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**ITU-T**

TELECOMMUNICATION  
STANDARDIZATION SECTOR  
OF ITU

**X.249**

(11/95)

**DATA NETWORKS AND OPEN SYSTEM  
COMMUNICATIONS**

**OPEN SYSTEMS INTERCONNECTION –  
PICS PROFORMAS**

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**INFORMATION TECHNOLOGY –  
OPEN SYSTEMS INTERCONNECTION –  
REMOTE OPERATIONS: PROTOCOL  
IMPLEMENTATION CONFORMANCE  
STATEMENT (PICS) PROFORMA**

**ITU-T Recommendation X.249**

(Previously “CCITT Recommendation”)

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## FOREWORD

ITU (International Telecommunication Union) is the United Nations Specialized Agency in the field of telecommunications. The ITU Telecommunication Standardization Sector (ITU-T) is a permanent organ of the ITU. Some 179 member countries, 84 telecom operating entities, 145 scientific and industrial organizations and 38 international organizations participate in ITU-T which is the body which sets world telecommunications standards (Recommendations).

The approval of Recommendations by the Members of ITU-T is covered by the procedure laid down in WTSC Resolution No. 1 (Helsinki, 1993). In addition, the World Telecommunication Standardization Conference (WTSC), which meets every four years, approves Recommendations submitted to it and establishes the study programme for the following period.

In some areas of information technology which fall within ITU-T's purview, the necessary standards are prepared on a collaborative basis with ISO and IEC. The text of ITU-T Recommendation X.249 was approved on 21st of November 1995. The identical text is also published as ISO/IEC International Standard 9072-3.

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## NOTE

In this Recommendation, the expression "Administration" is used for conciseness to indicate both a telecommunication administration and a recognized operating agency.

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ITU-T X-SERIES RECOMMENDATIONS

**DATA NETWORKS AND OPEN SYSTEM COMMUNICATIONS**

(February 1994)

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## Summary

This Recommendation | International Standard provides the Protocol Implementation Conformance Statement (PICS) proforma for the Remote Operations protocol specified in Recommendation X.229 (1988). The PICS proforma presents in tabular form the mandatory and optional elements of the Remote Operations protocol.

## Introduction

This Recommendation | International Standard is one of a set of Recommendations | International Standards produced to facilitate the interconnection of information processing systems. It is related to other Recommendations and International Standards in the set as defined by the Reference Model for Open Systems Interconnection (see ITU-T Rec. X.200 | ISO/IEC 7498-1). The Reference Model subdivides the area of standardization for interconnection into a series of layers of specification, each of manageable size.

The goal of Open Systems Interconnection is to allow, with a minimum of technical agreement outside the interconnection standards, the interconnection of information processing systems:

- from different manufacturers;
- under different managements;
- of different levels of complexity; and
- of different technologies.

The Remote Operations Service Element (ROSE) is an application-service-element commonly used by a number of applications. ROSE supports interactive applications in a distributed open systems environment. A Remote Operation is requested by one entity; the other entity attempts to perform the Remote Operation and then reports the outcome of the attempt.

To evaluate the conformance of a particular implementation, it is necessary to have a description of the capabilities and options which have been implemented. Such a description is called a Protocol Implementation Conformance Statement (PICS).

This Recommendation | International Standard includes the PICS proforma for the remote operations protocol as defined in CCITT Rec. X.229 (1988) | ISO/IEC 9072-2:1989.

## INTERNATIONAL STANDARD

## ITU-T RECOMMENDATION

**INFORMATION TECHNOLOGY – OPEN SYSTEMS INTERCONNECTION –  
REMOTE OPERATIONS: PROTOCOL IMPLEMENTATION  
CONFORMANCE STATEMENT (PICS) PROFORMA**

**1 Scope**

This Recommendation | International Standard provides the Protocol Implementation Conformance Statement (PICS) proforma for the Remote Operations protocol specified in the CCITT Rec. X.229 (1988) | ISO/IEC 9072-2:1989. This PICS proforma is in compliance with the relevant requirements, and in accordance with the relevant guidance given in ITU-T Rec. X.296 | ISO/IEC 9646-7. Detail of the use of this proforma is provided in this Recommendation | International Standard.

The supplier of an implementation which is claimed to conform to CCITT Rec. X.229 | ISO/IEC 9072-2 is required to complete a copy of the PICS proforma provided in Annex A, and is required to provide the information necessary to identify both the supplier and the implementation.

**2 Normative references**

The following Recommendations | International Standards contain provisions which, through reference in this text, constitute provisions of this Recommendation | International Standard. At the time of publication, the editions indicated were valid. All Recommendations and Standards are subject to revision, and the parties to agreements based on this Recommendation | International Standard are encouraged to investigate the possibility of applying the most recent edition of the Recommendations and Standards listed below. Members of IEC and ISO maintain registers of currently valid International Standards. The Telecommunication Standardization Bureau of the ITU maintains a list of valid ITU-T Recommendations.

**2.1 Identical Recommendations | International Standards**

- ITU-T Recommendation X.200 (1994) | ISO/IEC 7498-1:1994, *Information technology – Open Systems Interconnection – Basic Reference Model: The Basic Model.*
- ITU-T Recommendation X.581 (1995) | ISO/IEC 14608-1:1996, *Information technology – Open Systems Interconnection – The Directory: Directory access protocol – Protocol Implementation Conformance Statement (PICS) proforma.*

**2.2 Paired Recommendations | International Standards equivalent in technical content**

- CCITT Recommendation X.219 (1988), *Remote operations: Model, notation and service definition.*  
ISO/IEC 9072-1:1989, *Information processing systems – Text communication – Remote Operations – Part 1: Model, notation and service definition.*
- CCITT Recommendation X.229 (1988), *Remote operations: Protocol specification.*  
ISO/IEC 9072-2:1989, *Information processing systems – Text communication – Remote Operations – Part 2: Protocol specification.*
- ITU-T Recommendation X.290 (1995), *OSI conformance testing methodology and framework for protocol Recommendations for ITU-T applications – General concepts.*  
ISO/IEC 9646-1:1994, *Information technology – Open Systems Interconnection – Conformance testing methodology and framework – Part 1: General concepts.*

## ISO/IEC 9072-3 : 1996 (E)

- ITU-T Recommendation X.296 (1995), *OSI conformance testing methodology and framework for protocol Recommendations for ITU-T applications – Implementation conformance statements.*
- ISO/IEC 9646-7:1995, *Information technology – Open Systems Interconnection – Conformance testing methodology and framework – Part 7: Implementation Conformance Statements.*

### 2.3 Additional references

- CCITT Recommendation X.483 (1996), *Messaging handling systems – P3 Protocol PICS proforma.*
- CCITT Recommendation X.484 (1996), *Messaging handling systems – P7 Protocol PICS proforma.*

## 3 Definitions

This Recommendation | International Standard makes use of the following terms defined in ITU-T Rec. X.290 | ISO/IEC 9646-1:

- a) Implementation Conformance Statement;
- b) Implementation Conformance Statement proforma;
- c) Protocol Implementation Conformation Statement (PICS); and
- d) PICS proforma.

## 4 Abbreviations

For the purposes of this Recommendation | International Standard, the following abbreviations apply:

ICS	Implementation Conformance Statement
PICS	Protocol Implementation Conformance Statement

## 5 Conformance

A conforming PICS proforma shall be technically equivalent to the ITU-T | ISO/IEC published PICS proforma and shall preserve the numbering and ordering of the items in the ITU-T | ISO/IEC PICS proforma.

A PICS which conforms to this Recommendation | International Standard shall:

- a) describe an implementation which conforms to CCITT Rec. X.229 | ISO/IEC 9072-2;
- b) be a confirming PICS proforma, which has been completed in accordance with the instruction for completion given in A.2;
- c) include the information necessary to uniquely identify both the supplier and the implementation.



**Annex A**

**PICS proforma for the remote operations protocol<sup>1)</sup>**

(This annex forms an integral part of this Recommendation | International Standard)

**A.1 Identification of PICS proforma corrigenda**

The supplier of the PICS proforma shall identify any corrigenda (i.e. Technical Corrigenda or equivalent) to the published proforma that have been applied. Suppliers of the proforma should modify the proforma, or attach relevant additional pages in order to apply the corrigenda, and then record the application of the corrigenda in the table below.

Item	ITU-T Rec. X.249 (1995)   ISO/IEC 9072-3:1996
1	Corr:
2	Corr:
3	Corr:
4	Implementors' Guide version:

**A.2 Instructions**

**A.2.1 Purpose and structure of the proforma**

The purpose of this PICS proforma is to provide suppliers of implementations of CCITT Rec. X.229 | ISO/IEC 9072-2 with a consistent means of stating which capabilities have been implemented.

The proforma is in the form of a questionnaire and consists of a set of items. An item is provided for each capability for which an implementation choice is allowed. Items are also provided for major mandatory capabilities for which no implementation choice is allowed. Each item includes an item number, an item description, a status value specifying the support requirement, and room for a support answer to be provided by the supplier.

This subclause provides general information and instructions for completion of the proforma.

Subclause A.3 is for identification of the implementation.

Subclause A.4 contains the means of specifying, at a high level, the protocol and corrigenda that have been implemented.

Subclause A.5 contains the global statement of conformance.

Subclauses A.6 onwards contain tables in which the supplier specifies details of the implementation options chosen.

**A.2.2 Symbols, terms and abbreviations**

**A.2.2.1 Introduction**

Notations have been introduced in order to reduce the size of tables in the PICS proforma. These have allowed the use of multi-column layout where the columns are headed 'Status', and 'Support'. The definition of each are given below.

Additionally, the following definitions apply:

**A.2.2.1.1 (PICS) item:** A row in a PICS proforma table.

**A.2.2.1.2 (PICS) question:** The question to be answered in the intersection of a PICS item and either a support column (i.e. "Is this item supported in the context applying to this table and column") or supported values column (i.e. "What values are supported for this item in the context applying to this table and column") in a PICS proforma table.

**A.2.2.1.3 status (value):** An allowed entry in the status column for an item in a PICS proforma table.

<sup>1)</sup> Copyright release for PICS proforma: Users of this Recommendation | International Standard may freely reproduce the PICS proforma in this annex so that it can be used for its intended purpose and may further publish the completed PICS.

**A.2.2.1.4 (support) answer:** An allowed entry in the support or supported values columns for an item in a PICS, in answer to a PICS question.

#### **A.2.2.2 Prerequisite notation**

If a predicate applies to a whole PICS proforma table, a prerequisite line may be specified in front of the table to which it applies. A prerequisite line takes the form:

Prerequisite: <predicate>

The meaning of such a line is that if <predicate> is True, then the table applies, else it is not-applicable.

#### **A.2.2.3 Item numbering**

Each line within the PICS proforma which requires implementation detail to be entered is given an item number in the first column. The item number column provides a means of uniquely referencing each possible answer within the PICS proforma. Such referencing is necessary for specifying predicates, conditional expressions, test suite parameters, and test suite selection expressions.

The means of referencing individual answers is to specify the following sequence:

- a) If, and only if, the reference is being made from another Specification, then start with an unambiguous identifier for the relevant ICS proforma specification, enclosed in parentheses – this identifier is stated in the PICS proforma specification and is updated whenever the PICS proforma is updated – it is recommended that this identifier should be the relevant Specification number and year of publication, as is used in a Normative References clause, and this is the default for such identifiers.
- b) The number of the relevant table or, if the tables are not numbered, of the smallest subclause enclosing the relevant table.
- c) A solidus character, “/”.
- d) The item number of mnemonic reference to the item, to identify the row in which the answer appears.
- e) If, and only if, more than one question occurs in the row identified by the item number or mnemonic reference, then each possible answer is implicitly labelled a, b, c, etc. from left to right, and this letter is appended to the sequence, prefixed by a solidus character (“/”) if a mnemonic reference is used.

If mnemonic references are specified and each uniquely identify an item in the PICS proforma, then entries b) and c) in the above sequence may be omitted.

#### **A.2.2.4 Status column**

The ‘Status’ column indicates the level of support required for conformance to CCITT Rec. X.229 | ISO/IEC 9072-2. The values are as follows:

- |       |  |
|-------|--|
| ‘m’   | The item is mandatory. The capability is required to be implemented.   |
| ‘o’   | The item is optional. The capability may be implemented.   |
| ‘o.n’ | The item is a mutually exclusive or selectable option among a set (where n is the number which identifies the group of optional items). The requirement for each numbered group is specified as part of the relevant tables.   |
| ‘c’   | The item is conditional. The requirement on the capability depends on the selections of other optional or conditional items. The status (mandatory, optional, prohibited, or non-applicable) depends on the evaluation of a predicate or a conditional expression which is specified as part of the relevant tables or as a predicate. |
| ‘cn’  | The item is conditional (where n is the number which identifies the condition which is applicable). The definitions for conditional statements are given as part of the relevant tables.   |
| ‘x’   | The item is prohibited or excluded. There is a requirement not to use this capability in the given context.  |
| ‘n/a’ | The item is not applicable. The capability is not applicable in the given context.   |

**A.2.2.5 Support column**

The 'Support' column shall be completed by the supplier or implementor to indicate the level of implementation of each capability. The proforma has been designed such that the only entries required in the 'Support' column are:

- 'Y' Yes, the capability is implemented in conformance to CCITT Rec. X.229 | ISO/IEC 9072-2.
- 'N' No, the capability is not implemented.
- '–' No answer required – it is unnecessary to answer this question with a yes or a no because the question has a status value of non-applicable.

**A.2.2.6 Definition of support**

An operation class is said to be supported if the implementation is able to operate in the mode defined for that operation class.

A protocol element is said to be supported for origination if the implementation is able to generate it under some circumstances (either automatically or because the end user explicitly requires a related service).

A protocol element is said to be supported for reception if it is correctly interpreted, handled and, when required, made available to the end user.

**A.2.2.7 Predicate definitions**

A predicate is an explicit reference to a PICS proforma YES/NO entry, using the format defined in A.2.2.4. If the entry is 'Y', it predicates the condition to be mandatory, otherwise optional.

The following table lists the predicate definitions:

p01	A.6.1/6
p02	A.6.1/7 and (A.6.1/1 or A.6.1/2 or A.6.1/4)
p03	A.6.1/7 and (A.6.1/1 or A.6.1/2 or A.6.1/3)
p04	A.6.1/7
p05	A.6.1/6 and (A.6.1/1 or A.6.1/2 or A.6.1/4)
p06	A.6.1/6 and (A.6.1/1 or A.6.1/2 or A.6.1/3)
p07	A.6.1/8
p08	A.6.1/9
p09	A.6.1/3 or A.6.1/5
p10	A.6.1/1 or A.6.1/2 or A.6.1/4
p11	A.6.1/4 or A.6.1/5
p12	A.6.1/1 or A.6.1/2 or A.6.1/3
p13	A.6.1/8 or A.6.1/9

**A.2.2.8 Range of values columns**

The 'Permitted' column indicates conditions applied to the support of a feature. In this PICS proforma the constraint definitions consist of the valid integer values for a protocol feature or the keyword 'Context'. The 'Context' keyword is used to indicate those protocol features whose constraints are defined by the application context in which the ROSE is being used.

The 'Implemented' column shall be completed by the supplier or implementor for cases where the constraints supported by the implementation differ from those in the 'Permitted' column.

**A.2.2.9 Abbreviations****A.2.2.9.1 Types of application-protocol-data-units**

ROER	RO-ERROR application-protocol-data-unit
ROIV	RO-INVOKE application-protocol-data-unit
RORJ	RO-REJECT application-protocol-data-unit
RORS	RO-RESULT application-protocol-data-unit

**A.2.2.9.2 Other abbreviations**

APDU	Application-protocol-data-unit
ID	Identifier
Int	Integer
ROSE	Remote Operations Service Element
X.229	CCITT Recommendation X.229 and ISO/IEC 9072-2

**A.2.3 Instructions for completion**

The supplier shall complete all entries in the column marked ‘Support’. In certain clauses of the PICS proforma further guidance for completion may be necessary. Such guidance shall supplement the guidance given in this clause and shall have a scope restricted to the clause in which it appears. In addition, other specifically identified information shall be provided by the implementor where requested. No changes shall be made to the proforma except the completion as required. Recognizing that the level of detail required may, in some instances, exceed the space available for responses, a number of responses specifically allow for the addition of appendices to the PICS.

**A.3 Identification of the implementation**

**A.3.1 Date of statement**

1	Date of statement? (yy-mm-dd)
---	-------------------------------

**A.3.2 Identification of the implementation and/or system**

Item	Question	Response
1	Implementation Name	
2	Version Number	
3	Machine Name	
4	Machine Version Number	
5	Operating System Name	
6	Operating System Version	
7	Special Configuration	
8	Other Information	

**A.3.3 Identification of the system supplier and/or test laboratory client**

Item	Question	Response
1	Organization Name	
2	Contact Name(s)	
3	Address	
4	Telephone Number	
5	Fax Number	
6	Telex Number	
7	E-Mail Address	
8	Other Information	

**A.4 Protocol identification**

**A.4.1 CCITT Rec. X.229 | ISO/IEC 9072-2 protocol specification and amendments implemented**

Item	Identification of Protocol Specification and Amendments	Support
–	CCITT Rec. X.229 (1988)   ISO/IEC 9072-2:1989	
1	Amd:	
2	Amd:	
3	Amd:	
4	Amd:	
5	Amd:	

**A.4.2 CCITT Rec. X.229 | ISO/IEC 9072-2 technical corrigenda implemented**

Item	CCITT Rec. X.229 (1988)   ISO/IEC 9072-2:1989	Support
1	Corr:	
2	Corr:	
3	Corr:	
4	Corr:	
5	Corr:	
6	Implementors' Guide Version:	

**A.5 Global statement of conformance**

1	Are all mandatory features implemented? (yes or no)
---	---

NOTE – If a positive response is not given to this box, then the implementation does not conform to CCITT Rec. X.229 | ISO/IEC 9072-2.

**A.6 Capabilities and options**

**A.6.1 Application entity requirements**

Reference: X.219 – Clause 6

Item	ROSE feature	Status	Support	Predicate
1	Is Operation Class 1 supported?	o.1		
2	Is Operation Class 2 supported?	o.1		
3	Is Operation Class 3 supported?	o.1		
4	Is Operation Class 4 supported?	o.1		
5	Is Operation Class 5 supported?	o.1		
6	Is the ROSE a component of an application entity that invokes operations?	o.2		
7	Is the ROSE a component of an application entity that performs operations?	o.2		
8	Is the ROSE a component of an application entity that supports the origination of linked operations?	o		
9	Is the ROSE a component of an application entity that supports the reception of linked operations?	o		
o.1 Support for at least one of these options is required. o.2 Support for at least one of these options is required.				

**A.6.2 Supported ROSE APDUs on origination**

Reference: X.229

Item	ROSE feature	Status	Support	Predicate
1	ROIV	c		p01
2	RORS	c		p02
3	ROER	c		p03
4	RORJ	m		

**A.6.3 Supported ROSE APDUs on reception**

Reference: X.229

Item	ROSE feature	Status	Support	Predicate
1	ROIV	c		p04
2	RORS	c		p05
3	ROER	c		p06
4	RORJ	m		

**A.6.4 ROIV (origination)**

Reference: X.229 – Subclause 7.1.4

Item	Protocol feature	Status	Support	Range of values		Predicate
				Permitted	Implemented	
1	invoke-ID	m				
2	linked-ID	c				p07
3	operation-value	m		Context		
4	argument	o		Context		

**A.6.5 ROIV (reception)**

Reference: X.229 – Subclause 7.1.4

Item	Protocol feature	Status	Support	Range of values		Predicate
				Permitted	Implemented	
1	invoke-ID	m				
2	linked-ID	c				p08
3	operation-value	m		Context		
4	argument	o		Context		

**A.6.6 RORS (origination)**

Reference: X.229 – Subclause 7.2.4

Item	Protocol feature	Status	Support	Range of values		Predicate
				Permitted	Implemented	
1	invoke-ID	m				
2	operation-value	o		Context		
3	result	o		Context		

**A.6.7 RORS (reception)**

Reference: X.229 – Subclause 7.2.4

Item	Protocol feature	Status	Support	Range of values		Predicate
				Permitted	Implemented	
1	invoke-ID	m				
2	operation-value	o		Context		
3	result	o		Context		

**A.6.8 ROER (origination)**

Reference: X.229 – Subclause 7.3.4

Item	Protocol feature	Status	Support	Range of values		Predicate
				Permitted	Implemented	
1	invoke-ID	m				
2	error-value	m		Context		
3	result	o		Context		

**A.6.9 ROER (reception)**

Reference: X.229 – Subclause 7.3.4

Item	Protocol feature	Status	Support	Range of values		Predicate
				Permitted	Implemented	
1	invoke-ID	m				
2	error-value	m		Context		
3	result	o		Context		

## ISO/IEC 9072-3 : 1996 (E)

### A.6.10 RORJ (origination)

Reference: X.229 – Subclause 7.4.3.4

Item	Protocol feature	Status	Support	Range of values		Predicate
				Permitted	Implemented	
1	invoke-ID	m				
2	InvokeIDType	o				
3	NULL	m				
4	problem (Choice of)	m				
5	GeneralProblem	m				
6	InvokeProblem	m		Int Range 0-7		
7	ReturnResultProblem	o		Int Range 0-2		
8	ReturnErrorProblem	o		Int Range 0-4		

### A.6.11 RORJ (reception)

Reference: X.229 – Subclause 7.4.3.2

Item	Protocol feature	Status	Support	Range of values		Predicate
				Permitted	Implemented	
1	invoke-ID	m				
2	InvokeIDType	m				
3	NULL	m				
4	problem (Choice of)	m				
5	GeneralProblem	m		Int Range 0-2		
6	InvokeProblem	m		Int Range 0-7		
7	ReturnResultProblem	m		Int Range 0-2		
8	ReturnErrorProblem	m		Int Range 0-4		

### A.6.12 General problem

Reference: X.229 – Subclause 7.5.2

Item	Protocol feature	Status	Support	Range of values		Predicate
				Permitted	Implemented	
1	unrecognizedAPDU	m				
2	mistypedAPDU	m				
3	badlyStructuredAPDU	m				



**A.6.13 Invoke problem**

Reference: X.229 – Subclause 7.4.4

Item	Protocol feature	Status	Support	Range of values		Predicate
				Permitted	Implemented	
1	duplicateInvocation	m				
2	unrecognizedOperation	m				
3	mistypedArgument	m				
4	resourceLimitation	m				
5	initiatorReleasing	m				
6	unrecognizedLinkedID	c				p13
7	linkedResponseUnexpected	c				p13
8	unexpectedChildOperation	c				p13

**A.6.14 ReturnResult problem**

Reference: X.229 – Subclause 7.4.4

Item	Protocol feature	Status	Support	Range of values		Predicate
				Permitted	Implemented	
1	unrecognizedInvocation	c				p10
2	resultResponseUnexpected	c				p09
3	mistypedResult	c				p10

**A.6.15 ReturnError problem**

Reference: X.229 – Subclause 7.4.4

Item	Protocol feature	Status	Support	Range of values		Predicate
				Permitted	Implemented	
1	unrecognizedInvocation	c				p12
2	resultResponseUnexpected	c				p11
3	unrecognizedError	c				p12
4	unexpectedError	c				p12
5	mistypedParameter	c				p12

**A.6.16 Other information**

Table below can be used to provide any other relevant information.

Item	Other information

**A.7 Multi-layer dependencies**

**A.7.1 Upper layers**

The application context in which the ROSE implementation is used imposes some additional requirements to some of the elements on this PICS proforma. The following table gives the reference to the appropriate PICS proforma, which imposes some additional requirements for each application context, where ROSE is used.

This table should indicate which application contexts the implementation operates in.

Item	Application context	PICS reference	Support
1	mts-access (UA)	X.483	
2	mts-access (MTA)	X.483	
3	mts-forced-access (UA)	X.483	
4	mts-forced-access (MTA)	X.483	
5	ms-access (UA)	X.484	
6	ms-access (MS)	X.484	
7	mts-reliable-access (UA)	X.483	
8	mts-reliable-access (MTA)	X.483	
9	mts-forced-reliable-access (UA)	X.483	
10	mts-forced-reliable-access (MTA)	X.483	
11	ms-reliable-access (UA)	X.484	
12	ms-reliable-access (MS)	X.484	
13	DAP (DUA)	X.581	
14	DAP (DSA)	X.581	

**A.7.2 Lower layers**

The ROSE imposes the following modifications on the lower layers:

NO MODIFICATIONS ARE IMPOSED.