[MS-FSQRC]:

Query and Result Configuration Protocol Specification

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02/19/2010	1.0	Major	Updated and revised the technical content
03/31/2010	1.01	Editorial	Revised and edited the technical content
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1 Introduction

This document specifies the Query and Result Configuration Protocol, which loads updated configuration information for subsystems of a **query processing** component.

1.1 Glossary

The following terms are defined in [MS-OFCGLOS]:

abstract object reference (AOR)
administration component
automaton
base port
client proxy
dictionary
FAST Search Interface Definition Language (FSIDL)
name server
property extraction
query processing
query refinement
token

The following terms are specific to this document:

MAY, SHOULD, MUST, SHOULD NOT, MUST NOT: These terms (in all caps) are used as described in [RFC2119]. All statements of optional behavior use either MAY, SHOULD, or SHOULD NOT.

1.2 References

1.2.1 Normative References

We conduct frequent surveys of the normative references to assure their continued availability. If you have any issue with finding a normative reference, please contact dochelp@microsoft.com. We will assist you in finding the relevant information. Please check the archive site, http://msdn2.microsoft.com/en-us/library/E4BD6494-06AD-4aed-9823-445E921C9624, as an additional source.

[MS-FSLRDS] Microsoft Corporation, "Linquistic Resource Data Structure", November 2009.

[MS-FSMW] Microsoft Corporation, "Middleware Protocol Specification", November 2009.

[MS-FSRS] Microsoft Corporation, "Resource Store Protocol Specification", November 2009.

[RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997, http://www.ietf.org/rfc/rfc2119.txt

1.2.2 Informative References

[MS-OFCGLOS] Microsoft Corporation, "Microsoft Office Master Glossary", June 2008.

1.3 Overview

This protocol is used by the **administration component** to request that the query processing component retrieve updated information from a resource store.

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The protocol is transaction-based, and each message contains a transaction identifier. The protocol client activates a transaction by calling **commit**, and cancels a transaction by calling **abort**.

The protocol client requests that the query processing component retrieve updated spell checking **automata** and **dictionaries** by calling **prepare_set_query_pipeline**. To request that the query processing component retrieve updated **property extraction** exceptions, the protocol server calls **prepare_set_result_pipeline**.

1.4 Relationship to Other Protocols

The interfaces are described using the **FAST Search Interface Definition Language (FSIDL)**, as described in [MS-FSMW]. The messages are transported using the HTTP-based interoperability framework described in [MS-FSMW].

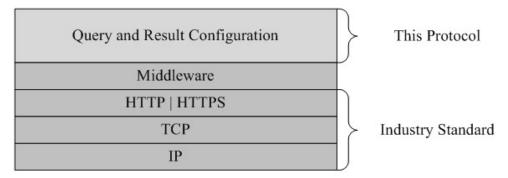


Figure 1: This protocol in relation to other protocols

1.5 Prerequisites/Preconditions

The protocol client and protocol server have the location and connection information of the shared **name server**.

1.6 Applicability Statement

These protocols are applicable for search applications that remotely restart query processing component subsystems.

1.7 Versioning and Capability Negotiation

This protocol is connectionless. The interface version is specified in every message that uses this protocol. For more information about version numbers, see section 3.1.3.

1.8 Vendor-Extensible Fields

None.

1.9 Standards Assignments

2 Messages

2.1 Transport

The messages supported by the interfaces in the following subsections MUST be sent as HTTP POST messages, as specified in [MS-FSMW].

2.2 Common Data Types

3 Protocol Details

The client side of this protocol is simply a pass-through. That is, no additional timers or other states are required on the client side of this protocol. Calls made by the higher-layer protocol or application are passed directly to the transport, and the results returned by the transport are passed directly back to the higher-layer protocol or application.

3.1 Server Details

A protocol will retrieve the pertinent configuration files whenever the protocol server receives either a **prepare_set_query_pipeline** or **prepare_set_result_pipeline** message. If the protocol server receives a **commit** message, it will activate any updates that are associated with the specified transaction identifier. An **abort** message will cause the protocol server to discard any configuration updates that are associated with the specified transaction identifier.

3.1.1 Abstract Data Model

This section describes a conceptual model of possible data organization that an implementation maintains to participate in this protocol. The described organization is provided to facilitate the explanation of how the protocol behaves. This document does not mandate that implementations adhere to this model as long as their external behavior is consistent with that described in this document.

active transactions: A list of active transactions.

configuration changes: A set of configuration changes for each active transaction. This contains the set of configuration changes to apply if the transaction is committed.

3.1.2 Timers

None.

3.1.3 Initialization

The protocol server MUST use the Middleware **bind** method to register **searchservice::configuration** and **core::transaction_client** server objects in the name server, as specified in [MS-FSMW] section 3.4.4.2.

The parameters for the **bind** method are encapsulated in an **abstract object reference (AOR)**, as specified in [MS-FSMW] section 2.2.18.

host: This string MUST contain the host name of the server object on the protocol server. The value is implementation specific, and is specified in the configuration file %FASTSEARCH%\etc\Node.xml.

port: This MUST be an integer value that contains the port number of the server object on the protocol server. The value MUST be **base port** + 390.

interface_type: A string that MUST contain either "searchservice::configuration" or "core::transaction_client".

interface_version: A string that MUST contain "5.1".

object_id: An integer that MUST be unique for each server object.

name: A string that MUST contain "fds/searchservice/hostname_port", where hostname MUST be the fully qualified host name of the system on which the protocol server runs, and port MUST be base port + 280.

3.1.4 Message Processing Events and Sequencing Rules

The message type is determined at the middleware level. The middleware MUST call the correct method of a server object that implements an interface.

Some of the following methods use generic middleware exceptions to send error messages to the protocol client. The exceptions can be thrown from any method, as specified in [MS-FSMW], and consequently, are not defined in the FSIDL method signatures.

Method	Description
searchservice::configuration::prepare_set_query_pipeline	Causes the query processing component to retrieve updated information to use during query processing.
searchservice::configuration::prepare_set_result_pipeline	Causes the query processing component to retrieve updated information to use in result post-processing.
core::transaction_client::commit	Commits the specified transaction, and activates any changes loaded by prepare_set_query_pipeline or prepare_set_result_pipeline.
core::transaction_client::abort	Cancels the specified transaction.

3.1.4.1 searchservice::configuration::prepare_set_query_pipeline

The **prepare_set_query_pipeline** method causes the protocol server to retrieve updated information for use during query processing. Updates are retrieved from a resource store, as specified in [MS-FSRS].

If the specified transaction identifier is not already active, it MUST be added to **active transactions**, as specified in section <u>3.1.1</u>. Configuration updates MUST be retrieved and added to **configuration changes**, as specified in section <u>3.1.1</u>.

This method MUST update the following spell checking automaton files:

- dictionaries/spellcheck/ar_spell_iso8859_6.xml.gz
- dictionaries/spellcheck/bg_spell_iso8859_5.xml.gz
- dictionaries/spellcheck/ca_spell_iso8859_1.xml.gz
- dictionaries/spellcheck/cs_spell_iso8859_2.xml.gz
- dictionaries/spellcheck/da spell iso8859 1.xml.gz
- dictionaries/spellcheck/de_spell_iso8859_1.xml.gz
- dictionaries/spellcheck/el spell iso8859 7.xml.gz
- dictionaries/spellcheck/en_spell_iso8859_1.xml.gz

- dictionaries/spellcheck/es_spell_iso8859_1.xml.gz
- dictionaries/spellcheck/et_spell_iso8859_13.xml.gz
- dictionaries/spellcheck/fi_spell_iso8859_1.xml.gz
- dictionaries/spellcheck/fr_spell_iso8859_1.xml.gz
- dictionaries/spellcheck/he_spell_iso8859_8.xml.gz
- dictionaries/spellcheck/hi_spell_fiscii.xml.gz
- dictionaries/spellcheck/hr_spell_iso8859_2.xml.gz
- dictionaries/spellcheck/hu_spell_iso8859_2.xml.gz
- dictionaries/spellcheck/id_spell_iso8859_1.xml.gz
- dictionaries/spellcheck/is_spell_iso8859_1.xml.gz
- dictionaries/spellcheck/it_spell_iso8859_1.xml.gz
- dictionaries/spellcheck/ko_spell_korean1b.xml.gz
- dictionaries/spellcheck/lt_spell_iso8859_13.xml.gz
- dictionaries/spellcheck/lv_spell_iso8859_13.xml.gz
- dictionaries/spellcheck/ms_spell_iso8859_1.xml.gz
- dictionaries/spellcheck/nb spell iso8859 1.xml.gz
- dictionaries/spellcheck/nl_spell_iso8859_1.xml.gz
- dictionaries/spellcheck/nn_spell_iso8859_1.xml.gz
- dictionaries/spellcheck/pl_spell_iso8859_2.xml.gz
- dictionaries/spellcheck/pt_spell_iso8859_1.xml.gz
- dictionaries/spellcheck/ro spell iso8859 2.xml.gz
- dictionaries/spellcheck/ru_spell_iso8859_5.xml.gz
- dictionaries/spellcheck/sk_spell_iso8859_2.xml.gz
- dictionaries/spellcheck/sl_spell_iso8859_2.xml.gz
- dictionaries/spellcheck/spellcheck_exclusion_any.xml
- dictionaries/spellcheck/sr_spell_iso8859_5.xml.gz
- dictionaries/spellcheck/sv_spell_iso8859_1.xml.gz
- dictionaries/spellcheck/tr_spell_iso8859_9.xml.gz
- dictionaries/spellcheck/uk_spell_iso8859_5.xml.gz
- dictionaries/spellcheck/ur_spell_windows_1256.xml.gz

This method MUST update the following spell checking exception automaton files:

- dictionaries/spellcheck/ar_exceptions_iso8859_6.xml.gz
- dictionaries/spellcheck/bg_exceptions_iso8859_5.xml.gz
- dictionaries/spellcheck/ca_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/cs_exceptions_iso8859_2.xml.gz
- dictionaries/spellcheck/da_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/de_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/el_exceptions_iso8859_7.xml.gz
- dictionaries/spellcheck/en_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/es_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/et_exceptions_iso8859_13.xml.gz
- dictionaries/spellcheck/fi_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/fr_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/he_exceptions_iso8859_8.xml.gz
- dictionaries/spellcheck/hi_exceptions_fiscii.xml.gz
- dictionaries/spellcheck/hr_exceptions_iso8859_2.xml.gz
- dictionaries/spellcheck/hu_exceptions_iso8859_2.xml.gz
- dictionaries/spellcheck/id_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/is_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/it_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/ko exceptions korean1b.xml.gz
- dictionaries/spellcheck/lt_exceptions_iso8859_13.xml.gz
- dictionaries/spellcheck/lv_exceptions_iso8859_13.xml.gz
- dictionaries/spellcheck/ms_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/nb_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/nl_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/nn_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/pl_exceptions_iso8859_2.xml.gz
- dictionaries/spellcheck/pt_exceptions_iso8859_1.xml.gz
- dictionaries/spellcheck/ro_exceptions_iso8859_2.xml.gz

- dictionaries/spellcheck/ru_exceptions_iso8859_5.xml.gz
- dictionaries/spellcheck/sk_exceptions_iso8859_2.xml.gz
- dictionaries/spellcheck/sl_exceptions_iso8859_2.xml.gz
- dictionaries/spellcheck/sr_exceptions_iso8859_5.xml.gz
- dictionaries/spellcheck/sv exceptions iso8859 1.xml.gz
- dictionaries/spellcheck/tr_exceptions_iso8859_9.xml.gz
- dictionaries/spellcheck/uk_exceptions_iso8859_5.xml.gz
- dictionaries/spellcheck/ur_exceptions_windows_1256.xml.gz

This method MUST update the following spell checking dictionary file:

dictionaries/spellcheck/spellcheck_exclusion_any.xml

For more information about automaton files, see [MS-FSLRDS], section 2.1.

For more information about dictionary files, see [MS-FSLRDS], section 2.2.

The query processing component begins using the updated information when the protocol client calls **core::transaction_client::commit**, as specified in section <u>3.1.4.3</u>.

The protocol server MUST raise the exception **core::unable_to_prepare_exception** if query processing cannot be configured with updated information.

The structure of this method, as specified in Appendix A, is as follows:

```
void prepare_set_query_pipeline(in long transaction_id)
raises (core::unable_to_prepare_exception);
```

transaction_id: A number that identifies the transaction. All calls that are associated with the same transaction MUST use the same number.

3.1.4.2 searchservice::configuration::prepare set result pipeline

The **prepare_set_result_pipeline** method causes the protocol server to retrieve updated information for use during result post-processing. Updates are retrieved from a resource store, as specified in [MS-FSRS].

If the specified transaction identifier is not already active, it MUST be added to **active transactions**, as specified in section 3.1.1. Configuration updates MUST be retrieved and added to **configuration changes**, as specified in section 3.1.1.

This method MUST update the following dictionary files that specify property extraction **tokens** to exclude from **query refinement**:

- dictionaries/matching/companies_exclusion_any.xml
- dictionaries/matching/locations_exclusion_any.xml
- dictionaries/matching/personnames_exclusion_any.xml

For more information about dictionary files, see [MS-FSLRDS], section 2.2.

The query processing component begins using the updated information when the protocol client calls **core::transaction_client::commit**, as specified in section <u>3.1.4.3</u>.

The protocol server MUST raise the exception **core::unable_to_prepare_exception** if result processing cannot be configured with updated information.

The structure of this method, as specified in Appendix A, is as follows:

```
void prepare_set_result_pipeline(in long transaction_id)
  raises (core::unable to prepare exception);
```

transaction_id: A number that identifies the transaction. All calls that are associated with the same transaction MUST use the same number.

3.1.4.3 core::transaction_client::commit

The **commit** method causes the query processing component to activate any changes loaded by the **prepare_set_query_pipeline** method or the **prepare_set_result_pipeline** method for the specified transaction, and disassociates the changes from that transaction.

The query processing component MUST activate the transaction's **configuration changes**, as specified in section 3.1.1. The specified transaction identifier MUST be removed from **active transactions**, as specified in section 3.1.1.

The structure of this method, as specified in Appendix A, is as follows:

```
void commit(in long transaction id);
```

transaction_id: A number that identifies the transaction. All calls that are associated with the same transaction MUST use the same number.

3.1.4.4 core::transaction_client::abort

The **abort** method causes the query processing component to discard any changes loaded by the **prepare_set_query_pipeline** method or the **prepare_set_result_pipeline** method for the specified transaction, and disassociates the changes from that transaction.

The query processing component MUST discard the transaction's **configuration changes**, as specified in section 3.1.1. The specified transaction identifier MUST be removed from **active transactions**, as specified in section 3.1.1.

The structure of this method, as specified in Appendix A, is as follows:

```
void abort(in long transaction id);
```

transaction_id: A number that identifies the transaction. All calls that are associated with the same transaction MUST use the same number.

3.1.5 Timer Events

None.

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4 Protocol Examples

This example describes how to use the **prepare_set_query_pipeline** method.

Initially, the query processing component, acting as the protocol server in this transaction, creates server objects that implement the **configuration** and **transaction_client** interfaces. The protocol server then binds the server object to the name server. Subsequently, the protocol client acquires a **client proxy** for the **configuration** and **transaction_client** server objects. It does so by resolving the server object in the name server. This is possible because the location of the shared name server and the symbolic name of the server object were previously specified. That information is known to both the protocol client and the protocol server.

The protocol client then selects a transaction identifier – 0 in this example – which calls the **prepare** set query pipeline method, and then calls the **commit** method.

4.1 Code

4.1.1 Protocol Server Initialization

```
SET server object instance TO INSTANCE OF configuration SERVER OBJECT
SET server object id TO UNIQUE INTEGER
SET server object host TO "myserver.mydomain.com"
SET server object port TO "1234"
SET server object interface type TO "searchservice::configuration"
SET server object interface version TO = 5.1
SET server object name TO "fds/searchservice/myserver.mydomain.com 13280"
SET server_object_aor TO server_object_host, server_object_port,
server object interface type, server object interface version, server object id AND
server object name
CALL nameserver.bind WITH server object name AND server object aor
SET server object instance TO INSTANCE OF transaction client SERVER OBJECT
SET server_object id TO UNIQUE INTEGER
SET server_object_host TO "myserver.mydomain.com"
SET server_object_port TO "1234"
SET server object interface type TO "core::transaction client"
SET server object interface version TO = 5.1
SET server object name TO "fds/searchservice/myserver.mydomain.com 13280"
SET server object aor TO server object host, server object port,
server object interface type, server object interface version, server object id AND
server object name
CALL nameserver.bind WITH server object name AND server object aor
```

4.1.2 Protocol Client Messages

```
SET server_object_name TO "fds/searchservice/myserver.mydomain.com_13280"

SET server_object_type TO "core::transaction_client"

SET server_object_version TO = 5.1

CALL nameserver.resolve WITH server_object_name, server_object_type AND server_object_version

RETURNING transaction_client_proxy

SET server_object_name TO "fds/searchservice/myserver.mydomain.com_13280"

SET server_object_type TO "searchservice::configuration"

SET server_object_version TO = 5.1

CALL nameserver.resolve WITH server_object_name, server_object_type AND server_object_version

RETURNING configuration proxy
```

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SET transaction_id TO 0
CALL configuration_proxy.prepare_set_query_pipeline WITH transaction_id
CALL transaction_client_proxy.commit WITH transaction_id

5 Security

5.1 Security Considerations for Implementers

None.

5.2 Index of Security Parameters

6 Appendix A: Full IDL

```
module interfaces {
 module core {
   exception unable_to_prepare_exception {
   interface transaction client {
     #pragma version transaction client 5.1
     void commit(in long id);
     void abort(in long id);
   } ;
  };
 module searchservice {
   interface configuration {
     #pragma version configuration 5.1
     void prepare_set_query_pipeline(in long transid)
       raises (core::unable_to_prepare_exception);
     void prepare_set_result_pipeline(in long transid)
       raises (core::unable to prepare exception);
   };
 };
};
```

7 Appendix B: Product Behavior

The information in this specification is applicable to the following Microsoft products or supplemental software. References to product versions include released service packs:

Microsoft® FAST™ Search Server 2010

Exceptions, if any, are noted below. If a service pack or Quick Fix Engineering (QFE) number appears with the product version, behavior changed in that service pack or QFE. The new behavior also applies to subsequent service packs of the product unless otherwise specified. If a product edition appears with the product version, behavior is different in that product edition.

Unless otherwise specified, any statement of optional behavior in this specification that is prescribed using the terms SHOULD or SHOULD NOT implies product behavior in accordance with the SHOULD or SHOULD NOT prescription. Unless otherwise specified, the term MAY implies that the product does not follow the prescription.

8 Change Tracking No table of changes is available. The document is either new or has had no changes since its last release.

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