the following destination and address:

- Destination may be either all reachable computers on the network or all computers in a domain.
- Address: String literal "`\Mailslot\RpcLoc_s`".

Server locators respond to broadcast requests from master locators by using the Remote Mailslot Protocol with the following destination and address:

- Mailslot destination: The machine that sent the broadcast request to which this is a response.
- Address: String literal "`\Mailslot\RpcLoc_c`".

Master locator discovery: Client locators discover the master locator by using the Remote Mailslot Protocol with the following destination and address:

- Mailslot destination: All reachable computers on the network.
- Address: String literal "`\Mailslot\Resp_s`".

Master locators respond to discovery requests by using the Remote Mailslot Protocol with the following destination and address:

- Mailslot destination: The machine that sent the broadcast request to which this is a response.
- Address: String literal "`\Mailslot\Resp_c`".

Active Directory lookup: A locator on a domain-joined machine uses LDAP to determine whether Active Directory is accessible and to read and write data from Active Directory in the computer's domain. For more information, see section [3.1.3.1](#). For more information on LDAP, see [\[MS-ADTS\]](#).

2.2 Common Data Types

2.2.1 Constants

Value	Description
RPC_C_NS_SYNTAX_DCE	Specifies the syntax of the entry name, as specified in section 2.2.2 .

Value	Description
(0x3)	
NSI_S_OK (0x0)	Used to indicate that the LocToLoc method call executed successfully.

2.2.2 Extensions to the Name Service Entry Name Syntax

All name service entries MUST be identified by an entry name. The syntax of the entry name is specified by the constant `RPC_C_NS_SYNTAX_DCE` defined in the preceding section. This syntax allows specification of a domain name, which is an extension of the syntax specified in section "DCE Name Syntax" in [\[C705\]](#) Part 1.

An entry name is a case-insensitive, null-terminated Unicode [\[UNICODE\]](#) string. The entry name MUST be less than 256 characters. Entry names used in the LocToLoc RPC methods are further restricted so that the maximum length of the entry name (including the terminating NULL character) MUST be less than or equal to 100 characters. Entry names MUST be in one of the following forms.

Local Specification:

`././name`

Domain Specification:

`/.../domainname/name`

name: Specifies an identifier for the entry. This field may contain a slash (/) character. When operating in directory or directory-only mode, this field MUST NOT contain any characters that are disallowed in the **relative distinguished name (RDN)** of an Active Directory object, as specified in [\[MS-ADTS\]](#). This is a restriction on the syntax specified in section "DCE Name Syntax" in [\[C705\]](#) part 1.

domainname: Specifies the name of the domain. This field MUST NOT contain the delimiting slash (/) character.

2.2.3 LocToLoc RPC Interface Types

This RPC interface defines data types in addition to the RPC base types and definitions specified in [\[C706\]](#) and [\[MS-RPCE\]](#).

The following table summarizes the types that are defined in this specification.

Data type
STRING T
NSI UUID P T
NSI UUID VECTOR T
NSI UUID VECTOR P T
NSI NS HANDLE T
NSI STRING BINDING T

Data type
NSI_BINDING_T
NSI_BINDING_VECTOR_T
NSI_BINDING_VECTOR_P_T

2.2.3.1 STRING_T

The **STRING_T** type defines a string of Unicode [\[UNICODE\]](#) characters.

This type is declared as follows:

```
typedef [string, unique] wchar_t* STRING_T;
```

2.2.3.2 NSI_UUID_P_T

The **NSI_UUID_P_T** type defines a pointer to a GUID structure.

This type is declared as follows:

```
typedef [unique] GUID* NSI_UUID_P_T;
```

2.2.3.3 NSI_UUID_VECTOR_T

The **NSI_UUID_VECTOR_T** type defines an array of [NSI_UUID_P_T](#) structures.

```
typedef struct _NSI_UUID_VECTOR_T {
    unsigned long count;
    [size_is(count)] NSI_UUID_P_T uuid[*];
} NSI_UUID_VECTOR_T;
```

count: MUST specify the number of **NSI_UUID_P_T** elements in the **uuid** member.

uuid: An array of **NSI_UUID_P_T** entries.

2.2.3.4 NSI_UUID_VECTOR_P_T

The **NSI_UUID_VECTOR_P_T** type defines a pointer to the [NSI_UUID_VECTOR_T](#) structure.

This type is declared as follows:

```
typedef [unique] NSI_UUID_VECTOR_T* NSI_UUID_VECTOR_P_T;
```

2.2.3.5 NSI_NS_HANDLE_T

The **NSI_NS_HANDLE_T** type defines an opaque pointer that is used to represent a context handle, as specified in [\[C706\]](#) and [\[MS-RPCE\]](#). It is returned from the server to the client.

This type is declared as follows:

```
typedef [context_handle] void* NSI_NS_HANDLE_T;
```

2.2.3.6 NSI_STRING_BINDING_T

The **NSI_STRING_BINDING_T** type defines a Unicode [\[UNICODE\]](#) string that is used to represent binding information and which MAY optionally contain endpoint information. [<2>](#)

This type is declared as follows:

```
typedef [string] wchar_t* NSI_STRING_BINDING_T;
```

2.2.3.7 NSI_BINDING_T

The **NSI_BINDING_T** type defines an association of a binding with a server entry.

```
typedef struct _NSI_BINDING_T {  
    NSI_STRING_BINDING_T string;  
    unsigned long entry_name_syntax;  
    STRING_T entry_name;  
} NSI_BINDING_T;
```

string: A Unicode [\[UNICODE\]](#) string that contains a string binding. For more information, see section "String Bindings" in [\[C706\]](#) Part 2.

entry_name_syntax: An unsigned 32-bit integer specifying the syntax of the entry_name field. This value MUST be RPC_C_NS_SYNTAX_DCE.

entry_name: A Unicode [\[UNICODE\]](#) string specifying the entry name of the name service entry, using the syntax identified by the entry_name_syntax parameter as specified in section [2.2.2](#).

2.2.3.8 NSI_BINDING_VECTOR_T

The **NSI_BINDING_VECTOR_T** type is defined to hold an array of binding information entries.

```
typedef struct _NSI_BINDING_VECTOR_T {  
    unsigned long count;  
    [size_is(count)] NSI_BINDING_T binding[*];  
} NSI_BINDING_VECTOR_T;
```

count: MUST specify the number of [NSI_BINDING_T](#) elements in the binding array.

binding: An array of binding information entries.

2.2.3.9 NSI_BINDING_VECTOR_P_T

The **NSI_BINDING_VECTOR_P_T** type defines a pointer to an [NSI_BINDING_VECTOR_T](#) structure.

This type is declared as follows:

```
typedef [unique] NSI_BINDING_VECTOR_T* NSI_BINDING_VECTOR_P_T;
```

2.2.4 Mailslot Structures

This section specifies structures sent and received by using the [Remote Mailslot Protocol](#) for the following operations:

- [Broadcast Lookup \(section 2.2.4.2\)](#)
- [Master Locator Discovery \(section 2.2.4.3\)](#)

2.2.4.1 Common Details

This section specifies the syntax for attributes common to the definitions of several objects in this protocol.

2.2.4.1.1 Mailslot Sender

Mailslot requests and responses, as specified in sections [2.2.4.2.1](#), [2.2.4.3.1](#), and [2.2.4.3.2](#), include information about the sender.

The sender information MUST be a null-terminated string of the following form.

```
SenderName = \\ComputerName
```

ComputerName MUST be the NetBIOS name of the computer where the mailslot originated. For more information on NetBIOS, see [\[NETBEUI\]](#), [\[RFC1001\]](#), and [\[RFC1002\]](#).

2.2.4.1.2 RPC_SYNTAX_IDENTIFIER

This structure MUST contain a GUID and version information ([\[MS-RPCE\]](#) section 2.2.2.7). It is identical to the RPC_SYNTAX_IDENTIFIER structure used in the LocToLoc interface in section [3.1.4](#). This structure is used to represent the following:

- Identifier and version of an interface.
- Identifier and version of **transfer syntax** for an interface.

0	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
SyntaxGUID																															

...	
...	
...	
SyntaxVersion.MajorVersion	SyntaxVersion.MinorVersion

SyntaxGUID (16 bytes): As specified in [\[MS-RPCE\]](#) section 2.2.2.7.

SyntaxVersion.MajorVersion (2 bytes): As specified in [\[MS-RPCE\]](#) section 2.2.2.7.

SyntaxVersion.MinorVersion (2 bytes): As specified in [\[MS-RPCE\]](#) section 2.2.2.7.

2.2.4.2 Broadcast Lookup

A master locator broadcasts a request for information by using the [Remote Mailslot Protocol](#) when it receives a query as specified in section [3.4.1.5.1](#):

- This request MUST be sent over the mailslot by using the [QueryPacket](#) structure specified in section [2.2.4.2.1](#).
- Server locators MUST respond to the request with the [QueryReply](#) structure specified in section [2.2.4.2.2](#).

2.2.4.2.1 QueryPacket

The **QueryPacket** structure defines the format of the messages sent by the master locator.

```
typedef struct {
    RPC_SYNTAX_IDENTIFIER Interface;
    GUID Object;
    WCHAR WkstaName[20];
    WCHAR EntryName[100];
} QueryPacket;
```

Interface: Optionally MUST specify the identifier and version for the interface being queried. MUST be filled with zeros to indicate that no interface identifier is specified. The type of the structure is specified in section [2.2.4.1.2](#).

Object: Optionally MUST specify the UUID for the object being queried. MUST be filled with zeros to indicate that no object UUID is specified.

WkstaName: MUST be a Mailslot sender as specified in section [2.2.4.1.1](#). This parameter is limited to 20 characters including the null terminator.

EntryName: MUST specify the name service entry being looked for. This parameter MUST conform to the RPC_C_NS_SYNTAX_DCE syntax as specified in section [2.2.2](#). MUST be filled with all zeros to indicate that no name service entry is specified.

2.2.4.2.2 QueryReply

The **QueryReply** structure defines the response of a server locator to a master locator [Broadcast Lookup](#) query

```
typedef struct {
    WCHAR Domain[20];
    ReplyBuffer Buffer[];
} QueryReply;
```

Domain: MUST be a null-terminated, fixed-length buffer that MUST contain the NetBIOS domain name of the computer on which the server locator is running. Information on NetBIOS is specified in [\[NETBEUI\]](#), [\[RFC1001\]](#), and [\[RFC1002\]](#). The NULL termination character is included in the fixed-length size of 20 [WCHAR](#).

Buffer: MUST contain the response from the server locator. The buffer MUST be an array of [ReplyBuffer](#) structures terminated by 4 zero-initialized bytes. The size of the buffer MUST NOT exceed 1000 bytes, including any zero-initialized bytes for termination between ReplyBuffer structures. Reply buffers are specified in section [2.2.4.2.2.2](#).

2.2.4.2.2.1 MAILSLLOT_ENTRY_TYPE

The **MAILSLLOT_ENTRY_TYPE** enumeration defines the type of response being sent as a response to the master locator request.

```
typedef enum
{
    MailslotServerEntryType = 1
} MAILSLLOT_ENTRY_TYPE;
```

MailslotServerEntryType: Server entry is contained in this response.

2.2.4.2.2.2 ReplyBuffer

The **ReplyBuffer** structure specifies the layout of the response in the [QueryReply](#) structure.

```
typedef struct {
    fixed_part_of_reply fpr;
    wchar_t entryName[fpr.EntryNameLength];
    long objListSize;
    DWORD unused;
    GUID objUUID[objListSize];
    wchar_t binding[fpr.BindingLength];
} ReplyBuffer;
```

fpr: MUST contain the fixed part of the reply. For more information, see section [2.2.4.2.2.3](#).

entryName: A null-terminated **Unicode** buffer that MUST contain the name of the name service entry as specified by the RPC_C_NS_SYNTAX_DCE syntax. The size (in characters) of this buffer, including the terminating null character, MUST be fpr.EntryNameLength.

objListSize: MUST contain the number of object UUIDs present in the objUUID array.

unused: Senders MUST set this to zero, and receivers MUST ignore it.

objUUID: An array of object UUIDs exported on the name service entry. The number of object UUIDs in this buffer MUST be equal to the objListSize. The size of this buffer MUST be the number of object UUIDs in this buffer.

binding: A null-terminated Unicode buffer that MUST contain a string binding exported to the name service entry. The size (in characters) of this buffer, including the terminating null character, MUST be fpr.BindingLength.

2.2.4.2.2.3 fixed_part_of_reply

The **fixed_part_of_reply** structure defines the layout of the **Buffer** field in the [QueryReply](#) structure that forms the server locator's response to the master locator's query.

```
typedef struct fixed_part_of_reply {
    MAIL_SLOT_ENTRY_TYPE type;
    DWORD unused1[5];
    unsigned long unused2;
    unsigned long unused3;
    RPC_SYNTAX_IDENTIFIER Interface;
    RPC_SYNTAX_IDENTIFIER XferSyntax;
    unsigned long BindingLength;
    DWORD unused4;
    unsigned long EntryNameLength;
    DWORD unused5;
} fixed_part_of_reply;
```

type: MUST specify the type of response. This MUST contain MailslotServerEntryType as specified in section [2.2.4.2.2.1](#).

unused1: Can be set to any arbitrary value when set and MUST be ignored on receipt.

unused2: Can be set to any arbitrary value when set and MUST be ignored on receipt.

unused3: Can be set to any arbitrary value when set and MUST be ignored on receipt.

Interface: Specifies the interface being returned. The structure MUST be as specified in section [2.2.4.1.2](#).

XferSyntax: Specifies the transfer syntax for the interface being returned. The structure is specified in section [2.2.4.1.2](#).

BindingLength: Specifies the number of characters (including the terminating null) in the string binding that appears in the binding field of the [ReplyBuffer](#) structure that contains this fixed_part_of_reply structure.

unused4: Can be set to any arbitrary value when set and MUST be ignored on receipt.

EntryNameLength: MUST specify the number of characters (including the terminating null) in the entry name that appears in the **entryName** field of the ReplyBuffer structure that contains this fixed_part_of_reply structure.

unused5: Can be set to any arbitrary value when set and MUST be ignored on receipt.

2.2.4.3 Master Locator Discovery

Client locators broadcast requests to find master locators, as specified in section [3.3.1.4.3](#):

- These requests are sent over mailslot by using the [QUERYLOCATOR](#) structure.
- Master locators respond to the request over mailslot by using the [QUERYLOCATORREPLY](#) structure.

2.2.4.3.1 QUERYLOCATOR

The **QUERYLOCATOR** structure defines the structure that is sent by using the [Remote Mailslot Protocol](#) when the client locator is looking for a master locator.

```
typedef struct {
    unsigned long MessageType;
    unsigned long SenderOsType;
    wchar_t RequesterName[18];
} QUERYLOCATOR;
```

MessageType: This defines the type of the message being sent. It MUST be the following value.

Value	Meaning
QUERY_MASTER_LOCATOR 0x01	Query for an existing master locator.

SenderOsType: An identifier indicating the type of operating system running on the computer of the sender locator. This MUST be the following value.

Value	Meaning
OS_NTWKGRP 0x04	The operating system is Windows NT 4.0 or later.

RequesterName: The mailslot sender as specified in section [2.2.4.1.1](#). This parameter is limited to 18 characters including the terminating null character.

2.2.4.3.2 QUERYLOCATORREPLY

The **QUERYLOCATORREPLY** structure represents the data that is sent back by a master locator in response to a master locator discovery request.

```
typedef struct {
    unsigned long unused;
    unsigned long Hint;
    unsigned long Uptime;
    unsigned short SenderName[18];
} QUERYLOCATORREPLY;
```

unused: MUST be ignored by both client and server.

Hint: A hint representing the type of responding locator. It MUST be the following value.

Value	Meaning
REPLY_MASTER_LOCATOR 0x01	This locator is a master locator.

Uptime: SHOULD contain the number of elapsed seconds since the sending computer started up. [<3>](#)

SenderName: MUST contain the mailslot sender as specified in section [2.2.4.1.1](#). This parameter is limited to 18 characters including the terminating null character.

2.2.5 Active Directory Schema Specifications

The following sections specify the schemas of objects relevant to this protocol. For more details, see [\[MS-ADSC\]](#), [\[MS-ADA1\]](#), and [\[MS-ADA3\]](#).

2.2.5.1 Common Details

This section specifies the syntax for attributes common to the definitions of several objects.

2.2.5.1.1 Name Service Entry RDN

The RDN attribute of an object specifies the identifier for the object relative to its Active Directory path. For a name service entry, this attribute MUST be identical to the name component of the object's corresponding name service entry name as specified by using RPC_C_NS_SYNTAX_DCE (section [2.2.2](#)). [<4>](#)

2.2.5.1.2 Reference Attributes

The Reference Attribute specifies a reference to a name service entry in Active Directory. The value of the attribute MUST be a modified LDAP URL for an object in Active Directory that represents the referenced name service entry. This attribute MUST be identical to the object's LDAP URL without the URL scheme ("ldap:"). For more information on LDAP URLs, see [\[MS-ADTS\]](#).

In addition to being a valid LDAP URL, the Reference Attribute MUST adhere to the following format. This format is defined by using the extended Backus-Naur Form (BNF) specified in [\[C706\]](#).

Reference Attribute Value = "/" Domain "/cn=" Entry ", " RestOfLDAPURL

Domain: MUST be a valid **fully qualified domain name (FQDN)** of the domain.

Entry: MUST be identical to the name component of the object's name service entry name specified by using the syntax described in section [2.2.2](#).

RestOfLDAPURL: MUST be the rest of the LDAP URL and MUST conform to the LDAP URL syntax specified in [MS-ADTS], without the domain and URL scheme ("ldap:").

2.2.5.1.3 RPC Syntax Identifier Attribute

An RPC Syntax Identifier attribute represents an [RPC_SYNTAX_IDENTIFIER](#) structure. This attribute specifies either of the following properties:

- Identifier and version of an interface.

- Identifier and version of transfer syntax for an interface.

This structure MUST be specified as a string in the following format. The syntax of the format is according to extended BNF as specified in [\[C706\]](#).

```

UUIDAndVersion    =UUID "." Version
Version           =<Digit><Digit><Digit><Digit><Digit> "." <Digit>
                  <Digit><Digit><Digit><Digit>
Digit             = "0" | "1" | "2" | "3" | "4" | "5" | "6" | "7" | "8" | "9"

```

The UUID MUST be encoded as the string representation of the interface UUID, as specified in the "Universal Unique Identifier" section in Appendix A of [\[C706\]](#). The numeric value of each of the Digit productions MUST be less than or equal to $2^{16}-1$.

2.2.5.2 rpcContainer Class

The rpcContainer class MUST represent the container in which all the RPC name service entries are created in Active Directory. More information on the "Class rpcContainer" can be found in [\[MS-ADSC\]](#) section 2.199. The following attributes on the rpcContainer class are accessed by this protocol.

```

unsigned long      nameServiceFlags
                  Optional

```

nameServiceFlags: If the value is nonzero, then all locators in the domain MUST run in directory mode. If the value is 0, then all locators in the domain MUST run in directory-only mode. The value is treated as a nonzero value when not set. For more information on the Attribute nameServiceFlags, see [\[MS-ADA3\]](#).

2.2.5.3 rpcServer Class

The rpcServer class MUST represent an RPC name service server entry in Active Directory. See also "Class rpcServer" in [\[MS-ADSC\]](#). The rpcServer class contains child elements of class [rpcServerElement](#) (section [2.2.5.6](#)) that represent individual RPC interfaces exported to the corresponding server entry. The following attributes on the rpcServer class are accessed by the Remote Procedure Call Location Services Protocol.

```

string            rpcNsObjectID
                  Optional, MultiValued
string            description
                  Optional
string            RDN
                  Mandatory

```

rpcNsObjectID: MUST be the list of object UUIDs exported to the corresponding Server Entry. Each object UUID MUST be stored in a string form encoded as defined in the "Universal Unique Identifier" section in Appendix A of [\[C706\]](#). See also "Attribute rpcNsObjectID" in [\[MS-ADA3\]](#).

description: An implementation-specific informative text string for the name service entry. See also "Attribute description" in [\[MS-ADA1\]](#).

RDN: The RDN of the entry as specified in [Name Service Entry RDN \(section 2.2.5.1.1\)](#).

2.2.5.4 rpcProfile Class

The rpcProfile class MUST represent an RPC name service profile entry in Active Directory. See also "Class rpcProfile" in [\[MS-ADSC\]](#) section 2.202. The class contains child elements of class [rpcProfileElement](#) (section 2.2.5.7). The following attributes on this class are accessed by the RPC Location Services Extensions Protocol.

```
string    RDN
          Mandatory
```

RDN: The RDN of the entry as specified in [Name Service Entry RDN \(section 2.2.5.1.1\)](#).

2.2.5.5 rpcGroup Class

The rpcGroup class MUST represent an RPC name service group entry in Active Directory. See also "Class rpcGroup" in [\[MS-ADSC\]](#) section 2.201. The following attributes on this class are accessed by the RPC Location Services Extensions Protocol.

```
string    rpcNsGroup
          Optional, Multivalued
string    RDN
          Mandatory
```

rpcNsGroup: MUST be a set of references for the entries that are members of this group. Each reference is specified in [Reference Attributes \(section 2.2.5.1.2\)](#). For more information, see "Attribute rpcNsGroup" in [\[MS-ADA3\]](#). The values in this attribute are unordered.

RDN: The RDN of the entry MUST be as specified in [Name Service Entry RDN \(section 2.2.5.1.1\)](#).

2.2.5.6 rpcServerElement Class

The rpcServerElement class MUST represent a single RPC interface in a given RPC server entry in Active Directory. See also "Class rpcServerElement" in [\[MS-ADSC\]](#) section 2.205. Every instance of this class MUST be the child of an instance of class [rpcServer](#). The following attributes on this class are accessed by the Remote Procedure Call Location Services Extensions Protocol.

```
string    rpcNsBindings
          Mandatory, Multivalued
string    rpcNsInterfaceID
          Mandatory
string    rpcNsTransferSyntax
          Mandatory
string    RDN
          Mandatory
```

rpcNsBindings: An array of one or more string bindings for this RPC interface. See also "Attribute rpcNsBindings" in [\[MS-ADA3\]](#). The string bindings may optionally contain endpoint information. The format is described in "String Bindings" in Part 2 of [\[C706\]](#).

rpcNsInterfaceID: A string that encodes the interface identifier and version of this RPC interface; MUST be as specified in [RPC Syntax Identifier Attribute \(section 2.2.5.1.3\)](#). See also "Attribute rpcNsInterfaceID" in [\[MS-ADA3\]](#).

rpcNsTransferSyntax: A string that encodes the transfer syntax for this RPC interface; MUST be as specified in [RPC Syntax Identifier Attribute \(section 2.2.5.1.3\)](#). See also "Attribute rpcNsTransferSyntax" in [MS-ADA3].

RDN: Name of the entry. RDN MUST be the same as the rpcNsInterfaceID.

2.2.5.7 rpcProfileElement Class

The rpcProfileElement class represents a single entry in a given RPC profile in Active Directory. See also "Class rpcProfileElement" in [\[MS-ADSC\]](#) section 2.203. Every instance of this class must be the child of an instance of class [rpcProfile](#). The following attributes on this class are accessed by the RPC Location Services Extensions Protocol.

string	rpcNsInterfaceID	Mandatory
unsigned long	rpcNsPriority	Mandatory
string	rpcNsAnnotation	Optional
string	rpcNsProfileEntry	Optional
string	RDN	Mandatory

rpcNsInterfaceID: A string that encodes the interface identifier and version of this RPC interface, as specified in [RPC Syntax Identifier Attribute \(section 2.2.5.1.3\)](#). See also "Attribute rpcNsInterfaceID" in [\[MS-ADA3\]](#).

rpcNsPriority: An integer that MUST represent the priority of the profile element as specified in "rpc_ns_profile_elt_add" in [\[C706\]](#) Part 2. See also "Attribute rpcNsPriority" in [\[MS-ADA3\]](#).

rpcNsAnnotation: An optional informative text string for the entry. See also "Attribute rpcNsAnnotation" in [\[MS-ADA3\]](#). This attribute MUST be ignored if set to an empty string.

rpcNsProfileEntry: MUST be a reference to the entry corresponding to this profile element. This attribute is specified in [Reference Attributes \(section 2.2.5.1.2\)](#). See also "Attribute rpcNsProfileEntry" in [\[MS-ADA3\]](#).

RDN: The RDN of the entry that MUST be the same as the RDN of the referred entry. The RDN of the entry MUST be as specified in [Name Service Entry RDN \(section 2.2.5.1.1\)](#).

2.3 Directory Service Schema Elements

This protocol accesses the following Directory Service schema classes and attributes listed in the following table.

For the syntactic specifications of the following **<Class>** or **<Class><Attribute>** pairs, refer [\[MS-ADSC\]](#), [\[MS-ADA1\]](#), [\[MS-ADA3\]](#).

Class	Attribute
rpcContainer	nameServiceFlags
rpcServer	rpcNsObjectID description

Class	Attribute
	RDN
rpcProfile	RDN
rpcGroup	rpcNsGroup RDN
rpcServerElement	rpcNsBindings rpcNsInterfaceID rpcNsTransferSyntax RDN
rpcProfileElement	rpcNsInterfaceID rpcNsPriority rpcNsAnnotation rpcNsProfileEntry RDN

3 Protocol Details

The relationship between various server, group, and profile entries is specified in "Name Service Attributes", [\[C706\]](#) section 2. This specification preserves those relationships in all respects except where explicitly stated otherwise.

The search algorithm used for lookup of bindings is defined in "Search Algorithm", [\[C706\]](#) section 2. This specification maintains that algorithm as specified in all respects.

3.1 LocToLoc Common Details

This section specifies the details that are common to different locator roles.

3.1.1 Abstract Data Model

This section specifies a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The organization helps explain how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with the behavior described in this document.

3.1.1.1 RPC Services Container

In Directory and Directory-only modes, locators rely on an Active Directory store (Active Directory Entry Cache, see section [3.2.2.1](#)) in the domain of the computer for persistently storing and looking up name service entries represented as Active Directory objects. These Active Directory objects MUST reside under the CN=RpcServices, CN=System location under the Domain Naming Context of the computer domain. This container MUST be of class [rpcContainer](#) and may have direct child objects as instances of classes [rpcServer](#), [rpcGroup](#), and [rpcProfile](#). Correspondence between name service entries and Active Directory schema classes is specified in [Name Service Entries in Active Directory \(section 1.3.3\)](#).

Entry FQDN: The fully qualified domain name (FQDN) of a given Active Directory entry representation MUST be defined by concatenating the RDN of the entry ([Name Service Entry RDN \(section 2.2.5.1.1\)](#)) with the FQDN of the RPC services container.

Domain name: The name of the domain the computer is a member of, or empty if the computer is not joined to a domain. It is assumed that this is known prior to initialization of these extensions.

Domain Controller flag: A flag, if set indicates that the computer is acting as a domain controller for the domain. It is assumed that this is known prior to initialization of these extensions.

3.1.2 Timers

No common timers are required across all locator roles.

3.1.3 Initialization

3.1.3.1 Mode Initialization

Any implementation of the RPC Location Services Protocol Extensions MUST determine its mode using the following algorithm:

1. The locator determines whether the computer is joined to a domain. If the computer is not joined to a domain, the locator MUST set its mode to nondirectory mode. If the computer is joined to a

domain, the locator issues an LDAP query to retrieve the **nameServiceFlags** attribute of the Active Directory RPC services container. Information from Active Directory MUST be queried in the context of the security principal of the computer.

2. If the attribute is not retrieved successfully, the locator MUST set its mode to nondirectory mode.[<5>](#)
3. If the attribute is retrieved successfully and is unspecified or specifies a nonzero value, the locator MUST set its mode to directory mode.[<6>](#)
4. Otherwise, if the attribute is retrieved successfully and is specified as zero, the locator MUST set its mode to Directory-only.

3.1.3.2 Master and Nonmaster Locator Initialization

Any implementation of the Remote Procedure Call Location Services Extensions MUST determine its role using the following algorithm:

1. The locator determines whether the computer on which it is running, is joined to the domain and whether it is acting as a domain controller of the domain.
2. Locators running on a domain controller MUST initialize as a master locator.
3. Locators running on a domain-joined computer, but not running as a domain controller, MUST initialize as a nonmaster locator. A nonmaster locator MUST initialize the list of potential master locators by enumerating the computers running as domain controllers in the domain.
4. Locators running on a non-domain-joined computer MUST initialize as a nonmaster locator. An implementation SHOULD change its role from nonmaster locator to master locator if no master locator is discovered by the master locator discovery process or if the master locators discovered are not reachable. As part of this process, the locator MUST perform master locator-specific initialization, as specified in section [3.4.1.3](#).
5. Any locator that becomes a master locator and responds to a master locator discovery query or sends a broadcast lookup request as specified in section [3.4](#) SHOULD continue to remain as a master locator.
6. Master locator reachability MAY be determined by making a call on the [I_nsi_ping_locator](#) method on the LocToLoc interface.[<7>](#)

3.1.4 Message Processing Events and Sequencing Rules

The ILocToLoc interface is used by client locators to forward lookup requests to master locators.

Methods in RPC Opnum Order

Method	Description
I_nsi_lookup_begin	Invoked by a client locator to enumerate the binding information for a set of RPC servers that satisfy a given set of criteria. Opnum: 0
I_nsi_lookup_done	Invoked to free any resources associated with the context handle returned by a preceding call to the I_nsi_lookup_begin method. Opnum: 1

Method	Description
I_nsi_lookup_next	Invoked to continue an enumeration of binding vectors that satisfy the criteria specified in a call to the I_nsi_lookup_begin method. The number of bindings in the binding_vector is limited by the parameter binding_max_count specified in the call to the I_nsi_lookup_begin method. Opnum: 2
I_nsi_entry_object_inq_next	Invoked to continue an enumeration initiated by a previous call to the I_nsi_entry_object_inq_next method. Opnum: 3
I_nsi_ping_locator	Invoked by the client to determine whether the target computer is available as a master locator. Opnum: 4
I_nsi_entry_object_inq_done	Invoked to free any resources associated with the context handle returned by a preceding call to the I_nsi_entry_object_inq_begin method. Opnum: 5
I_nsi_entry_object_inq_begin	Invoked to enumerate the object UUIDs on a name service entry. Opnum: 6

3.1.4.1 I_nsi_lookup_begin (Opnum 0)

The **I_nsi_lookup_begin** method is invoked by a client locator to enumerate the binding information for a set of RPC servers that satisfy a given set of criteria. The **Microsoft Interface Definition Language (MIDL)** syntax of the method is specified as follows.

```
void I_nsi_lookup_begin(
    [in] handle_t hrpcPrimaryLocatorHndl,
    [in] unsigned long entry_name_syntax,
    [in] STRING_T entry_name,
    [in, unique] RPC_SYNTAX_IDENTIFIER* interfaceid,
    [in, unique] RPC_SYNTAX_IDENTIFIER* xfersyntax,
    [in] NSI_UUID_P_T obj_uuid,
    [in] unsigned long binding_max_count,
    [in] unsigned long MaxCacheAge,
    [out] NSI_NS_HANDLE_T* import_context,
    [out] unsigned short* status
);
```

hrpcPrimaryLocatorHndl: An RPC server binding handle, as specified in [\[C706\]](#) Part 2, "Binding Handle". A client creates this handle by binding to the locator server using the UUID specified in section [1.9](#) and endpoint specified in section [2.1](#). A client can create the binding handle using the rpc_string_binding_compose and rpc_binding_from_string_binding APIs (as specified in [\[C706\]](#) the "rpc_string_binding_compose" section) or equivalent on the client systems' implementation of RPC. When all operations using this handle are completed, use the equivalent of rpc_binding_free to free the handle resources.

entry_name_syntax: An identifier that represents the syntax used for entry_name. The value MUST be RPC_C_NS_SYNTAX_DCE. [<8>](#)

entry_name: A Unicode [\[UNICODE\]](#) string optionally specifying the entry name of the name service entry, using the syntax identified by the entry_name_syntax parameter, as specified in section [2.2.2](#). This parameter can optionally be null or an empty string.

interfaceid: An optional interface specification. Specified to request only bindings for server entries that have advertised interfaces compatible with this parameter. The client sets interfaceid to NULL to indicate that this parameter is not specified. Interface compatibility is specified in section [3.4.1.5.1](#).

xfersyntax: An optional transfer syntax specification. Specified to request only bindings for server entries that have advertised interfaces compatible with this parameter. The client sets xfersyntax to NULL to indicate that this parameter is not specified. Interface compatibility is specified in section [3.4.1.5.1](#).

obj_uuid: An optional pointer to an object UUID specification. Specified to request only bindings for the server entries that export this object UUID. If the parameter is NULL or if it contains a null GUID, the parameter is ignored.

binding_max_count: The maximum number of elements allowed in the binding vector returned from the [I_nsi_lookup_next](#) method. If 0 is specified, an appropriate implementation-specific default maximum MUST be used. [<9>](#)

MaxCacheAge: Specifies the maximum number of seconds that any results returned from a cache may have been present in the cache without being refreshed. This information is as specified in [\[C706\]](#) Part 2, Name Service Caching.

import_context: On successful completion of this method, returns a context handle for enumerating binding vectors by using the [I_nsi_lookup_next](#) method. This context handle MUST be closed by using the [I_nsi_lookup_done](#) method.

status: A 16-bit value that indicates the results of the method call. In case of success, the value MUST be NSI_S_OK. The value MUST be a nonzero value on failure. All failures MUST be treated identically as failure of the whole enumeration process.

Return Values: This method does not return any values. RPC exceptions might be thrown from this method.

Exceptions Thrown

No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [\[MS-RPCE\]](#).

3.1.4.2 I_nsi_lookup_next (Opnum 2)

The [I_nsi_lookup_next](#) method is invoked to continue an enumeration of binding vectors that satisfy the criteria specified in a call to the [I_nsi_lookup_begin](#) method. The number of bindings in the binding_vector is limited by the parameter binding_max_count specified in the call to the [I_nsi_lookup_begin](#) method. The MIDL syntax of this method is specified as follows.

```
void I_nsi_lookup_next(  
    [in] handle_t hrpcPrimaryLocatorHndl,  
    [in] NSI_NS_HANDLE_T import_context,  
    [out] NSI_BINDING_VECTOR_P_T* binding_vector,  
    [out] unsigned short* status  
);
```

hrpcPrimaryLocatorHndl: An RPC server binding handle, as specified in [\[C706\]](#) Part 2, "Binding Handle". A client creates this handle by binding to the locator server using the UUID specified in section [1.9](#) and endpoint specified in section [2.1](#). A client can create the binding handle using the `rpc_string_binding_compose` and `rpc_binding_from_string_binding` APIs (as specified in [\[C706\]](#) the "rpc_string_binding_compose" section) or equivalent on the client systems' implementation of RPC. When all operations using this handle are completed, use the equivalent of `rpc_binding_free` to free the handle resources.

import_context: A context handle returned by a preceding call to the `I_nsi_lookup_begin` method.

binding_vector: On successful completion, returns a vector containing bindings that satisfy the criteria defined in the preceding call to the `I_nsi_lookup_begin` method. The caller MUST not assume that the bindings are ordered. The client is responsible for freeing the memory allocated for the **binding_vector**. The memory allocated for the **binding_vector** does not need to be freed before subsequent calls to **I_nsi_lookup_next**.

status: A 16-bit value that indicates the result of the method call. Any other values, except those listed as follows, MUST be treated as failures and MUST be treated identically. Failure is typically a serious condition (e.g., host out of memory) and SHOULD abort the current operation and then propagated to the higher-layer caller. In the event of failure, the caller SHOULD invoke [I_nsi_lookup_done](#) immediately, although it may fail as well.

Value	Meaning
NSI_S_OK 0x00000000	The call returned successfully and binding vector contains at least one binding. There may be additional bindings that satisfy the criteria.
NSI_S_NO_MORE_BINDINGS 0x00000001	There are no more bindings that satisfy the criteria and binding vector contains no bindings.

Return Values: This method does not return any values.

Exceptions Thrown

No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [\[MS-RPCE\]](#).

3.1.4.3 I_nsi_lookup_done (Opnum 1)

The **I_nsi_lookup_done** method is invoked to free any resources associated with the context handle returned by a preceding call to the [I_nsi_lookup_begin](#) method. The MIDL syntax of this method is specified as follows.

```
void I_nsi_lookup_done(  
    [in] handle_t hrpcPrimaryLocatorHndl,  
    [in, out] NSI_NS_HANDLE_T* import_context,  
    [out] unsigned short* status  
);
```

hrpcPrimaryLocatorHndl: An RPC server binding handle, as specified in [\[C706\]](#) Part 2, "Binding Handle". A client creates this handle by binding to the locator server using the UUID specified in section [1.9](#) and endpoint specified in section [2.1](#). A client can create the binding handle using the `rpc_string_binding_compose` and `rpc_binding_from_string_binding` APIs (as

specified in [\[C706\]](#) the "rpc_string_binding_compose" section) or equivalent on the client system's implementation of RPC. When all operations using this handle are completed, use the equivalent of rpc_binding_free to free the handle resources.

import_context: A context handle returned by the server from a preceding call to the **I_nsi_lookup_begin** method. On successful completion, this parameter MUST be set to NULL by the server and MUST NOT be modified on failure.

status: A 16-bit value that indicates the results of the method call. In case of success, the value will contain NSI_S_OK, or a nonzero value on failure. All failures MUST be treated identically as a failure of the freeing process initiated by this method, but no further action is required by the caller.

Return Values: This method does not return any values.

Exceptions Thrown

No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [\[MS-RPCE\]](#).

3.1.4.4 I_nsi_ping_locator (Opnum 4)

The **I_nsi_ping_locator** method is invoked by the client to determine if the target computer is available as a master locator. The MIDL syntax of the method is specified as follows.

```
void I_nsi_ping_locator(  
    [in] handle_t hLocatorToPing,  
    [out] error_status_t* status  
);
```

hLocatorToPing: An RPC primitive binding handle, as specified in [\[C706\]](#) Part 2, "Binding Handle". A client creates this handle by binding to the locator server using a UUID specified in section [1.9](#) and an endpoint specified in section [2.1](#) using the **rpc_string_binding_compose** and **rpc_binding_from_string_binding** APIs [\[C706\]](#) or equivalent on the client systems implementation of RPC.

status: A 32-bit value that indicates the results of the method call. In case of success, the value will contain NSI_S_OK, or a nonzero value on failure. All failures MUST be treated identically as a failure of the pinging process initiated by this method, and the target computer SHOULD be treated as unavailable as a master locator. [<10>](#)

Return Values: This method does not return any values.

Exceptions Thrown

No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [\[MS-RPCE\]](#).

3.1.4.5 I_nsi_entry_object_inq_begin (Opnum 6)

The **I_nsi_entry_object_inq_begin** method is invoked to enumerate the object UUIDs on a name service entry. The MIDL syntax of the method is specified as follows.

```
void I_nsi_entry_object_inq_begin(  
    [in] handle_t hrpcPrimaryLocatorHndl,
```

```

[in] unsigned long EntryNameSyntax,
[in] STRING_T EntryName,
[out] NSI_NS_HANDLE_T* InqContext,
[out] unsigned short* status
);

```

hrpcPrimaryLocatorHndl: An RPC server binding handle, as specified in [\[C706\]](#) Part 2, "Binding Handle". A client creates this handle by binding to the locator server using the UUID specified in section [1.9](#) and endpoint specified in section [2.1](#). A client can create the binding handle using the `rpc_string_binding_compose` and `rpc_binding_from_string_binding` APIs (as specified in [\[C706\]](#) the "rpc_string_binding_compose" section) or equivalent on the client system's implementation of RPC. When all operations using this handle are completed, use the equivalent of `rpc_binding_free` to free the handle resources.

EntryNameSyntax: An identifier that represents the syntax used for the `entry_name` parameter. The value MUST be `RPC_C_NS_SYNTAX_DCE`.

EntryName: A Unicode [\[UNICODE\]](#) string specifying the entry name of the name service entry, using the syntax identified by the `entry_name_syntax` parameter, as specified in section [2.2.2](#).

InqContext: On successful completion, returns a context handle for enumerating object UUID vectors by using the [I_nsi_entry_object_inq_next](#) method. This context handle MUST be closed by using the [I_nsi_entry_object_inq_done](#) method.

status: A 16-bit value that indicates the results of the method call. In case of success, the value will contain `NSI_S_OK`, or a nonzero value on failure. All failures MUST be treated identically as a failure of the whole enumeration process.

Return Values: This method does not return any values. RPC exceptions can be thrown from this method.

Server Operations

The server MUST first validate that the Entry Cache contains a server entry with an entry name equal to the value of the `EntryName` parameter. If a server entry exists, the server MUST set the value of the `status` parameter to 0 and create a new `NSI_NS_HANDLE_T` (section [2.2.3.5](#)) and return it to the caller in the `InqContext` parameter.

If the Entry Cache does not contain a server entry with an entry name equal to the value of the `EntryName` parameter, the server MUST set the value of the `status` parameter to `NSI_S_NO_MORE_BINDINGS` (1).

Exceptions Thrown

No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [\[MS-RPCE\]](#).

3.1.4.6 I_nsi_entry_object_inq_next (Opnum 3)

The [I_nsi_entry_object_inq_next](#) method is invoked to continue an enumeration initiated by a previous call to the [I_nsi_entry_object_inq_begin](#) method. The MIDL syntax of the method is specified as follows.

```
void I_nsi_entry_object_inq_next(
```

```

[in] handle_t hrpcPrimaryLocatorHndl,
[in] NSI_NS_HANDLE_T InqContext,
[out] NSI_UUID_VECTOR_P_T* uuid_vec,
[out] unsigned short* status
);

```

hrpcPrimaryLocatorHndl: An RPC server binding handle, as specified in [\[C706\]](#) Part 2, "Binding Handle". A client creates this handle by binding to the locator server using the UUID specified in section [1.9](#) and endpoint specified in section [2.1](#). A client can create the binding handle using the `rpc_string_binding_compose` and `rpc_binding_from_string_binding` APIs (as specified in [\[C706\]](#) the "rpc_string_binding_compose" section) or equivalent on the client system's implementation of RPC. When all operations using this handle are completed, use the equivalent of `rpc_binding_free` to free the handle resources.

InqContext: A context handle returned by the server from a preceding call to the `I_nsi_entry_object_inq_begin` method.

uuid_vec: On successful completion, returns a vector of object UUIDs for the name service entry. The caller of this method is responsible for freeing any memory allocated for this parameter.

status: A 16-bit value that indicates the results of the method call. In case of success, the value will contain `NSI_S_OK`, or a nonzero value on failure. All failures MUST be treated identically as a failure of the continuation of the enumeration process.

Return Values: This method does not return any values. RPC exceptions can be thrown from this method.

Server Operations

The server MUST set the value of *status* to 0 (`NSI_S_OK`) and set the value of *uuid_vec* to a vector of object UUIDs for the name service entry specified by the *InqContext* parameter.

For a failed operation, if the name service entry specified by the *InqContext* parameter has no object UUIDs, the server MUST set the value of *status* to `NSI_S_OK` and set the value of *uuid_vec* to NULL.

Exceptions Thrown

No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [\[MS-RPCE\]](#).

3.1.4.7 I_nsi_entry_object_inq_done (Opnum 5)

The **I_nsi_entry_object_inq_done** method is invoked to free any resources associated with the context handle returned by a preceding call to the [I_nsi_entry_object_inq_begin](#) method. The MIDL syntax of the method is specified as follows.

```

void I_nsi_entry_object_inq_done(
    [in, out] NSI_NS_HANDLE_T* InqContext,
    [out] unsigned short* status
);

```

InqContext: A context handle returned by the server from a preceding **I_nsi_entry_object_inq_begin** call. On successful completion, this parameter **MUST** be set to NULL by the server and **MUST NOT** be modified on failure.

status: A 16-bit value that indicates the results of the method call. In case of success the value will contain NSI_S_OK, or a nonzero value on failure. All failures **MUST** be treated identically as a failure of the freeing of resources initiated by this method, but no further action is required by the caller.

Return Values: This method does not return any values. RPC exceptions can be thrown from this method.

Exceptions Thrown

No exceptions are thrown beyond those thrown by the underlying RPC protocol, as specified in [\[MS-RPCE\]](#).

3.1.5 Timer Events

No common timer events are applicable across all locator roles.

3.1.6 Other Local Events

No other local events are applicable across all locator roles.

3.2 LocToLoc Server Locator Details

A server locator receives calls to export entries and, based on its mode, optionally responds to broadcast lookup requests.

For more information on Microsoft Windows® APIs that implement RPC name service functionality, see section [6](#).

3.2.1 Nondirectory Mode

In this mode, a server locator stores server entry information in a local nonpersistent cache and uses the cached information to respond to broadcast lookup requests. Only server entries are supported in this mode; profile and group entries are not supported.

3.2.1.1 Abstract Data Model

Entry Cache: Each server locator **MUST** maintain a cache of its server entries and its associated object UUIDs, interface information, and entry creation time. This cache is used to respond to broadcast lookup requests. The server entries in the cache **MUST** be indexed as follows:

- By name of the server entry.
- By interface identifier of the interfaces exported in the server entry.

The size of the **Entry Cache** is bounded by host operating system memory constraints and the handlings of any failures that occur when that size is exceeded are explained in the context of each server method. (see section [3.2.1.4.1](#)).

Maximum Expiration Age: Each server locator **MUST** maintain a local, unsigned, 32-bit numerical value that indicates the maximum age of a server entry in seconds. **Maximum Expiration Age**

must be greater than 5 seconds, default to 300 seconds, and the upper bound is limited only by the size of the 32-bit numerical value.

3.2.1.2 Timers

Entry Cache Cleanup Timer: This timer expires every 5 minutes (300) seconds. The period of the **Entry Cache Cleanup Timer** is not configurable.

For each expiration of the timer, the server first determines the current time. The server **MUST** then examine all server entries in the **Entry Cache** and remove any server entries that were created **Maximum Expiration Age** seconds before the current time.

3.2.1.3 Initialization

The **Entry Cache** **MUST** be initialized to an empty list that contains no server entries.

Maximum Expiration Age must be initialized to 300 seconds.

The server locator initializes the mailslot used to receive broadcast lookups (section [2.1](#)) for name service entries and then starts listening on the mailslot for queries.

3.2.1.4 Higher-Layer Triggered Events

A higher-level protocol or application may invoke a higher-layer triggered event to modify server entries. Group and profile entries **MUST NOT** be supported in this mode.

When a server entry is modified by a higher-layer triggered event, the cached entry **MUST** be updated.

3.2.1.4.1 Updating a Server Entry

Parameters:

- **Bindings** [in]: An array of one or more string bindings for this RPC interface. The string bindings may optionally contain endpoint information. The format is described in "String Bindings" in Part 2 of [\[C706\]](#).
- **Interface Identifier** [in]: A string that encodes the interface identifier and version of this RPC interface. This string **MUST** be as specified in RPC Syntax Identifier Attribute (section [2.2.5.1.3](#)).
- **Transfer Syntax** [in]: A string that encodes the transfer syntax for this RPC interface; **MUST** be as specified in RPC Syntax Identifier Attribute (section [2.2.5.1.3](#)).
- **Action** [in]: Indicates if the **Server Entry** is to be modified or deleted.
- **Status** [out]: Indicates to the caller if the **Server Entry** update was successful or if it failed with a specific status code.

In non-directory mode, the server updates its local **Entry Cache** when requested by a higher-level protocol.

If **Action** is set to delete the server entry, the server removes all entries in the **Entry Cache** with any of the bindings specified in the *Bindings* parameter.

If **Action** is set to modified, for each binding in the *Bindings* parameter, find the matching server entry in the **Entry Cache**. If no matching server entry is found, create a new server entry. For each found or created server entry, update the interface identifier and/or Transfer Syntax.

The server can return the following status codes:

- **RPC_S_OK**: Indicates successful completion of modification or deletion of a **Server Entry**.
- **RPC_S_OUT_OF_MEMORY**: Indicates that the **Server Entry** could not be updated because of an out of memory condition.
- **RPC_S_ENTRY_NOT_FOUND**: Indicates that the **Server Entry** could not be located in the **Entry Cache**. This error is returned when **Action** is set to delete the server entry and the server entry cannot be found.

3.2.1.4.2 Setting the Maximum Expiration Age

Parameters:

- *Expiration Age* [in]: An unsigned 32-bit value indicating the maximum expiration age, in seconds, of all server entries in the Entry Cache.
- *Status* [out]: Indicates to the caller if setting the maximum expiration age was successful or if it failed with a specific status code.

If **Expiration Age** is equal to zero or less than 5 seconds, the server MUST set *Status* to a nonzero value.

If **Expiration Age** is nonzero and greater than 5 seconds, the server MUST set *Status* to 0 and set the **Maximum Expiration Age** ADM element to the value of **Expiration Age**.

3.2.1.5 Message Processing Events and Sequencing Rules

When a broadcast lookup request for server entries is received, the following actions MUST be taken:

1. The server locator MUST read the computer name of the requester from the **WkstaName** field in the [QueryPacket](#) structure.
2. The server locator MUST extract the objectUUID, interfaceid, and entry_name information from the corresponding fields specified in the QueryPacket.
3. The server locator MUST locate interfaces exported to the server entries in the entry cache that match the request, as specified in the following items:
 - Entry name criterion: An entry name criterion MUST be treated as unspecified if the entry_name field is filled with zero bytes.
 1. If the entry name is specified with a domain name part that does not match the NetBIOS domain name of the computer in a case-insensitive comparison, all cached entries MUST be treated as not matching the request's entry name criterion.
 2. If the entry name is specified with no domain name part, any cached entry whose name matches the specified entry name in a case-insensitive comparison MUST be treated as matching the request's entry name criterion. All the interfaces exported to this entry MUST be treated as matching the request's entry name criterion.
 3. If the entry name is unspecified, all cached entries MUST be treated as matching the request's entry name criterion. Any interface exported to any entry MUST be treated as matching the request's entry name criterion.

- Interface identifier criterion: An interface identifier criterion MUST be treated as unspecified if the interface field in the QueryPacket is filled with zero bytes.
 1. If the interface identifier is specified, any interfaces with a UUID that matches the QueryPacket interface identifier UUID MUST be treated as matching the request's interface identifier criterion. The interface version MUST be ignored for evaluating this criterion.
 2. If the interface identifier is unspecified, all cached entries MUST be treated as matching the request's interface identifier criterion. Any interface exported to any entry MUST be treated as matching the request's interface identifier criterion.
 - The object UUID value MUST be ignored.
4. Interfaces that match both the entry name criteria and the interface identifier criteria MUST be treated as matching the request. If both the entry name and the interface identifier are unspecified, both the entry name criteria and the interface identifier criteria MUST be treated as matching the request and all server entries MUST be used to form the [QueryReply](#) structure.
 5. Interfaces that do not match both the entry name criteria and the interface identifier criteria MUST be treated as matching no interfaces. Interfaces that meet only one of the criteria (for example, entry name is matched, but no interface identifier is specified) MUST be treated as matching no interfaces.
 6. Interfaces with matching server entries MUST be used to form a QueryReply structure. If no interfaces are matched, the server MUST respond with a QueryReply structure with an empty [ReplyBuffer](#).

3.2.1.5.1 Broadcast Lookup Response

The server locator MUST compose one or more [QueryReply](#) messages in response to the [QueryPacket](#) as follows:

1. Initialize the **Domain** field in the QueryReply structure with the NetBIOS domain name of the computer. If the computer is a non-domain-joined computer, the **Domain** field MUST be initialized to an empty string.
2. Form a sequence of [ReplyBuffers](#). For each binding in a matching interface, the server locator initializes a ReplyBuffer structure.
 1. The **fpr** field of the ReplyBuffer MUST be initialized as follows:
 - **fpr.type** MUST be set to **MailslotServiceEntryType**. For more information, see section [2.2.4.2.2.1](#).
 - **fpr.Interface** and **fpr.XferSyntx** MUST be initialized, respectively, by the interface identifier and transfer syntax of matching interface.
 - **fpr.BindingLength** MUST be set to the size (in characters, including the terminating null character) of the **fpr.binding** buffer.
 - **fpr.EntryNameLength** MUST be set to the size (in characters, including the terminating null character) of the **entryName** field of the ReplyBuffer structure that contains this **fixed_part_of_reply** structure.
 - The **fpr.unused**[1..5] fields MUST be initialized as noted in section [2.2.4.2.2.3](#).
 2. The **entryName** field of the ReplyBuffer is initialized with the name of the server entry.

3. The **objListSize** field MUST be equal to the number of object UUIDs present in the **objUUID** array.
4. The **objUUID** field MUST be initialized with the list of object UUIDs exported to the server entry.
5. The **binding** field MUST be initialized with a null-terminated UNICODE string binding exported to the name service entry. The size (in characters) of this buffer, including the terminating null character, MUST be **fpr.BindingLength**.
3. The **Buffer** field in QueryReply MUST be filled with the sequence of the ReplyBuffer structures and MUST be terminated as specified in section [2.2.4.2.2](#).
4. If the QueryReply structure reached its maximum length, the server locator MUST queue the QueryReply structure for transmission and then initialize an additional QueryReply to hold any remaining reply buffers.
5. All the QueryReply structures composed MUST be sent individually to the requester specified in the QueryPacket.WkstaName over [Remote Mailslot Protocol](#) to the address \Mailslot\RpcLoc_c.

3.2.1.6 Timer Events

No timer events are applicable in this mode.

3.2.1.7 Other Local Events

No other local events are applicable in this mode.

3.2.2 Directory-Only Mode

In this mode, when a name service entry is exported, a persistent entry is created in Active Directory. Server, profile, and group entries are supported and corresponding objects are created in Active Directory by using the schema classes specified in section [1.3.3](#). In this mode, the server locator does not maintain any local cache of server entries and does not listen or respond to broadcast lookup requests.

3.2.2.1 Abstract Data Model

Active Directory Entry Cache: Active Directory MUST be used as the store for a persistent representation of the name service entries, as specified in section [3.1.1.1](#).

3.2.2.2 Timers

No timers are required in this mode.

3.2.2.3 Initialization

An implementation SHOULD cache a connection to Active Directory for optimization. [<11>](#)

3.2.2.4 Higher-Layer Triggered Events

A higher-level protocol or application can make a call to modify server, group, or profile entries.

When a name service entry is modified, the server locator MUST update the Active Directory of the computer's domain with the modification by using LDAP, as specified in [\[MS-ADTS\]](#). This LDAP

request MUST be made in the context of the security principal that originated the call into the RPC name service. Active Directory schema classes are specified in section [2.2.5](#).

3.2.2.4.1 Updating a Server Entry

Parameters:

- **Server Entry** [in]: An `rpcServer` class in Active Directory to be modified or deleted.
- **Action** [in]: Indicates if the **Server Entry** is to be modified or deleted.
- **Status** [out]: Indicates to the caller if the **Server Entry** update was successful or if it failed with a specific status code.

Server entries MUST be represented by using the [rpcServer](#) class in Active Directory. If **Server Entry** is set to NULL or not provided, the server MUST fail the method and return **RPC_S_ENRTY_NOT_FOUND**. When a server entry is modified by adding or removing an interface, interface binding, or an object UUID, the following actions MUST be taken:

The server MUST locate a writable domain controller for the domain in which the RPC locator server is joined, by invoking the **DsrGetDcNameEx2** method on the local Netlogon server [\[MS-NRPC\]](#) and specifying the following parameters:

- ComputerName = NULL
- AccountName = NULL
- AllowableAccountControlBits = 0
- DomainName = NULL
- DomainGuid = NULL
- SiteName = NULL
- Flags = (DS_WRITABLE_FLAG | DS_DS_FLAG) ([\[MS-ADTS\]](#) (section [7.3.1.2](#))).

Upon success, the server uses the domain controller specified in the **DomainControllerName** field of the returned *DomainControllerInfo* parameter of the subsequent operations. If the **DsrGetDcNameEx2** method fails, the server MUST fail this method and return the status code to the caller.

The server uses a default timeout value for LDAP operations, and no retries are required.

1. The server locator MUST form the entry FQDN from the **Server Entry**, as specified in section [3.1.1.1](#), and issue an LDAP query to read the corresponding Active Directory object ([\[MS-ADTS\]](#) section 3.1.1.4).
2. The server locator MUST create or modify the Active Directory object as follows:
 1. If an Active Directory object exists with the entry FQDN, the server locator MUST verify that the object represents a server entry by verifying that the Active Directory object is of class `rpcServer`.
 2. If the Active Directory object represents a server entry, and if the description attribute on the Active Directory object is **Created Entry**, the Active Directory object MUST be treated as an empty name service entry, as specified in section [3.2.2.4.4](#). The server locator MUST modify the description to an implementation-specific value other than **Created Entry**.

3. If no Active Directory object exists for the entry FQDN, the server locator MUST create a new Active Directory object of class `rpcServer` to represent the server entry.

Any error codes returned from procedures used to interact with Active Directory are returned to the caller.

3. The server locator MUST compare information in this RPC name service modification with the data already in Active Directory. If there are any differences, the server locator MUST modify the new or preexisting server entry as follows:
 1. The server locator MUST update the object UUIDs of the server entry to match the data in the export.
 2. The server locator MUST create or modify the corresponding child Active Directory object of type `rpcServerElement` (section [2.2.5.6](#)) to update its interface information.
 1. The server locator MUST generate the RDN attribute of the `rpcServerElement` as specified in section [2.2.5.6](#).
 2. The server locator MUST modify the **`rpcNsBindings`** attribute with bindings in this export.
 3. The server locator MUST modify the **`rpcNsInterfaceID`** attribute with the interface identifier and version.
 4. The server locator MUST modify the **`rpcNsTransferSyntax`** attribute with the transfer syntax of the interface.
 4. If the Action parameter indicates that the server entry is to be deleted, the server locator MUST delete the Active Directory object retrieved in step 1 above ([\[MS-ADTS\]](#) section 3.1.1.5.5 "Delete Operation"). If the **Server Entry** is not found, the server MUST return error **`RPC_S_ENTRY_NOT_FOUND`**.

3.2.2.4.2 Updating a Group Entry

Parameters:

- **Group Entry** [in]: An `rpcGroup` class in Active Directory to be modified or deleted.
- **Status** [out]: Indicates to the caller if the server entry update was successful or if it failed with a specific status code.

Group entries MUST be represented by using the `rpcGroup` class in Active Directory. If Group Entry is set to NULL or not provided, the server MUST fail the method and return `RPC_S_ENTRY_NOT_FOUND`.

When a group entry is modified by adding or removing a member, the following actions MUST be taken.

The server MUST locate a writable domain controller for the domain in which the RPC locator server is joined by invoking the **`DsrGetDcNameEx2`** method on the local Netlogon server [\[MS-NRPC\]](#) and specifying the following parameters:

- `ComputerName` = NULL
- `AccountName` = NULL
- `AllowableAccountControlBits` = 0

- DomainName = NULL
- DomainGuid = NULL
- SiteName = NULL
- Flags = (DS_WRITABLE_FLAG | DS_DS_FLAG) ([MS-ADTS] (section 7.3.1.2)).

Upon success, the server uses the domain controller specified in the **DomainControllerName** field of the returned *DomainControllerInfo* parameter of the subsequent operations. If the **DsrGetDcNameEx2** method fails, the server MUST fail this method and return the status code to the caller.

The server uses a default timeout value for LDAP operations and no retries are required.

1. The server locator forms the entry FQDN, as specified in section 3.1.1.1, and issues an LDAP query to retrieve the corresponding Active Directory object ([MS-ADTS] section 3.1.1.4).
2. The server locator MUST create or modify the Active Directory object as follows:
 1. If an Active Directory object exists with the entry FQDN, the server locator MUST verify that the Active Directory object represents a group entry by verifying that the Active Directory object is of class [rpcGroup](#).
 2. If the Active Directory object is of class *rpcServer*, and if its description matches the string Created Entry, the Active Directory object MUST be treated as an empty name service entry, as specified in section 3.2.2.4.4. The server locator MUST delete the Active Directory object and re-create an Active Directory object of class *rpcGroup* in its place.
 3. If no Active Directory object exists for the entry FQDN, the server locator MUST create a new object of class *rpcGroup* to represent the group entry.
3. The server locator MUST compare information in this RPC name service modification with the data already in Active Directory. If there are any differences, the server locator MUST modify the new or preexisting group entry as follows:
 - The server locator MUST update group members represented in the **rpcNsGroup** attribute.

Any errors encountered from lower-level protocols (e.g., LDAP) are returned to the caller. If the operation is successful status code to the higher-layer protocol (RPC_S_OK).

3.2.2.4.3 Updating a Profile Entry

Parameters:

- **Profile Entry** [in]: An *rpcProfile* class in Active Directory to be modified or deleted.
- **Status** [out]: Indicates to the caller if the **Server Entry** update was successful or if it failed with a specific status code.

Profile entries MUST be represented by using the [rpcProfile](#) class in Active Directory. If profile entry is set to NULL or not provided, the server MUST fail the method and return RPC_S_ENTRY_NOT_FOUND. When a profile entry is modified by adding or removing a profile element, the following actions MUST be taken:

The server MUST locate a writable domain controller for the domain in which the RPC locator server is joined by invoking the **DsrGetDcNameEx2** method on the local Netlogon server [\[MS-NRPC\]](#) and specifying the following parameters:

- ComputerName = NULL
- AccountName = NULL
- AllowableAccountControlBits = 0
- DomainName = NULL
- DomainGuid = NULL
- SiteName = NULL
- Flags = (DS_WRITABLE_FLAG | DS_DS_FLAG) ([\[MS-ADTS\]](#) (section [7.3.1.2](#))).

Upon success, the server uses the domain controller specified in the **DomainControllerName** field of the returned *DomainControllerInfo* parameter for the subsequent operations. If the **DsrGetDcNameEx2** method fails, the server MUST fail this method and return the status code to the caller.

The server uses a default timeout value for LDAP operations and no retries are required.

1. The server locator MUST form the entry FQDN, as specified in section [3.1.1.1](#), and issue an LDAP query to retrieve the corresponding Active Directory object. ([\[MS-ADTS\]](#) section 3.1.1.4).
2. The server locator MUST create or modify the Active Directory object as follows:
 1. If an Active Directory object exists with the entry FQDN, the server locator MUST verify that the Active Directory object represents a profile entry by verifying that the Active Directory object is of class *rpcProfile*.
 2. If the Active Directory object is of class [rpcServer](#), and if its description matches the string Created Entry, the object MUST be treated as an empty name service entry, as specified in section [3.2.2.4.4](#). The server locator MUST delete the Active Directory object and re-create an Active Directory object of class *rpcProfile* in its place.
 3. If no Active Directory object exists for the entry FQDN, the server locator MUST create a new object of class *rpcProfile* to represent the Profile Entry.
3. The server locator MUST compare information in this RPC name service modification with the data already in Active Directory. If there are any differences, the server locator MUST modify the new or preexisting profile entry as follows:
 1. The server locator MUST generate the RDN attribute of the *rpcProfileElement*, as specified in section [2.2.5.7](#).
 2. The **rpcNsProfileEntry** attribute MUST be initialized as a Reference Attribute referring to the name service entry referred to by this profile element, as a modified LDAP URL string referring to the name service entry's actual location in Active Directory.
 3. The **rpcNsInterfaceId**, **rpcNsPriority**, and **rpcNsAnnotation** attributes MUST be updated with the interface identifier, and Priority and Annotation properties of the profile entry, respectively.
4. The server locator MUST create or modify the corresponding child Active Directory object of type *rpcProfileElement* [2.2.5.7](#) to represent a profile element.

Any errors encountered while processing this event MUST be returned to the caller. If updating the profile entry is successful, the server returns a successful status code *RPC_S_OK*.

3.2.2.4.4 Creating a New Entry

Parameters:

- **Server Entry** [in]: The name of the server, group, or profile entry to create. All entries are initially created as class `rpcServer`.
- **Status** [out]: Indicates to the caller if the server entry creation was successful or if it failed with a specific error code.

The server locator MUST take the following actions to create an Active Directory object representing a name service entry.

The server MUST locate a writable domain controller for the domain in which the RPC locator server is joined by invoking the **DsrGetDcNameEx2** method on the local Netlogon server [\[MS-NRPC\]](#) and specifying the following parameters:

- `ComputerName` = `NULL`
- `AccountName` = `NULL`
- `AllowableAccountControlBits` = 0
- `DomainName` = `NULL`
- `DomainGuid` = `NULL`
- `SiteName` = `NULL`
- `Flags` = (`DS_WRITABLE_FLAG` | `DS_DS_FLAG`) ([\[MS-ADTS\]](#) (section [7.3.1.2](#))).

Upon success, the server uses the domain controller specified in the **DomainControllerName** field of the returned *DomainControllerInfo* parameter for the subsequent operations. If the **DsrGetDcNameEx2** method fails, the server MUST fail this method and return the status code to the caller.

The server uses a default timeout value for LDAP operations and no retries are required.

1. The server locator forms the entry FQDN for the Server Entry, as specified in section [3.1.1.1](#), and issues an LDAP query ([\[MS-ADTS\]](#) section 3.1.1.4).
2. If an Active Directory object exists with the entry FQDN, whether or not the object is class `rpcServer`, the server locator MUST make no further modifications and return the status `RPC_S_ENTRY_ALREADY_EXISTS`.
3. If no Active Directory object exists for the entry FQDN, the server locator MUST create a new object of class [rpcServer](#) to represent an empty name service entry. The server locator MUST update the description of the entry to be "Created Entry" ([\[MS-ADTS\]](#) section 3.1.1.5.2). If any LDAP errors are encountered creating the new object in Active Directory, they are returned to the caller in `Status`.
4. If the new object was successfully created with no LDAP or other errors, indicate success to the caller by returning **RPC_S_OK**.

3.2.2.5 Message Processing Events and Sequencing Rules

All message processing events and sequencing rules are as specified in section [3.2.2.4](#) in the context of processing higher-layer events.

3.2.2.6 Timer Events

No timer events are applicable in this mode.

3.2.2.7 Other Local Events

No other local events are applicable in this mode.

3.2.3 Directory Mode

In directory mode, when a name service entry is exported, a persistent entry is created in Active Directory.

Server, group, and profile entries are supported and corresponding objects are created in Active Directory, as specified in section [1.3.3](#). In addition, in directory mode, the server locator stores server entry information in a nonpersistent cache and uses the cached information to respond to broadcast lookup requests.

3.2.3.1 Abstract Data Model

The abstract data model is as specified in sections [3.2.1.1](#) and [3.2.2.1](#).

3.2.3.2 Timers

No timers are required in this mode.

3.2.3.3 Initialization

The server locator initializes as specified in sections [3.2.1.3](#) and [3.2.2.3](#).

3.2.3.4 Higher-Layer Triggered Events

A higher-level protocol or application can make a call to modify server, group, or profile entries.

When an entry is modified, the server locator **MUST** update the Active Directory store of the computer's domain with information, as specified in section [3.2.2.4](#).

3.2.3.4.1 Updating a Server Entry

Updating a server entry in Directory Mode has the same parameters as updating a server entry in Directory-Only mode ([3.2.2.4.1](#)) followed by a server operation to update the local Entry Cache.

The server locator **MUST** update the Entry Cache with information as specified in section [3.2.1.4.1](#) in the same manner as if the server were running in a non-directory mode. If the server encounters an out of memory or cache size limitation condition, it **MUST** return error `RPC_S_OUT_OF_MEMORY` to the caller.

3.2.3.4.2 Updating a Group Entry

Updating a group entry in Directory Mode has the same parameters as updating a group entry in Directory-Only Mode ([3.2.2.4.2](#)), followed by a server operation to update the local Entry Cache.

The server locator **MUST** update the Entry Cache with information (as specified in section [3.2.3.4.2.1](#)) in the same manner as if the server were running in a non-directory mode.

3.2.3.4.2.1 Updating the Entry Cache Information

Parameters:

- **Bindings** [in]: An array of one or more string bindings for this RPC interface. The string bindings may optionally contain endpoint information. The format is described in "String Bindings" in Part 2 of [\[C706\]](#).
- **Interface Identifier** [in]: A string that encodes the interface identifier and version of this RPC interface. This string **MUST** be as specified in RPC Syntax Identifier Attribute (section [2.2.5.1.3](#)).
- **Transfer Syntax** [in]: A string that encodes the transfer syntax for this RPC interface; **MUST** be as specified in RPC Syntax Identifier Attribute (section [2.2.5.1.3](#)).
- **Action** [in]: Indicates whether the **Server Entry** is to be modified or deleted.
- **Status** [out]: Indicates to the caller whether the **Server Entry** update was successful or if it failed with a specific status code.

In non-directory mode, the server updates its local **Entry Cache** when requested by a higher-level protocol.

If **Action** is set to delete the server entry, the server removes all entries in the **Entry Cache** with any of the bindings specified in the *Bindings* parameter.

If **Action** is set to modified, for each binding in the *Bindings* parameter, find the matching server entry in the **Entry Cache**. If no matching server entry is found, create a new server entry. For each found or created server entry, update the interface identifier and/or Transfer Syntax.

The server can return the following status codes:

- **RPC_S_OK**: Indicates successful completion of modification or deletion of a **Server Entry**.
- **RPC_S_OUT_OF_MEMORY**: Indicates that the **Server Entry** could not be updated because of an out of memory condition.
- **RPC_S_ENTRY_NOT_FOUND**: Indicates that the **Server Entry** could not be located in the **Entry Cache**. This error is returned when **Action** is set to delete the server entry and the server entry cannot be found.

3.2.3.4.3 Updating a Profile Entry

Updating a profile entry in Directory Mode has the same parameters as updating a profile entry in Directory-Only mode (section [3.2.2.4.3](#)), followed by a server operation to update the local Entry Cache.

The server locator **MUST** update the Entry Cache with information, as specified in section [3.2.3.4.3.1](#), in the same manner as if the server were running in a non-directory mode.

See section [3.2.2.4.3](#).

3.2.3.4.3.1 Updating the Entry Cache Information

Parameters:

- **Bindings** [in]: An array of one or more string bindings for this RPC interface. The string bindings may optionally contain endpoint information. The format is described in "String Bindings" in Part 2 of [\[C706\]](#).
- **Interface Identifier** [in]: A string that encodes the interface identifier and version of this RPC interface. This string **MUST** be as specified in RPC Syntax Identifier Attribute (section [2.2.5.1.3](#)).
- **Transfer Syntax** [in]: A string that encodes the transfer syntax for this RPC interface; **MUST** be as specified in RPC Syntax Identifier Attribute (section [2.2.5.1.3](#)).
- **Action** [in]: Indicates if the **Server Entry** is to be modified or deleted.
- **Status** [out]: Indicates to the caller if the **Server Entry** update was successful or if it failed with a specific status code.

In non-directory mode, the server updates its local **Entry Cache** when requested by a higher-level protocol.

If **Action** is set to delete the server entry, the server removes all entries in the **Entry Cache** with any of the bindings specified in the *Bindings* parameter.

If **Action** is set to modified, for each binding in the *Bindings* parameter, find the matching server entry in the **Entry Cache**. If no matching server entry is found, create a new server entry. For each found or created server entry, update the interface identifier and/or Transfer Syntax.

The server can return the following status codes:

- **RPC_S_OK**: Indicates successful completion of modification or deletion of a **Server Entry**.
- **RPC_S_OUT_OF_MEMORY**: Indicates that the **Server Entry** could not be updated because of an out of memory condition.
- **RPC_S_ENTRY_NOT_FOUND**: Indicates that the **Server Entry** could not be located in the **Entry Cache**. This error is returned when **Action** is set to delete the server entry and the server entry cannot be found.

3.2.3.5 Message Processing Events and Sequencing Rules

When a broadcast lookup request for server entries is received, the server locator **MUST** respond, as specified in section [3.2.1.5](#).

Additional message processing events and sequencing rules are specified in section [3.2.2.5](#), in the context of processing higher-layer events.

3.2.3.6 Timer Events

No timer events are applicable in this mode.

3.2.3.7 Other Local Events

No other local events are applicable in this mode.

3.3 LocToLoc Client Locator Details

The client locator receives lookup requests from applications and higher-layer protocols and returns results from the RPC name service to the caller.

For details on Microsoft Windows® APIs that implement RPC name service functionality, see section [6](#).

3.3.1 Nondirectory Mode

In nondirectory mode, the client locator only supports the lookup of server entries; profile and group entries are not supported. When a request for lookup is received, the client locator MUST forward the request to the master locator and collect the results, if the results cannot be found in the cache.

3.3.1.1 Abstract Data Model

Discovered Entries cache: The client locator SHOULD maintain a local cache of recently discovered server entries with its associated object UUIDs and interface information. Each server entry in the cache MUST also have the time stamp when it was added to the cache so that it can be removed if necessary. This value MUST also be used to calculate whether the name service entry has expired.

Master Locator cache: The client locator MUST maintain a list of master locators that can be used to forward the request.

3.3.1.2 Timers

Master locator response timer: The client locator MUST use this timer to wait for responses to a master locator discovery request. This timer is started when the client locator sends a master locator discovery request. [<12>](#)

3.3.1.3 Initialization

The Discovered Entries cache MUST be initialized as empty.

Master Locator cache: On a domain-joined computer, the client locator MUST initialize with the list of locators running on the domain controllers for the computer's domain. Domain controllers can be discovered as specified in [\[MS-ADTS\]](#).

On a non-domain-joined computer, the client locator MUST initialize this list to an empty list.

3.3.1.4 Higher-Layer Triggered Events

A higher-level protocol or application can make a call to look up information from a server entry. The call can do the following:

- Enumerate properties of a given server entry.
- Look up bindings with optional criteria specifying an interface identifier and its transfer syntax, object UUID, or entry_name, as supported by the implementation.

When a request is received, the client locator MUST take the following actions:

1. The client locator MUST look up in the Discovered Entries cache for entries that have not yet expired.
2. The client locator MUST forward the request to the master locator by using the LocToLoc RPC interface (section [3.1.4](#)), if there was no matching entry cached, or if matching entries in the cache have expired. The client locator may optimize by first returning results from the discovered entries from the cache before forwarding the request to the master locator.

3. A non-domain-joined client locator MUST initiate a master locator discovery request if the master locator cache is empty (section [3.3.1.4.3](#)). It may use any of the locators that responded to the request as the master locator to forward the lookup request.
4. The client locator MUST take the actions described in the following subsections to forward the request to the master locator (sections [3.3.1.4.1](#) and [3.3.1.4.2](#)).<13>

3.3.1.4.1 Binding Lookup

1. The client locator MUST invoke the [I_nsi_lookup_begin](#) method with the following parameters:
 1. If an entry name is specified in the request, the client locator MUST initialize the *entry_name* parameter with the entry name in the syntax specified by `RPC_C_NS_SYNTAX_DCE`, and the *entry_name_syntax* MUST be initialized to `RPC_C_NS_SYNTAX_DCE`. If the entry name is not specified in the request, this parameter MUST be set to NULL.
 2. If an interface is specified in the request, the client locator MUST initialize the *interfaceid* parameter with the interface identifier and version information. If the interface is not specified in the request, this parameter MUST be set to NULL.
 3. If a transfer syntax is specified for the interface specified in the request, the client locator MUST initialize the *xfersyntax* parameter with the transfer syntax identifier and version information. If a transfer syntax is not specified in the request, this parameter MUST be set to NULL.
 4. If object UUIDs are specified in the request, the client locator MUST initialize the *obj_uuid* parameter with the object UUIDs specified in the request. If the object UUIDs are not specified in the request, this parameter MUST be set to NULL.
 5. The client locator MUST initialize a value for the *Binding_max_count* as appropriate for the implementation.<14>
 6. The client locator MUST initialize a value for the *MaxCacheAge* based on the request.<15>
2. The client locator MUST use the context handle received to enumerate results matching the criteria by invoking the [I_nsi_lookup_next](#) method with the context handle.
3. The client locator MUST invoke the [I_nsi_lookup_done](#) method with the context handle to free resources associated with the context handle.

3.3.1.4.2 Object UUID Lookup

1. The client locator MUST call the [I_nsi_entry_object_inq_begin](#) method with the following parameters:
 - The client locator MUST initialize the *entry_name* parameter with the entry name in the syntax specified by `RPC_C_NS_SYNTAX_DCE`, and the *entry_name_syntax* MUST be initialized to `RPC_C_NS_SYNTAX_DCE`.
2. The client locator MUST use the context handle received to enumerate object UUIDs by invoking the [I_nsi_entry_object_inq_next](#) method with the context handle.<16>
3. The client locator MUST invoke the [I_nsi_entry_object_inq_done](#) method with the context handle to free resources associated with the context handle.

3.3.1.4.3 Master Locator Discovery

A non-domain-joined client locator MUST initiate a locator discovery process. To initiate this, the client locator MUST take the following actions:

1. The client locator MUST wait for any ongoing broadcast request to complete.
2. The client locator MUST form a [QUERYLOCATOR](#) structure and initialize in the **RequesterName** field with the NetBIOS name of the computer on which it is running.
3. The client locator MUST broadcast the resulting message request to all reachable computers as specified for master locator discovery in section [2.1](#).
4. The client locator MUST start the master locator response timer and initiate a wait for the response on the mailslot, as specified for master locator discovery in section [2.1](#).
 - Before the master locator response timer expires, the client locator MUST receive each valid response into a [QUERYLOCATORREPLY](#) structure.
5. On expiration of the master locator response timer, the client locator MUST stop processing responses.
6. The client locator MUST update the master locator cache with the **SenderName** field in all valid received QUERYLOCATORREPLY structures.

3.3.1.5 Message Processing Events and Sequencing Rules

All message processing events and sequencing rules are specified in section [3.3.1.4](#) in the context of processing higher-layer events.

3.3.1.6 Timer Events

On expiration of the master locator response timer, the client locator MUST stop processing responses to the master locator discovery request.

3.3.1.7 Other Local Events

No other local events are applicable in this mode.

3.3.2 Directory-Only Mode

In directory-only mode, the client locator supports the lookup of server, group, and profile entries. When a request for lookup is received, a lookup for the corresponding object is made in Active Directory.

3.3.2.1 Abstract Data Model

There is no specific abstract data model in directory-only mode. Active Directory is used as the store for a persistent representation of the name service entries.

3.3.2.2 Timers

No timers are required in this mode.

3.3.2.3 Initialization

An implementation SHOULD cache a connection to Active Directory for optimization. [<17>](#)

3.3.2.4 Higher-Layer Triggered Events

A higher-level protocol or application can make a call to look up information from a name service entry. The call can do the following:

- Look up server, group, or profile entries by specifying an entry name and enumerate their properties. These are processed as specified in section [3.3.2.4.1](#).
- Look up bindings. These lookups do not specify an entry name, but MAY contain optional criteria that specify the interface identifier and its transfer syntax, object UUID, or entry_name, as supported by the implementation. These are processed as specified in section [3.3.2.4.2](#).

The client locator looks up Active Directory for the name service entries specified in the lookup request in the context of the security principal who originated the call into the RPC name service. This is specified in the following sections.

3.3.2.4.1 Query with Entry Name

If the entry name is specified in the lookup, the following actions MUST be taken:

1. The client locator MUST form the entry FQDN, as specified in section [3.1.1.1](#), and issue an LDAP query.
2. The client locator MUST check whether the Active Directory object is of class [rpcServer](#), [rpcGroup](#), or [rpcProfile](#) to determine whether it represents a name service entry of type server, group, or profile entry, respectively.
3. If the object is of class [rpcServer](#) or [rpcProfile](#), the client locator MUST issue an LDAP query to look up child Active Directory objects representing the interfaces or profile elements associated with the name service entry.
4. If the lookup request is to enumerate bindings for a specified name service entry, the client locator MUST issue LDAP queries as specified below.
5. If the name service entry is a group entry, the client locator MUST issue LDAP queries to enumerate the name service entries that are members of the group. The entry FQDN is formed by concatenating the scheme (ldap:) to the LDAP URL strings in the [rpcNsGroup](#) attribute, as specified in section [2.2.5.1.2](#).
6. If the name service entry is a profile entry, the client locator MUST issue LDAP queries to enumerate the name service entries that are referred to by the profile elements. The entry FQDN is formed by concatenating the scheme (ldap:) to the LDAP URL string in the [rpcNsProfileEntry](#) attribute, as specified in section [2.2.5.1.2.<18>](#)

3.3.2.4.2 Query Without Entry Name

If an entry name is not specified in a lookup operation, the client locator MUST treat it as a lookup of a server entry, and the following actions MUST be taken:

1. The client locator MUST issue a one-level LDAP query under the RPC services container with one of the following queries:

- (& (objectClass = rpcServer) (rpcNsObjectID=<string object UUID>)) if an object UUID is specified in the request. The object UUID is encoded as the string representation of the object UUID, as specified in "Universal Unique Identifier" in [\[C706\]](#) Appendix A.
 - (& (objectClass = rpcServer)) if no object UUID is specified in the request.
2. For each matching object returned from Active Directory, the client locator MUST enumerate all children to assemble a list of interfaces and their bindings exported in the server entry.
 3. The request MAY specify additional criteria as listed above. The client locator MUST perform further refinement of the search results returned from Active Directory to return binding information only from interfaces that match the specified criteria.

3.3.2.5 Message Processing Events and Sequencing Rules

All message processing events and sequencing rules are specified in section [3.3.2.4](#) in the context of processing higher-layer events.

3.3.2.6 Timer Events

No timer events are applicable in this mode.

3.3.2.7 Other Local Events

No other local events are applicable in this mode.

3.3.3 Directory Mode

In this mode, the client locator supports the lookup of server, group, or profile entries. When a request for lookup is received, the client locator does a lookup in Active Directory. If the name service entry is not found in Active Directory, the client locator forwards the request to a master locator. Note that since this mode is only valid on a domain-joined computer, none of the non-domain-joined behavior (including master locator discovery) is applicable in this mode.

3.3.3.1 Abstract Data Model

The abstract data model is as specified in sections [3.3.1.1](#) and [3.3.2.1](#).

3.3.3.2 Timers

No timers are required in this mode.

3.3.3.3 Initialization

The client locator initializes as specified in section [3.3.1.3](#) for domain-joined computers.

3.3.3.4 Higher-Layer Triggered Events

A higher-level protocol or an application can make a call to look up information from a name service entry. The call can do the following:

- Look up server, group, or profile entries, and enumerate their properties.
- Look up bindings with some optional criteria like object UUID or entry_name, as supported by the implementation.

3.3.3.4.1 Query with Entry Name

If the entry name is specified, the following actions **MUST** be taken:

1. The client locator **MUST** query Active Directory for the entry as specified in section [3.3.2.4.1](#).
2. If the entry is found in Active Directory, the client locator **MUST** return this information to the caller. The client locator **MUST NOT** forward the request to the master locator.
3. If the entry was not found in Active Directory, the client locator **MUST** forward the request to the master locator, as specified in section [3.3.1.4](#), as applicable to a domain-joined computer.

3.3.3.4.2 Query Without Entry Name

If the entry name is not specified, the client locator **MUST** forward the request to the master locator (section [3.3.1.4](#)) as applicable to a domain-joined computer.

3.3.3.5 Message Processing Events and Sequencing Rules

All message processing events and sequencing rules are specified in sections [3.3.1.4](#) and [3.3.2.4](#) in the context of processing higher-layer events.

3.3.3.6 Timer Events

No timer events are applicable in this mode.

3.3.3.7 Other Local Events

No other local events are applicable in this mode.

3.4 LocToLoc Master Locator Details

A master locator facilitates communication between client locators and server locators. A master locator **MUST** listen for forwarded requests from client locators on the LocToLoc RPC interface (section [3.1.4](#)), and it **MUST** broadcast the requests to reach any potential server locators. There may be multiple master locators, and different client locators may forward requests to different master locators. An implementation of a master locator **SHOULD** choose to cache the responses that it receives from server locators by implementing the Discovered Entries cache as specified in section [3.4.1.1](#).

3.4.1 Nondirectory Mode

The master locator facilitates lookup of server entries from computers on which the server entry is not directly exported. Profile and group entries are not supported in this mode.

3.4.1.1 Abstract Data Model

Discovered Entries cache: Each master locator **MUST** maintain a cache of server entries, and their associated object UUIDs, and interface information that has been received as a response to a broadcast lookup request. Each server entry **MUST** also have the time stamp when it was added to the cache so that it can be removed if necessary. This value **MUST** also be used to calculate whether the name service entry has expired. The master locator **SHOULD** use the cache entries that have not expired for a lookup request instead of broadcasting a broadcast lookup request. [<19>](#)

Client Response cache: The master locator MUST maintain a cache of server entries that have been received as part of the broadcast but have not been enumerated by the client locator that invoked the method on the LocToLoc interface. This cache SHOULD be combined with the Discovered Entries cache. [<20>](#<20>)

3.4.1.2 Timers

Broadcast response timer: The master locator MUST use this timer to wait for messages in response to a broadcast lookup request. This timer is started when a broadcast lookup request is sent. [<21>](#<21>)

3.4.1.3 Initialization

The master locator MUST initialize the Discovered Entries cache to an empty list.

The master locator SHOULD initialize the LocToLoc interface and begin listening for requests.

[<22>](#<22>)

The master locator MUST initialize the mailslot addresses (section [2.1](#2.1)) to:

- Receive responses to broadcast lookup request.
- Respond to master locator discovery requests.

3.4.1.4 Higher-Layer Triggered Events

No higher-layer triggered events are applicable in this mode.

3.4.1.5 Message Processing Events and Sequencing Rules

A master locator responds to the following:

- Lookup requests received on the LocToLoc RPC interface.
- Master locator discovery requests received.
- Ping Locator requests.

3.4.1.5.1 Lookup Request

When a master locator receives a lookup request on the LocToLoc interface (section [3.1.4](#3.1.4)), the following actions MUST be taken:

1. The master locator MUST validate the parameters as follows:
 - entry_name_syntax:
 - This parameter MUST be RPC_C_NS_SYNTAX_DCE. [<23>](#<23>)
 - entry_name:
 - The length of the parameter MUST NOT exceed the maximum length as specified in section [2.2.2](#2.2.2).
 - The name MUST match the syntax specified by the entry_name_syntax parameter as specified in section [2.2.2](#2.2.2). The master locator MUST NOT validate the name field of the entry name to check for characters that are not allowed in an RDN.

- This parameter MUST NOT be NULL for an [I_nsi_entry_object_inq_begin](#) call on the LocToLoc interface.
 - If parameter validation fails, processing MUST terminate, and the master locator SHOULD either return an error in response or raise an RPC exception.
2. The master locator MUST locate any unexpired server entries in the Discovered Entries cache that match the request, including the **interfaceid**, **xfersyntax**, and **obj_uuid** parameters. If a match is found, the master locator MUST ignore the match if it has been in the cache for longer than the time specified in the *MaxCacheAge* parameter in the [I_nsi_lookup_begin](#) call. If *MaxCacheAge* is not specified in the parameter, an appropriate default value SHOULD be used. [<24>](#)
 3. If no such entries are found, the master locator MUST initiate broadcast lookup and collect the responses as specified in section [3.4.1.5.1.1](#).
 4. If the broadcast lookup is initiated to handle a lookup request made by invoking the **I_nsi_lookup_begin** method on LocToLoc interface, the master locator MUST compute compatible interfaces in the responses in the following manner:
 1. If an interfaceid is specified, interfaces with the following properties MUST be considered compatible:
 - Interface Identifier of the interface equals the value in the SyntaxGUID field in *interfaceid* parameter.
 - Major version of the interface equals the SyntaxVersion.MajorVersion field in the *interfaceid* parameter.
 - Minor version of the interface is greater than or equal to the SyntaxVersion.MinorVersion field in *interfaceid* parameter.
 2. If a TransferSyntax is specified by the parameter xfersyntax, interfaces with the following properties MUST be considered compatible:
 - TransferSyntax identifier of the interface equals the value in the SyntaxGUID field in *xfersyntax* parameter.
 - Major version of the TransferSyntax of the interface equals the SyntaxVersion.MajorVersion field in the *xfersyntax* parameter.
 - Minor version of the TransferSyntax of the interface is greater than or equal to the SyntaxVersion.MinorVersion field in *xfersyntax* parameter.
 3. If an object UUID is specified by the parameter obj_uuid, interfaces that do not contain matching Object UUIDs MUST be considered incompatible.
 5. The master locator MUST return binding information from the compatible interfaces to the callers of [I_nsi_lookup_next](#).
 6. The master locator MUST return all object UUID information from the matching entry to the callers of [I_nsi_entry_object_inq_next](#) in a single UUID vector.
 7. The master locator creates an RPC context handle as specified in [\[C706\]](#) to identify the relevant entries in the client response cache as part of the processing for the **I_nsi_lookup_begin** or **I_nsi_entry_object_begin** calls. This context handle is returned to the client locator. The master locator MUST maintain entries in the client response cache until the client locator has

finished enumerating through the results. The master locator MUST consider that a client locator has finished the enumeration if the following occur:

1. The client locator invokes the [I_nsi_lookup_done](#) or [I_nsi_entry_object_inq_done](#) method with the corresponding context handle.
2. A disconnect is detected by RPC as specified in section "Context Handle Rundown" in [\[C706\]](#) Part 3.

3.4.1.5.1.1 Broadcast Lookup

The master locator MUST initiate a broadcast lookup to look for entries exported to server locators on other computers. To initiate this, the master locator MUST take the following actions:

1. MUST wait for any ongoing broadcast lookup request to complete.
2. MUST initialize a [QueryPacket](#) structure as follows:
 1. Initialize the **WkstaName** field in the structure with the NetBIOS name of the computer.
 2. If the method called was [I_nsi_lookup_begin](#), initialize the QueryPacket structure's Interface, Object, and EntryName fields with the interfaceid, obj_uuid, and entry_name parameters specified in the request, respectively. For any parameter not specified in the request, the corresponding QueryPacket field MUST be initialized to all zeros.
 3. If the method called was [I_nsi_entry_object_inq_begin](#), the master locator MUST initialize the QueryPacket structure's EntryName field with the entry_name parameter. The QueryPacket structure's interfaceid and obj_uuid field MUST be initialized to all zeros.
3. The QueryPacket structure MUST be broadcast to a destination chosen based on the entry name, as follows:
 1. If the domainname component of entry name is absent in the entry_name parameter or if the entry_name is empty, the master locator MUST broadcast the request to all reachable computers on the network, as specified for broadcast lookup in section [2.1](#).
 2. If the domainname component of entry name is present in the entry_name parameter, the master locator MUST broadcast the request to all computers in the domain represented by the domainname as specified for broadcast lookup in section [2.1](#).
4. The master locator MUST start the broadcast response timer and wait for responses, as specified for broadcast lookup in section [2.1](#).
5. While the broadcast response timer has not yet expired, the master locator MUST receive each valid response into a [QueryReply](#) structure.
6. The master locator MUST ignore any responses in which the domain field in the QueryReply structure does not match the NetBIOS domain name of the computer in a case-insensitive comparison.
7. The master locator MUST ignore the remaining reply buffers after an invalid [reply buffer \(section 2.2.4.2.2.2\)](#). A reply buffer MUST be considered invalid under the following conditions:
 1. If the type field in the [fixed part of reply](#) structure does not match MailslotServerEntryType(1).

2. If the length of the Unicode [\[UNICODE\]](#) string in **entryName** field is not equal to the field **EntryNameLength** in the **fixed_part_of_reply** structure.
3. If the **entryName** field does not match the syntax specified in section [2.2.2](#). The master locator MUST NOT do any validations on the name field of the entry name to check for characters that are not allowed in an RDN (section [2.2.2](#))
4. If the **objListSize** field exceeds the maximum length that can fit in the reply buffer.
5. If the length of the Binding field is not equal to the field **BindingLength** in the **fixed_part_of_reply**.
8. The master locator MUST update the Client Response cache and Discovered Entries cache with valid responses.
9. On expiration of the broadcast response timer, the master locator MUST stop processing responses.

3.4.1.5.2 Master Locator Response

When a master locator discovery request is received, the following actions MUST be taken:

1. The master locator MUST read the computer name of the requester from the **RequesterName** field in the [QUERYLOCATOR](#) structure.
2. The master locator MUST initialize a [QUERYLOCATORREPLY](#) structure with the following values:
 1. The Hint field in QUERYLOCATORREPLY MUST be initialized with **REPLY_MASTER_LOCATOR**.
 2. The **Uptime** field in QUERYLOCATORREPLY MUST be initialized with the amount of time in seconds that the master locator has been running since startup. [<25>](#)
 3. The **SenderName** field in QUERYLOCATORREPLY MUST be initialized with the name of the computer.
3. The master locator MUST send the composed QUERYLOCATORREPLY structure to the requester over the [Remote Mailslot Protocol](#) with the computer name of the requestor, obtained in step 1, as the destination and "\\Mailslot\\Resp_c" as the address.

3.4.1.6 Timer Events

On expiration of the broadcast response timer, the master locator MUST stop accepting responses for the broadcast lookup request, as specified in section [3.4.1.5.1.1](#).

3.4.1.7 Other Local Events

No other local events are applicable in this mode.

3.4.2 Directory Mode

In this mode, the master locator behaves in a manner identical to the specification in section [3.4.1](#).

3.4.3 Directory-Only Mode

In this mode, a locator MUST NOT act as a master locator.

4 Protocol Examples

4.1 Nondirectory Mode Operation

The following diagram shows an example of the protocol in which all client, server, and master locators are in nondirectory mode.

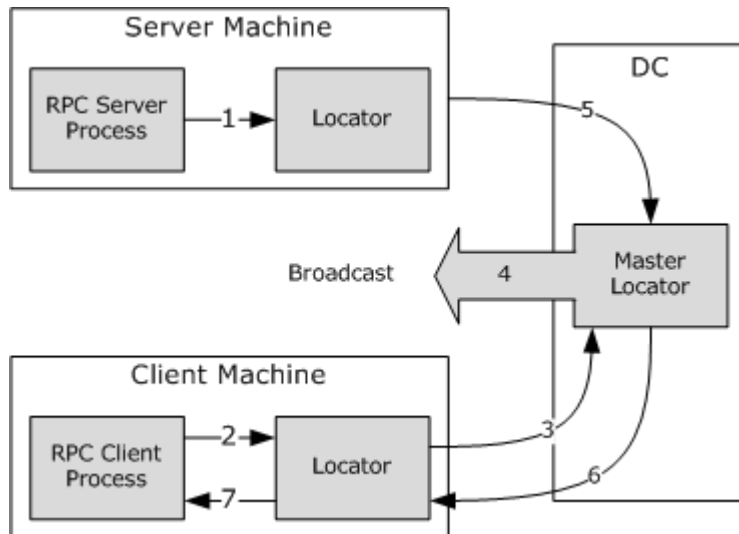


Figure 3: Nondirectory mode

The sequence is described in the following steps:

1. The server exports its interface, and the server locator updates its cache. For more information, see section [3.2.1.4](#).
2. The client initiates a lookup by name.
3. The client locator forwards the request to the master locator. For more information, see section [3.3.1.4](#).
4. The master locator sends out a broadcast. For more information, see section [3.4.1.5](#).
5. The server locator responds to the broadcast. For more information, see section [3.2.1.5](#).
6. The master locator gets the information and returns it to the client locator. For more information, see section [3.4.1.5](#).
7. The client locator returns the lookup handle to the client process.

4.2 Directory-Only Mode Operation

The following diagram shows an example of the protocol in which both client and server are in directory-only mode.

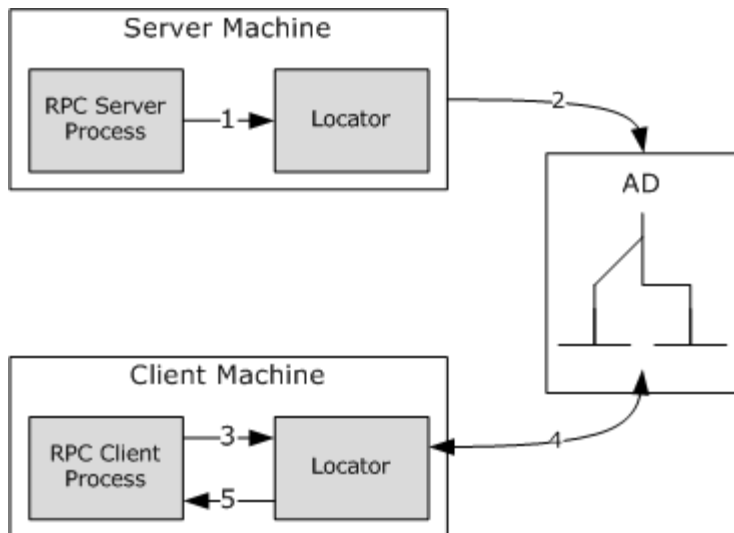


Figure 4: Directory-only mode

The sequence is described in the following steps:

1. The server exports the interface.
2. The server locator exports the name service entry to Active Directory. For more information, see section [3.2.2.4.1](#).
3. The client initiates a lookup by name.
4. The client locator initiates a DS lookup and finds the name service entry. For more information, see section [3.3.2.4.1](#).
5. The client locator returns the lookup handle to the client process.

4.3 Server in Nondirectory Mode and Client in Directory Mode

The following diagram shows an example of the protocol in which the client and master locators are running in directory mode, and the server locator is running in nondirectory mode.

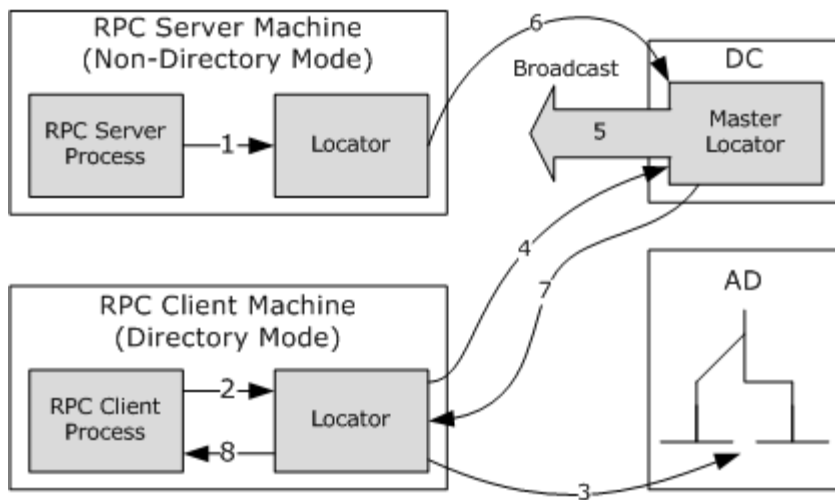


Figure 5: Directory mode

The sequence is described in the following steps:

1. The server exports the interface, and the server locator updates to its local cache. For more information, see section [3.2.1.4](#).
2. The client initiates a lookup by name.
3. The client locator initiates a DS lookup and does not find the name service entry. For more information, see section [3.3.3.4.1](#).
4. The client locator forwards the request to the master locator. For more information, see section [3.3.3.4.1](#).
5. The master locator sends out a broadcast request. For more information, see section [3.4.1.5](#).
6. The server locator responds to the request. For more information, see section [3.2.1.5](#).
7. The master locator gets the information and returns it to the client locator. For more information, see section [3.4.1.5](#).
8. The client locator returns the lookup handle to the client process.

5 Security

5.1 Security Considerations for Implementers

Mailslots have no security on them. This MAY be disabled in directory-only mode, as specified in section [1.3.2](#).

Access control lists (ACLs) on the default RPC services container are specified in [\[MS-ADTS\]](#).

The LocToLoc interface uses the default security settings and does not register any security providers, as specified in [\[MS-RPCE\]](#) section 3.3.3.3.

5.2 Index of Security Parameters

Security parameter	Section
Discussion of security on mailslots, default RPC containers, and the RPC interface	Security Considerations for Implementers (section 5.1)

6 Appendix A: Full IDL

For ease of implementation, the following full **Interface Definition Language (IDL)** is provided, where "ms-dtyp.idl" is the IDL, as specified in [\[MS-DTYP\] 5<26>](#).

```
import "ms-dtyp.idl";

typedef struct _RPC_VERSION {
    unsigned short MajorVersion;
    unsigned short MinorVersion;
} RPC_VERSION;

typedef struct _RPC_SYNTAX_IDENTIFIER {
    GUID          SyntaxGUID;
    RPC_VERSION   SyntaxVersion;
} RPC_SYNTAX_IDENTIFIER;

typedef [string, unique] wchar_t *STRING_T;

typedef [string] wchar_t *NSI_STRING_BINDING_T;

typedef [context_handle] void *NSI_NS_HANDLE_T;

typedef [unique] GUID *NSI_UUID_P_T;

typedef struct _NSI_BINDING_T {
    NSI_STRING_BINDING_T string;
    unsigned long        entry_name_syntax;
    STRING_T             entry_name;
} NSI_BINDING_T;

typedef struct _NSI_BINDING_VECTOR_T {
    unsigned long count;
    [size_is(count)] NSI_BINDING_T binding[*];
} NSI_BINDING_VECTOR_T;

typedef [unique] NSI_BINDING_VECTOR_T *NSI_BINDING_VECTOR_P_T;

typedef struct _NSI_UUID_VECTOR_T {
    unsigned long count;
    [size_is(count)] NSI_UUID_P_T uuid[*];
} NSI_UUID_VECTOR_T;

typedef [unique] NSI_UUID_VECTOR_T *NSI_UUID_VECTOR_P_T;

[
    uuid (e33c0cc4-0482-101a-bc0c-02608c6ba218),
    version (1.0),
    pointer_default (unique)
]
interface LocToLoc
{
    void I_nsi_lookup_begin(
        [in]          handle_t          hrpcPrimaryLocatorHndl,
        [in]          unsigned long     entry_name_syntax,
        [in]          STRING_T          entry_name,
    );
};
```

```

        [in,unique] RPC_SYNTAX_IDENTIFIER *interfaceid,
        [in,unique] RPC_SYNTAX_IDENTIFIER *xfersyntax,
        [in]      NSI_UUID_P_T      obj_uuid,
        [in]      unsigned long      binding_max_count,
        [in]      unsigned long      MaxCacheAge,
        [out]     NSI_NS_HANDLE_T     *import_context,
        [out]     unsigned short      *status
    );

    void I_nsi_lookup_done(
        [in]      handle_t      hrpcPrimaryLocatorHndl,
        [in,out]  NSI_NS_HANDLE_T *import_context,
        [out]     unsigned short *status
    );

    void I_nsi_lookup_next(
        [in]      handle_t      hrpcPrimaryLocatorHndl,
        [in]      NSI_NS_HANDLE_T import_context,
        [out]     NSI_BINDING_VECTOR_P_T *binding_vector,
        [out]     unsigned short      *status
    );

    void I_nsi_entry_object_inq_next(
        [in]      handle_t      hrpcPrimaryLocatorHndl,
        [in]      NSI_NS_HANDLE_T InqContext,
        [out]     NSI_UUID_VECTOR_P_T *uuid_vec,
        [out]     unsigned short      *status
    );

    void I_nsi_ping_locator(
        [in]      handle_t      hLocatorToPing,
        [out]     error_status_t *status
    );

    void I_nsi_entry_object_inq_done(
        [in,out]  NSI_NS_HANDLE_T *InqContext,
        [out]     unsigned short *status
    );

    void I_nsi_entry_object_inq_begin(
        [in]      handle_t      hrpcPrimaryLocatorHndl,
        [in]      unsigned long  EntryNameSyntax,
        [in]      STRING_T      EntryName,
        [out]     NSI_NS_HANDLE_T *InqContext,
        [out]     unsigned short *status
    );
}

```

7 Appendix B: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include released service packs:

- Microsoft Windows NT® operating system
- Microsoft Windows® 2000 operating system
- Windows® XP operating system
- Windows Server® 2003 operating system

Exceptions, if any, are noted below. If a service pack or Quick Fix Engineering (QFE) number appears with the product version, behavior changed in that service pack or QFE. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that the product does not follow the prescription.

[<1> Section 1.7:](#) The Windows NT 4.0 version of this protocol always runs in nondirectory mode.

[<2> Section 2.2.3.6:](#) The Windows implementation of locator does not store endpoint information.

[<3> Section 2.2.4.3.2:](#) This value is unused by the receiver of this message.

[<4> Section 2.2.5.1.1:](#) Locator ignores the domain name if any is specified in the entry name.

[<5> Section 3.1.3.1:](#) The Windows NT 4.0 locator always runs in this mode.

[<6> Section 3.1.3.1:](#) By default, **nameServiceFlags** is not set in Active Directory.

[<7> Section 3.1.3.2:](#) On a non-domain-joined computer, the nonmaster locator discovers the master locator by issuing a master locator discovery query. In addition, locators also cache the sender of a broadcast lookup request as a master locator (see section [3.4.1.5.1](#)). On a non-domain-joined computer, if none of the locators in its cache is accessible as determined by calling the [I_nsi_ping_locator](#) method on the target locator once, a nonmaster locator changes its role to be a master locator.

[<8> Section 3.1.4.1:](#) The master locator ignores this value if the entry_name is null or an empty string.

[<9> Section 3.1.4.1:](#) The locator assumes the default value of 100 if 0 is specified.

[<10> Section 3.1.4.4:](#) Windows XP, Windows Server 2003, Windows Vista, Windows Server 2008 and Windows Server 2008 R2 always return NSI_S_OK.

[<11> Section 3.2.2.3:](#) The server locator establishes and caches a connection to Active Directory at startup.

[<12> Section 3.3.1.2:](#) This timer is initialized to 3 seconds and has a granularity of 1 msec. Each time a response is received, the current time-out period is halved and used as the new time-out period.

[<13> Section 3.3.1.4:](#) The client locator only forwards the request to the master locator if the request cannot be satisfied locally first by looking at the unexpired name service entries in the cache. The client locator only forwards the request to the master locator if the request cannot be satisfied locally first by looking at the unexpired name service entries in the cache.

[<14> Section 3.3.1.4.1:](#) Windows initializes this value to be the same as the value specified by the caller. If the caller has not specified a value, a default value of 100 is used.

[<15> Section 3.3.1.4.1:](#) Windows initializes this value to be the same as the value specified by the caller. If the caller has not specified a value, a default value of 7,200 is used.

[<16> Section 3.3.1.4.2:](#) Client locator invokes this method to retrieve all the object UUIDs associated with the name service entry.

[<17> Section 3.3.2.3:](#) The client locator initializes a connection to Active Directory when it starts.

[<18> Section 3.3.2.4.1:](#) The client locator eliminates cycles in this lookup by keeping track of the nodes visited by the search algorithm. The client locator performs the lookup of the referred entries only as needed.

[<19> Section 3.4.1.1:](#) The Master locator only broadcasts a lookup request once it has returned the results from the Discovered Entries cache (taking into account the cache expiration age specified by the caller) and if the last broadcast lookup request for the entry was made before the cache expiration time.

[<20> Section 3.4.1.1:](#) The Master locator updates the Discovered Entries cache with the information from Broadcast Lookup responses and uses the Discovered Entries cache to return results for subsequent enumerations by the client locators.

[<21> Section 3.4.1.2:](#) This timer is initialized to 3 seconds and has a granularity of 1 msec. Each time a response is received, the current time-out period is halved and used as the new time-out period.

[<22> Section 3.4.1.3:](#) The Windows implementation initializes the LocToLoc interface only after one of the name service function is invoked locally on the machine. An example of the name service functions is RpcNsBindingExport.

[<23> Section 3.4.1.5.1:](#) The master locator ignores this value if the entry_name is null or an empty string.

[<24> Section 3.4.1.5.1:](#) The master locator uses a default value of 7,200 seconds if a value of 0 is specified and no calls have been made to update the cache expiration value on the master locator.

[<25> Section 3.4.1.5.2:](#) This parameter wraps around in 136 years.

[<26> Section 6:](#) The Microsoft implementation of the OCSP admin interface has a CLSID whose value is { 0x6d5ad135, 0x1730, 0x4f19, { 0xa4, 0xeb, 0x3f, 0x78, 0xe7, 0xc9, 0x76, 0xbb}}.

8 Appendix C: API Mappings

The following table specifies mapping between APIs [\[C706\]](#) for RPC name service and corresponding APIs in Microsoft Windows®.

All APIs are as specified in [\[C706-Ch3RPC API MANUAL\]](#), RPC API Manual.

RPC name service APIs in [C706]	Windows APIs
rpc_ns_binding_export	RpcNsBindingExportA/ RpcNsBindingExportW
rpc_ns_binding_import_begin	RpcNsBindingImportBeginA/ RpcNsBindingImportBeginW
rpc_ns_binding_import_done	RpcNsBindingImportDone
rpc_ns_binding_import_next	RpcNsBindingImportNext
rpc_ns_binding_inq_entry_name	No equivalent API
rpc_ns_binding_lookup_begin	RpcNsBindingLookupBeginA/ RpcNsBindingLookupBeginW
rpc_ns_binding_lookup_done	RpcNsBindingLookupDone
rpc_ns_binding_lookup_next	RpcNsBindingLookupNext
rpc_ns_binding_select	RpcNsBindingSelect
rpc_ns_binding_unexport	RpcNsBindingUnexportA/ RpcNsBindingUnexportW
rpc_ns_entry_expand_name	RpcNsEntryExpandNameA/ RpcNsEntryExpandNameW
rpc_ns_entry_inq_resolution	No equivalent API
rpc_ns_entry_object_inq_begin	RpcNsEntryObjectInqBeginA/ RpcNsEntryObjectInqBeginW
rpc_ns_entry_object_inq_done	RpcNsEntryObjectInqDone
rpc_ns_entry_object_inq_next	RpcNsEntryObjectInqNext
rpc_ns_group_delete	RpcNsGroupDeleteA/ RpcNsGroupDeleteW
rpc_ns_group_mbr_add	RpcNsGroupMbrAddA/ RpcNsGroupMbrAddW
rpc_ns_group_mbr_inq_begin	RpcNsGroupMbrInqBeginA/ RpcNsGroupMbrInqBeginW
rpc_ns_group_mbr_inq_done	RpcNsGroupMbrInqDone
rpc_ns_group_mbr_inq_next	RpcNsGroupMbrInqNextA/

RPC name service APIs in [C706]	Windows APIs
	RpcNsGroupMbrInqNextW
rpc_ns_group_mbr_remove	RpcNsGroupMbrRemoveA/ RpcNsGroupMbrRemoveW
rpc_ns_import_ctx_add_eval	No equivalent API
rpc_ns_mgmt_binding_unexport	RpcNsMgmtBindingUnexportA/ RpcNsMgmtBindingUnexportW
rpc_ns_mgmt_entry_create	RpcNsMgmtEntryCreateA/ RpcNsMgmtEntryCreateW
rpc_ns_mgmt_entry_delete	RpcNsMgmtEntryDeleteA/ RpcNsMgmtEntryDeleteW
rpc_ns_mgmt_entry_inq_if_ids	RpcNsMgmtEntryInqIfIdsA/ RpcNsMgmtEntryInqIfIdsW
rpc_ns_mgmt_free_codesets	No equivalent API
rpc_ns_mgmt_handle_set_exp_age	RpcNsMgmtHandleSetExpAge
rpc_ns_mgmt_inq_exp_age	RpcNsMgmtInqExpAge
rpc_ns_mgmt_read_codesets	No equivalent API
rpc_ns_mgmt_remove_attribute	No equivalent API
rpc_ns_mgmt_set_attribute	No equivalent API
rpc_ns_mgmt_set_exp_age	RpcNsMgmtSetExpAge
rpc_ns_profile_delete	RpcNsProfileDeleteA/ RpcNsProfileDeleteW
rpc_ns_profile_elt_add	RpcNsProfileEltAddA/ RpcNsProfileEltAddW
rpc_ns_profile_elt_inq_begin	RpcNsProfileEltInqBeginA/ RpcNsProfileEltInqBeginW
rpc_ns_profile_elt_inq_done	RpcNsProfileEltInqDone
rpc_ns_profile_elt_inq_next	RpcNsProfileEltInqNextA/ RpcNsProfileEltInqNextW
rpc_ns_profile_elt_remove	RpcNsProfileEltRemoveA/ RpcNsProfileEltRemoveW

9 Change Tracking

This section identifies changes that were made to the [MS-RPCL] protocol document between the January 2011 and February 2011 releases. Changes are classified as New, Major, Minor, Editorial, or No change.

The revision class **New** means that a new document is being released.

The revision class **Major** means that the technical content in the document was significantly revised. Major changes affect protocol interoperability or implementation. Examples of major changes are:

- A document revision that incorporates changes to interoperability requirements or functionality.
- An extensive rewrite, addition, or deletion of major portions of content.
- The removal of a document from the documentation set.
- Changes made for template compliance.

The revision class **Minor** means that the meaning of the technical content was clarified. Minor changes do not affect protocol interoperability or implementation. Examples of minor changes are updates to clarify ambiguity at the sentence, paragraph, or table level.

The revision class **Editorial** means that the language and formatting in the technical content was changed. Editorial changes apply to grammatical, formatting, and style issues.

The revision class **No change** means that no new technical or language changes were introduced. The technical content of the document is identical to the last released version, but minor editorial and formatting changes, as well as updates to the header and footer information, and to the revision summary, may have been made.

Major and minor changes can be described further using the following change types:

- New content added.
- Content updated.
- Content removed.
- New product behavior note added.
- Product behavior note updated.
- Product behavior note removed.
- New protocol syntax added.
- Protocol syntax updated.
- Protocol syntax removed.
- New content added due to protocol revision.
- Content updated due to protocol revision.
- Content removed due to protocol revision.

- New protocol syntax added due to protocol revision.
- Protocol syntax updated due to protocol revision.
- Protocol syntax removed due to protocol revision.
- New content added for template compliance.
- Content updated for template compliance.
- Content removed for template compliance.
- Obsolete document removed.

Editorial changes are always classified with the change type **Editorially updated**.

Some important terms used in the change type descriptions are defined as follows:

- **Protocol syntax** refers to data elements (such as packets, structures, enumerations, and methods) as well as interfaces.
- **Protocol revision** refers to changes made to a protocol that affect the bits that are sent over the wire.

The changes made to this document are listed in the following table. For more information, please contact protocol@microsoft.com.

Section	Tracking number (if applicable) and description	Major change (Y or N)	Change type
1.2.1 Normative References	56873 Added reference [MS-NRPC].	Y	Content updated.
1.3.2 Modes	57227 Updated details on name service entries by adding "including group and profile entries".	Y	Content updated.
2.1 Transport	57185 Updated destination address details by changing "using the Remote Mailslot Protocol" to "writing to a mailslot as specified in [MS-MAIL]".	Y	Content updated.
3.1.4.4 I_nsi_ping_locator (Opnum 4)	57327 Added product behavior note for status.	Y	New product behavior note added.
3.2.1.4.1 Updating a Server Entry	56949 Updated processing information for adding or deleting server entries.	Y	Content updated.
3.2.1.4.1 Updating a Server Entry	56949 Updated processing rules for RPC_S_ENTRY_NOT_FOUND.	N	Content updated.
3.2.1.5.1 Broadcast Lookup Response	56876 Updated processing information.	Y	Content updated.

Section	Tracking number (if applicable) and description	Major change (Y or N)	Change type
3.2.2.4.2 Updating a Group Entry	56972 Changed "profile entries" to "group entries".	Y	Content updated.
3.2.2.4.4 Creating a New Entry	57013 Updated processing rules.	Y	Content updated.
3.2.2.4.4 Creating a New Entry	57051 Updated ServerEntry parameter description by changing "the entry" to "the server, group, or profile entry" and adding "All entries are initially created as class rpcServer".	Y	Content updated.
3.2.3.4.1 Updating a Server Entry	57073 Updated the section reference for Entry Cache updating from "Higher-Layer Triggered Events" to "Updating a Server Entry".	Y	Content updated.
3.2.3.4.2 Updating a Group Entry	57074 Updated the section reference for updating Entry Cache Information from "Message Broadcasting Events and Sequencing Rules" to "Updating the Entry Cache Information".	Y	Content updated.
3.2.3.4.2.1 Updating the Entry Cache Information	57074 Added section.	Y	New content added.
3.2.3.4.2.1 Updating the Entry Cache Information	56949 Updated processing rules for RPC_S_ENTRY_NOT_FOUND by changing "modify" to "delete".	Y	Content updated.
3.2.3.4.3 Updating a Profile Entry	57075 Updated reference for updating Entry Cache information from "Timer Events" to "Updating the Entry Cache Information".	Y	Content updated.
3.2.3.4.3.1 Updating the Entry Cache Information	57075 Added Section.	Y	New content added.
3.2.3.4.3.1 Updating the Entry Cache Information	56949 Updated processing rules for RPC_S_ENTRY_NOT_FOUND by changing "modify" to "delete".	Y	Content updated.
3.3.2.4 Higher-Layer Triggered Events	57301 Updated processing rules.	Y	Content updated.
3.3.2.4.1 Query with Entry Name	57301 Updated processing rules by changing "under the name service entry" to "for a specified name service entry".	Y	Content updated.

Section	Tracking number (if applicable) and description	Major change (Y or N)	Change type
3.3.2.4.2 Query Without Entry Name	57301 Updated processing rules.	Y	Content updated.
3.4.1.5 Message Processing Events and Sequencing Rules	57320 Added Ping Locator requests.	Y	Content updated.
3.4.1.5.1 Lookup Request	57343 Updated processing rules.	Y	Content updated.
3.4.1.5.1.1 Broadcast Lookup	57610 Updated details on domain field in the QueryReply by changing "name of the domain" to "domain name".	Y	Content updated.
3.4.1.5.1.1 Broadcast Lookup	57579 Added processing information for when entry_name is empty.	Y	Content updated.
3.4.1.5.2 Master Locator Response	57549 Updated details on address for discovery response.	Y	Content updated.
3.4.1.5.2 Master Locator Response	57590 Updated unit of time for Uptime field by adding "in seconds".	Y	Content updated.

10 Index

A

Abstract data model

- [client locator](#) 30
- [client locator - directory mode](#) 55
- [client locator - directory-only mode](#) 53
- [client locator - nondirectory mode](#) 51
- [master locator](#) 30
- [master locator - nondirectory mode](#) 56
- [server locator](#) 30
- [server locator - directory mode](#) 48
- [server locator - directory-only mode](#) 42
- [server locator - nondirectory mode](#) 38

[Active Directory - name service entries](#) 11

Active Directory schema

- [common details](#) 25
- [overview](#) 25
- [rpcContainer class](#) 26
- [rpcGroup class](#) 27
- [rpcProfile class](#) 27
- [rpcProfileElement class](#) 28
- [rpcServer class](#) 26
- [rpcServerElement class](#) 27

[API mapping](#) 69

[Applicability](#) 13

B

[Binding lookup](#) 52

Broadcast lookup

- [Mailslot structures](#) 21
- [master locator initiates](#) 59
- [Broadcast lookup response](#) 41

C

[Capability negotiation](#) 13

[Change tracking](#) 71

[Client in directory mode and server in nondirectory mode operation example](#) 62

[Common data types](#) 16

Common details

- [Active Directory schema](#) 25
- [Mailslot structures](#) 20

[Constants](#) 16

D

Data model - abstract

- [client locator](#) 30
- [client locator - directory mode](#) 55
- [client locator - directory-only mode](#) 53
- [client locator - nondirectory mode](#) 51
- [master locator](#) 30
- [master locator - nondirectory mode](#) 56
- [server locator](#) 30
- [server locator - directory mode](#) 48
- [server locator - directory-only mode](#) 42

[server locator - nondirectory mode](#) 38

[Data types](#) 16

[Directory mode](#) 60

[Directory-only mode](#) 60

[Directory-only mode operation example](#) 61

E

[Entry name - name service entry name extensions](#) 17

[Entry update - new](#) 47

Examples

- [directory-only mode operation](#) 61
- [nondirectory mode operation](#) 61
- [server in nondirectory mode and client in directory mode operation](#) 62

[Extensions to name service entry name syntax](#) 17

F

[Fields - vendor-extensible](#) 14

[fixed part of reply structure](#) 23

[Full IDL](#) 65

G

[Glossary](#) 8

Group entry update ([section 3.2.2.4.2](#) 44, [section 3.2.3.4.2](#) 48)

H

Higher-layer triggered events

- [client locator - directory mode](#) 55
- [client locator - directory-only mode](#) 54
- [client locator - nondirectory mode](#) 51
- [master locator - nondirectory mode](#) 57
- [server locator - directory mode](#) 48
- [server locator - directory-only mode](#) 42
- [server locator - nondirectory mode](#) 39

I

[I_nsi_entry_object_inq_begin method](#) 35

[I_nsi_entry_object_inq_done method](#) 37

[I_nsi_entry_object_inq_next method](#) 36

[I_nsi_lookup_begin method](#) 32

[I_nsi_lookup_done method](#) 34

[I_nsi_lookup_next method](#) 33

[I_nsi_ping_locator method](#) 35

[IDL](#) 65

[Implementer - security considerations](#) 64

[Index of security parameters](#) 64

[Informative references](#) 9

Initialization

- [client locator](#) 30
- [client locator - directory mode](#) 55
- [client locator - directory-only mode](#) 54

- [client locator - nondirectory mode](#) 51
- [master locator](#) 30
- [master locator - nondirectory mode](#) 57
- [server locator](#) 30
- [server locator - directory mode](#) 48
- [server locator - directory-only mode](#) 42
- [server locator - nondirectory mode](#) 39
- [Introduction](#) 8

L

Local events

- [client locator](#) 38
- [client locator - directory mode](#) 56
- [client locator - directory-only mode](#) 55
- [client locator - nondirectory mode](#) 53
- [master locator](#) 38
- [master locator - nondirectory mode](#) 60
- [server locator](#) 38
- [server locator - directory mode](#) 50
- [server locator - directory-only mode](#) 48
- [server locator - nondirectory mode](#) 42

Locator discovery - master 24

LocToLoc

client locator

- [abstract data model](#) 30
- [initialization](#) 30
- [local events](#) 38
- [master and nonmaster initialization](#) 31
- [message processing](#) 31
- [mode initialization](#) 30
- overview ([section 3.1](#) 30, [section 3.3](#) 50)
- [sequencing rules](#) 31
- [timer events](#) 38
- [timers](#) 30

client locator - directory mode

- [abstract data model](#) 55
- [higher-layer triggered events](#) 55
- [initialization](#) 55
- [local events](#) 56
- [message processing](#) 56
- overview 55
- [sequencing rules](#) 56
- [timer events](#) 56
- [timers](#) 55

client locator - directory-only mode

- [abstract data model](#) 53
- [higher-layer triggered events](#) 54
- [initialization](#) 54
- [local events](#) 55
- [message processing](#) 55
- overview 53
- [sequencing rules](#) 55
- [timer events](#) 55
- [timers](#) 53

client locator - nondirectory mode

- [abstract data model](#) 51
- [higher-layer triggered events](#) 51
- [initialization](#) 51
- [local events](#) 53
- [message processing](#) 53
- overview 51

- [sequencing rules](#) 53
- [timer events](#) 53
- [timers](#) 51
- master locator
 - [abstract data model](#) 30
 - [initialization](#) 30
 - [local events](#) 38
 - [master and nonmaster initialization](#) 31
 - [message processing](#) 31
 - [mode initialization](#) 30
 - overview ([section 3.1](#) 30, [section 3.4](#) 56)
 - [sequencing rules](#) 31
 - [timer events](#) 38
 - [timers](#) 30
- master locator - nondirectory mode
 - [abstract data model](#) 56
 - [higher-layer triggered events](#) 57
 - [initialization](#) 57
 - [local events](#) 60
 - [message processing](#) 57
 - overview 56
 - [sequencing rules](#) 57
 - [timer events](#) 60
 - [timers](#) 57
- [RPC interface types](#) 17
- server locator
 - [abstract data model](#) 30
 - [initialization](#) 30
 - [local events](#) 38
 - [master and nonmaster initialization](#) 31
 - [message processing](#) 31
 - [mode initialization](#) 30
 - overview ([section 3.1](#) 30, [section 3.2](#) 38)
 - [sequencing rules](#) 31
 - [timer events](#) 38
 - [timers](#) 30
- server locator - directory mode
 - [abstract data model](#) 48
 - [higher-layer triggered events](#) 48
 - [initialization](#) 48
 - [local events](#) 50
 - [message processing](#) 50
 - overview 48
 - [sequencing rules](#) 50
 - [timer events](#) 50
 - [timers](#) 48
- server locator - directory-only mode
 - [abstract data model](#) 42
 - [higher-layer triggered events](#) 42
 - [initialization](#) 42
 - [local events](#) 48
 - [message processing](#) 47
 - overview 42
 - [sequencing rules](#) 47
 - [timer events](#) 48
 - [timers](#) 42
- server locator - nondirectory mode
 - [abstract data model](#) 38
 - [higher-layer triggered events](#) 39
 - [initialization](#) 39
 - [local events](#) 42

- [message processing](#) 40
- [overview](#) 38
- [sequencing rules](#) 40
- [timer events](#) 42
- [timers](#) 39
- [Lookup - broadcast](#) 21
- [Lookup request](#) 57

M

Mailslot structures

- [broadcast lookup](#) 21
- [common details](#) 20
- [master locator discovery](#) 24
- [overview](#) 20
- [sender](#) 20
- [MAILSLOT_ENTRY_TYPE enumeration](#) 22
- [Mapping - API](#) 69

Master and nonmaster initialization

- [client locator](#) 31
- [master locator](#) 31
- [server locator](#) 31

Master locator discovery ([section 2.2.4.3](#) 24, [section 3.3.1.4.3](#) 53)

[Master locator response](#) 60

Message processing

- [client locator](#) 31
- [client locator - directory mode](#) 56
- [client locator - directory-only mode](#) 55
- [client locator - nondirectory mode](#) 53
- [master locator](#) 31
- [master locator - nondirectory mode](#) 57
- [server locator](#) 31
- [server locator - directory mode](#) 50
- [server locator - directory-only mode](#) 47
- [server locator - nondirectory mode](#) 40

Messages

- [data types](#) 16
- [transport](#) 16

Mode initialization

- [client locator](#) 30
- [master locator](#) 30
- [server locator](#) 30

[Modes](#) 10

N

Name service

- [entries in Active Directory](#) 11
- [entry name extensions](#) 17
- [entry RDN](#) 25
- [New entry update](#) 47
- [Nondirectory mode operation example](#) 61
- [Normative references](#) 8
- [NSI_BINDING_T structure](#) 19
- [NSI_BINDING_VECTOR_T structure](#) 19
- [NSI_UUID_VECTOR_T structure](#) 18

O

- [Object UUID lookup](#) 52
- [Overview \(synopsis\)](#) 10

P

- [Parameters - security index](#) 64
- [Preconditions](#) 13
- [Prerequisites](#) 13
- [Product behavior](#) 67
- Profile entry update ([section 3.2.2.4.3](#) 45, [section 3.2.3.4.3](#) 49)

Q

- Query with entry name ([section 3.3.2.4.1](#) 54, [section 3.3.3.4.1](#) 56)
- Query without entry name ([section 3.3.2.4.2](#) 54, [section 3.3.3.4.2](#) 56)
- [QUERYLOCATOR structure](#) 24
- [QUERYLOCATORREPLY structure](#) 24
- [QueryPacket structure](#) 21
- [QueryReply structure](#) 22

R

- [Reference Attribute](#) 25
- References
 - [informative](#) 9
 - [normative](#) 8
- [Relationship to other protocols](#) 12
- [ReplyBuffer structure](#) 22
- [Roles](#) 10
- RPC
 - [interface types - LocToLoc](#) 17
 - [services containers](#) 30
- [RPC Syntax Identifier Attribute](#) 25
- [RPC_SYNTAX_IDENTIFIER packet](#) 20
- [rpcContainer class](#) 26
- [rpcGroup class](#) 27
- [rpcProfile class](#) 27
- [rpcProfileElement class](#) 28
- [rpcServer class](#) 26
- [rpcServerElement class](#) 27

S

Security

- [implementer considerations](#) 64
- [parameter index](#) 64

Sequencing rules

- [client locator](#) 31
- [client locator - directory mode](#) 56
- [client locator - directory-only mode](#) 55
- [client locator - nondirectory mode](#) 53
- [master locator](#) 31
- [master locator - nondirectory mode](#) 57
- [server locator](#) 31
- [server locator - directory mode](#) 50
- [server locator - directory-only mode](#) 47
- [server locator - nondirectory mode](#) 40

Server entry update ([section 3.2.2.4.1](#) 43, [section 3.2.3.4.1](#) 48)

- [Server in nondirectory mode and client in directory mode operation example](#) 62
- [Standards assignments](#) 14

T

Timer events

- [client locator](#) 38
- [client locator - directory mode](#) 56
- [client locator - directory-only mode](#) 55
- [client locator - nondirectory mode](#) 53
- [master locator](#) 38
- [master locator - nondirectory mode](#) 60
- [server locator](#) 38
- [server locator - directory mode](#) 50
- [server locator - directory-only mode](#) 48
- [server locator - nondirectory mode](#) 42

Timers

- [client locator](#) 30
- [client locator - directory mode](#) 55
- [client locator - directory-only mode](#) 53
- [client locator - nondirectory mode](#) 51
- [master locator](#) 30
- [master locator - nondirectory mode](#) 57
- [server locator](#) 30
- [server locator - directory mode](#) 48
- [server locator - directory-only mode](#) 42
- [server locator - nondirectory mode](#) 39

[Tracking changes](#) 71

[Transport](#) 16

Triggered events - higher-layer

- [client locator - directory mode](#) 55
- [client locator - directory-only mode](#) 54
- [client locator - nondirectory mode](#) 51
- [master locator - nondirectory mode](#) 57
- [server locator - directory mode](#) 48
- [server locator - directory-only mode](#) 42
- [server locator - nondirectory mode](#) 39

V

[Vendor-extensible fields](#) 14

[Versioning](#) 13